

## Original Article

# Genetic alterations predict poor efficacy, outcomes and resistance to second-line osimertinib treatment in non-small cell lung cancer

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Received October 10, 2023; Accepted January 1, 2024; Epub January 15, 2024; Published January 30, 2024

**Abstract:** The genetic heterogeneity of non-small cell lung cancer (NSCLC) may impact clinical response and outcomes to targeted therapies. In second-line osimertinib treatment for NSCLC, real-world data on genetic biomarkers for treatment efficacy and prognosis remain incomplete. This real-world study involved 68 NSCLC patients receiving first-generation epidermal growth factor receptor tyrosine kinase inhibitors (EGFR-TKIs). All of these patients developed resistance, and 49 of them subsequently underwent second-line osimertinib treatment. A 639-gene DNA panel was employed to assess the impact of molecular alterations on treatment efficacy, clinical outcomes and resistance. The findings showed that the median progression-free survival (PFS) for second-line osimertinib therapy was 13.3 months. Genes alterations such as P21 (RAC1) activated kinase 5 (PAK5), RNA binding motif protein 10 (RBM10), and EPH receptor A3 (EPHA3) mutations were associated with significantly shorter PFS in osimertinib therapy. At multivariate analysis, they were all independent risk predictors of shorter PFS. Additionally, the median overall survival (OS) for osimertinib was 26.2 months. Glutamate ionotropic receptor NMDA type subunit 2A (GRIN2A), hepatocyte growth factor (HGF), and RBM10 mutations were significantly associated with poorer OS in osimertinib treatment. The multivariate analysis demonstrated that only RBM10 mutation emerged as an independent risk predictor of shorter OS. *In vitro* experiments showed that RBM10 mutations could promote the proliferation and migration ability of NSCLC cells and reduced cell apoptosis. The resistance mechanisms to osimertinib were heterogeneous. Histone cluster 1 H2B family member D (HIST1H2BD) acted as a novel resistance mechanism to osimertinib. Previously unreported HIST1H2BD mutations (p.K25Q and p.E36D) were detected in the NSCLC tissues. *In vitro* experiments confirmed that HIST1H2BD mutations led to resistance to osimertinib. In summary, we demonstrate that genetic biomarkers, such as PAK5, RBM10, and EPHA3, are independent predictors of PFS in second-line osimertinib treatment, with RBM10 emerging as an independent predictor of OS. Additionally, HIST1H2BD represents a novel resistance mutation to osimertinib. All of these findings offer valuable insights for making personalized treatment strategies for NSCLC patients.

**Keywords:** Clinical outcome, efficacy, genetic alteration, non-small cell lung cancer, resistance

## Introduction

Non-small-cell lung cancer (NSCLC) is the predominant histological subtype, accounting for more than 85% of all lung cancers [1]. For EGFR mutation-positive NSCLC, EGFR tyrosine kinase inhibitors (EGFR-TKIs) are recommended as first-line treatment [2]. While gefitinib, icotinib, or erlotinib have shown improved outcomes

compared to chemotherapy in several prospective randomized clinical trials [3-5], acquired resistance inevitably develops [6]. The most common resistance mechanism of first-generation EGFR-TKIs is p.Thr790Met (T790M) mutation in EGFR exon 20 [7]. However, osimertinib, has proven successful in overcoming this limitation [8]. Osimertinib, a third-generation EGFR-TKI, has emerged as a therapeutic agent

for blocking the growth of *EGFR* T790M-positive tumors [9]. In patients who have developed resistance to first- or second-generation *EGFR*-TKIs, osimertinib has demonstrated clinical efficacy in phase I/II trials [10-12]. For instance, in a phase I dose escalation study of AZD9291 (AURA), the objective response rate (ORR) reached 51% in the whole population. Among the subset of *EGFR* T790M-positive patients, the ORR was 67%. The median progression-free survival (PFS) was notably longer in *EGFR* T790M-positive patients (9.6 months) compared to *EGFR* T790M-negative patients (2.8 months) [10].

The genetic heterogeneity of NSCLC patients can influence clinical responses and treatment outcomes to *EGFR*-TKIs. Previous reports have suggested that mutations in tumor protein p53 (*TP53*), *KRAS* proto-oncogene, GTPase (*KRAS*), phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha (*PIK3CA*), and B-Raf proto-oncogene, serine/threonine kinase (*BRAF*) can act as driver mutations, co-existing with *EGFR*, and impact the efficacy of *EGFR*-TKIs [13, 14]. The clinical outcomes of osimertinib exhibit significant diversity, and the co-mutation spectrum of NSCLC patients is associated with the response to osimertinib and the time to osimertinib treatment discontinuation [15, 16]. As a second-line therapy, real-world data on genetic biomarkers for osimertinib treatment efficacy and prognosis remain incomplete.

The NCCN guidelines emphasize that genetic testing for gene mutations in NSCLC specimens, especially tissue specimens, is crucial for identifying potentially effective targeted therapies and avoiding treatments that are unlikely to provide clinical benefits. The advent of targeted drugs has significantly transformed the treatment landscape for NSCLC [17]. The standard practice in NSCLC treatment now involves molecular profiling of tumor DNA and RNA mutations using next-generation sequencing (NGS) to identify genomic alterations targeted by clinically approved targeted drugs [18]. Current guidelines from international cancer organizations strongly advocate for molecular testing in NSCLC patients, especially for actionable mutations such as *EGFR*, *KRAS*, *BRAF*, erb-b2 receptor tyrosine kinase 2 (*ERBB2*), ALK receptor tyrosine kinase (*ALK*),

*ROS* proto-oncogene 1, receptor tyrosine kinase (*ROS1*), ret proto-oncogene (*RET*), neurotrophic receptor kinase (*NTRK*) and *MET* proto-oncogene, receptor tyrosine kinase (*MET*) exon 14 skipping mutations [18, 19]. Moreover, comprehensive testing panels are readily available to identify patients eligible for participation in investigational clinical trials [20]. The study aims to investigate whether precision treatment guided by NGS gene sequencing can yield superior survival benefits for patients with advanced NSCLC in China.

This real-world study comprised 68 NSCLC patients. We illustrated the practicality of utilizing DNA sequencing results to explore the association between NGS based gene alterations and the efficacy and prognosis of second-line osimertinib therapy, offering valuable insights for making personalized treatment management for advanced NSCLC patients.

### Methods and materials

#### *Patients and samples collection*

A real-world cohort of 68 patients diagnosed with NSCLC was recruited from Shanghai Chest Hospital between 2013 and 2019 and was diligently followed throughout the entire treatment course. None of these patients underwent surgical treatment, and the histological type of their biopsy tissues was determined by two pathologists following the Union for International Cancer Control (UICC) classification of tumor node metastasis (TNM) for disease staging. Patients with other malignant tumors were not included in this study. The collected basic clinical information encompasses age, sex, histology, TNM staging [21], and smoking history ([Supplementary Table 1](#)). This study was approved by the Ethics Committee of Shanghai Chest Hospital (LS1010 and KS [Y] 19101). All individuals signed informed consent.

#### *NGS testing and genetic alterations analysis*

OncoAim® Panoramic Detection Panel (Singlera Genomics (Shanghai) Ltd., China) was employed to detect genetic alterations in the second and third biopsy tissues. This panel references cancer databases and clinical guidelines, encompassing a spectrum of genes, including risk genes, those targeted by FDA-approved and clinical trial targeted drugs,

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immunotherapy markers and radiotherapy and chemotherapy effect-related genes. The genes included in the panel are shown in [Supplementary Table 2](#). According to the manufacturer's protocols, DNA was isolated using the QIAamp DNA FFPE Tissue Kit (Qiagen, Hilden, Germany). The quality of DNA was assessed by 1% agarose gel electrophoresis and the quantification was detected using the Qubit dsDNA HS Assay kit along with the Qubit 3.0 fluorimeter (Life Technologies, Eugene, Oregon, USA). Libraries construction adhered to Illumina's standard procedures (Illumina, Inc., California, USA), involving the preparation of 20 ng DNA with a KAPA Library Quantification Kit (KAPA Biosystems, Wilmington, USA). The library products underwent sequencing through 75 bp paired-end runs on the Illumina MiSeq platform. The raw data containing sequence information and quality information were aligned to the University of California at Santa Cruz (UCSC) human reference genome (GRCh37/hg19). Genomic variants, including single-nucleotide variants (SNVs), insertions, deletions, copy number variations, and amino acid changes were identified using the SnpEff tool (<http://snpeff.sourceforge.net/>) [22]. Variations identified in tumor tissues but not in matched blood samples were considered somatic alterations.

### *Treatment response and survival analysis*

Clinical responses were assessed using the Response Evaluation Criteria in Solid Tumors Version 1.1 (RECIST 1.1) [23]. Evaluations were conducted every 3 months, starting from 1 month after the initiation of treatment. PFS was defined as the duration from the start of treatment until disease progression or death. For patients without disease relapse by the cut-off date (June 30, 2023), their data were censored at the time of their last follow-up. Overall survival (OS) was defined as the time from the start of first-line treatment to death.

### *Cells, reagents and plasmids*

Two human NSCLC tumor cell lines, NCI-H1975 cells (*EGFR*, L858R and T790M) (RRID: CVCL\_1511) and NCL-H358 cells (RRID: CVCL\_1559) were cultured in RPMI1640 medium (Gibco BRL, Grand Island, NY, USA) supplemented with 10% fetal bovine serum (FBS, Gibco BRL, Grand Island, NY, USA). The cells

were cultured at 37°C in a 5% CO<sub>2</sub>-humidified atmosphere. Icotinib and osimertinib were purchased from Selleck Chemicals (Houston, TX, USA). Full-length cDNA fragments of human *EGFR* containing activating mutations (E746\_A750del and p.T790M), human RNA binding motif protein 10 (*RBM10*) containing activating mutations and human Histone cluster 1 H2B family member D (*HIST1H2BD*) containing activating mutations were generated. These mutated full-length *EGFR*, *RBM10* or *HIST1H2BD* cDNAs were introduced into the pCDNA3.1 vector and confirmed by sequencing.

### *Cell proliferation assay*

A total of 10<sup>4</sup> cells were seeded in each well of a 96-well plate and cultured in RPMI1640 medium supplemented with 10% FBS. The cell proliferation was assessed using the Cell Counting Kit-8 reagent (BBI Life Sciences, Shanghai, China) following the manufacturer's instructions. The count of viable cells was recorded, and the proliferation was graphed using GraphPad Prism 9.5.0 software (GraphPad Software Inc., San Diego, CA, USA). Each experiment was conducted in triplicate.

### *Cell migration assay*

Cell migration was assessed using a 24-well Bio-Coat Cell Migration Chambers (BD Biosciences, Massachusetts, USA). In brief, 200 µl of cell suspension was seeded in the upper chamber, and after 24 hours of incubation, the upper surface of the membrane was swabbed to remove non-migrating cells. The migrating cells on the lower surface were fixed with methanol and stained with crystal violet solution. The average cell count was determined by counting cells in three random microscopic fields.

### *Cell apoptosis assay*

Apoptotic cells were detected using an annexin V-FITC/PI apoptosis detection kit (BioVision, CA, USA) following the manufacturer's instruction. Briefly, cells were trypsinized, washed, and collected. Cells were then suspended in binding buffer stained with Annexin-FITC and PI solution for 40 min. The percentages of apoptotic cells were determined by a flow cytometer (FACS-Canto, BD Bioscience, USA).

**Table 1.** The clinical characteristics of 68 NSCLC patients

| Characteristics              | Cohort (n = 68)  |
|------------------------------|------------------|
| Age (year)                   |                  |
| Median (range)               | 60 (39-80)       |
| Sex, n (%)                   |                  |
| Male                         | 34 (50.0)        |
| Female                       | 34 (50.0)        |
| Smoking status, n (%)        |                  |
| Never                        | 35 (51.5)        |
| Ever                         | 33 (48.5)        |
| Histology, n (%)             |                  |
| Adenocarcinoma               | 63 (92.6)        |
| Squamous cell carcinoma      | 2 (2.9)          |
| Adenosquamous carcinoma      | 3 (4.4)          |
| TNM stage, n (%)             |                  |
| I                            | 0 (0)            |
| II                           | 1 (1.5)          |
| III                          | 7 (10.3)         |
| IV                           | 60 (88.2)        |
| First-line treatment, n (%)  |                  |
| Icotinib                     | 49 (72.1)        |
| Gefitinib                    | 10 (14.7)        |
| Erlotinib                    | 9 (13.2)         |
| Second-line treatment, n (%) |                  |
| Osimertinib                  | 51 (75.0)        |
| Erlotinib + Chemotherapy     | 1 (1.5)          |
| Icotinib + Chemotherapy      | 4 (5.9)          |
| Crizotinib                   | 2 (2.9)          |
| Chemotherapy                 | 10 (14.7)        |
| Over survival (months)       |                  |
| Median (range)               | 40.7 (10.6-98.7) |

NSCLC, Non-small cell lung cancer. TNM, Tumor Node Metastasis classification. NA, not available value.

#### Cell growth inhibition assay

A total of  $10^4$  transfected cells were seeded in individual wells of a 96-well plate, cultivated in RPMI1640 medium. Subsequently, dimethyl sulfoxide (DMSO) or EGFR-TKIs was applied at indicated drug concentrations and cultured for 24 hours. The inhibitory effects of EGFR-TKIs on cell growth were assessed using the Cell Counting Kit-8 reagent (BBI Life Sciences in Shanghai, China). Each experiment was conducted in triplicate.

#### Statistical analysis

Mutational profiling was performed using MAF Visualization tools (maftools) in R (version

4.1.0) (<http://www.r-project.org>) [24]. To visualize the 3-D structures of proteins, the ITASSER server (<http://zhanglab.ccmb.med.umich.edu/ITASSER>) [25] was utilized. Categorical variables were analyzed using Chi-Squared Test, and continuous variables were assessed using the Mann-Whitney U test. Statistical significance was determined when the  $P$ -value < 0.05. PFS and OS were calculated by using the Kaplan-Meier survival analysis and a log-rank test was employed to compare the cumulative survival among different groups.

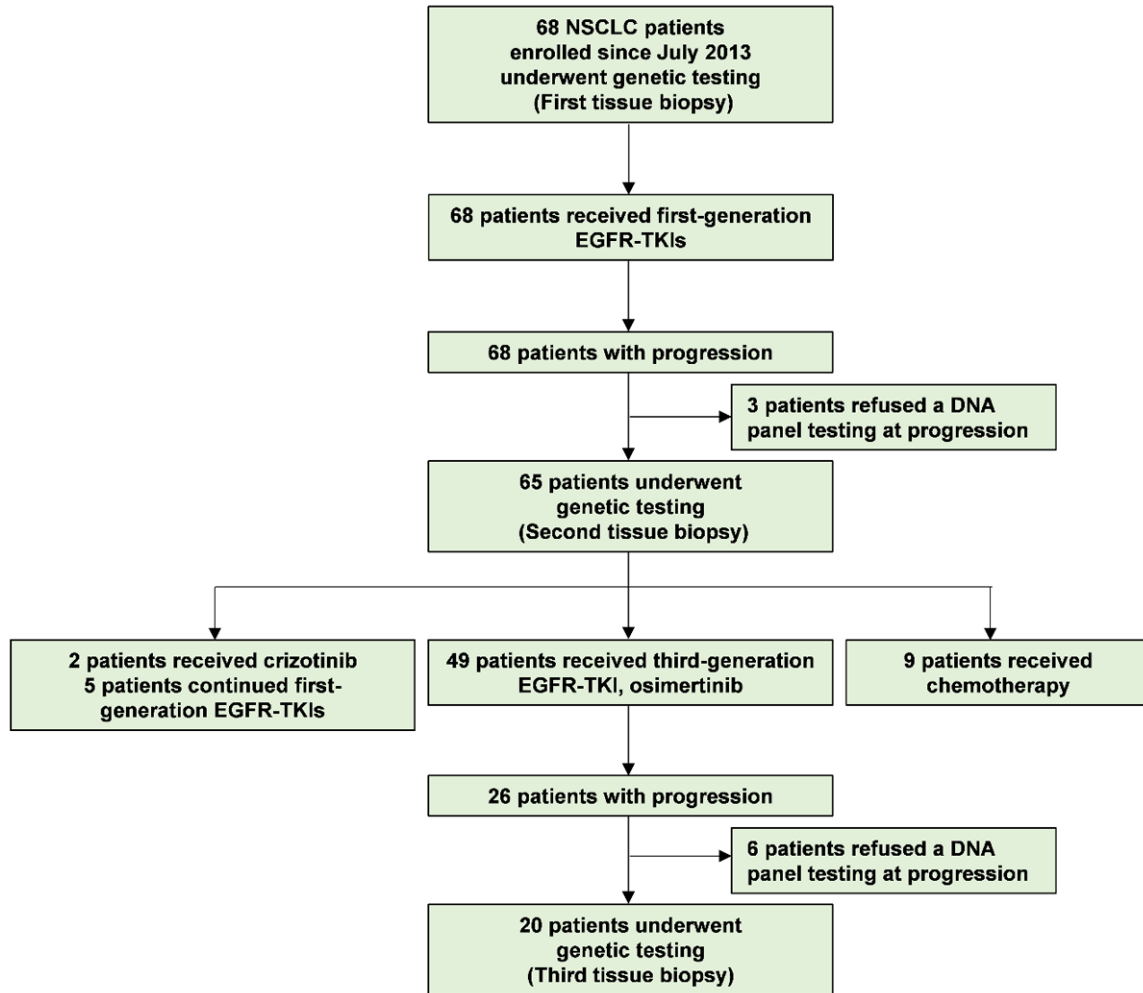
## Results

### Patients' baseline characteristics and mutation profiling

Herein, we enrolled 68 patients with *EGFR* mutant NSCLC. Among them, half were women (34/68), and the majority had adenocarcinoma histology (63/68) and advanced stage (67/68) (**Table 1**). Icotinib, gefitinib, and erlotinib were administered to 49 (72.1%), 10 (14.7%), and 9 (13.2%) patients, respectively. The median time to treatment discontinuation was 15.4 months. After developing resistance to the first-generation TKIs, 65 patients underwent a second biopsy (**Figure 1**). Cancer-related gene mutations were detected in all patients, with high mutation frequencies in genes such as *EGFR* (95%), *TP53* (52%), LDL receptor related protein 1B (*LRP1B*) (15%), catenin beta 1 (*CTNNB1*) (11%), glutamate ionotropic receptor NMDA type subunit 2A (*GRIN2A*) (9%) and lysine methyltransferase 2C (*KMT2C*) (9%) (**Figure 2A**). Among 62 cases with *EGFR* mutations, 52% (32/62) exhibited co-mutations with *TP53* mutations. Other co-mutations with high frequencies included *LRP1B* (16%), *CTNNB1* (11%), *GRIN2A* (10%), and *KMT2C* (10%) (**Figure 2B**). No significant connections were found between gene mutations and clinical factors like sex, age, and smoking status.

### *PAK5*, *RBM10*, and *EPHA3* mutations as independent biomarkers for poor PFS in second-line osimertinib treatment

A total of 49 T790M-positive patients receiving osimertinib, and 2 ALK-positive patients receiving crizotinib. The median PFS (mPFS) for these 51 patients receiving second-line targeted therapies was 12.2 months, for osimertinib, it was 13.3 months. Considering the impact of genet-



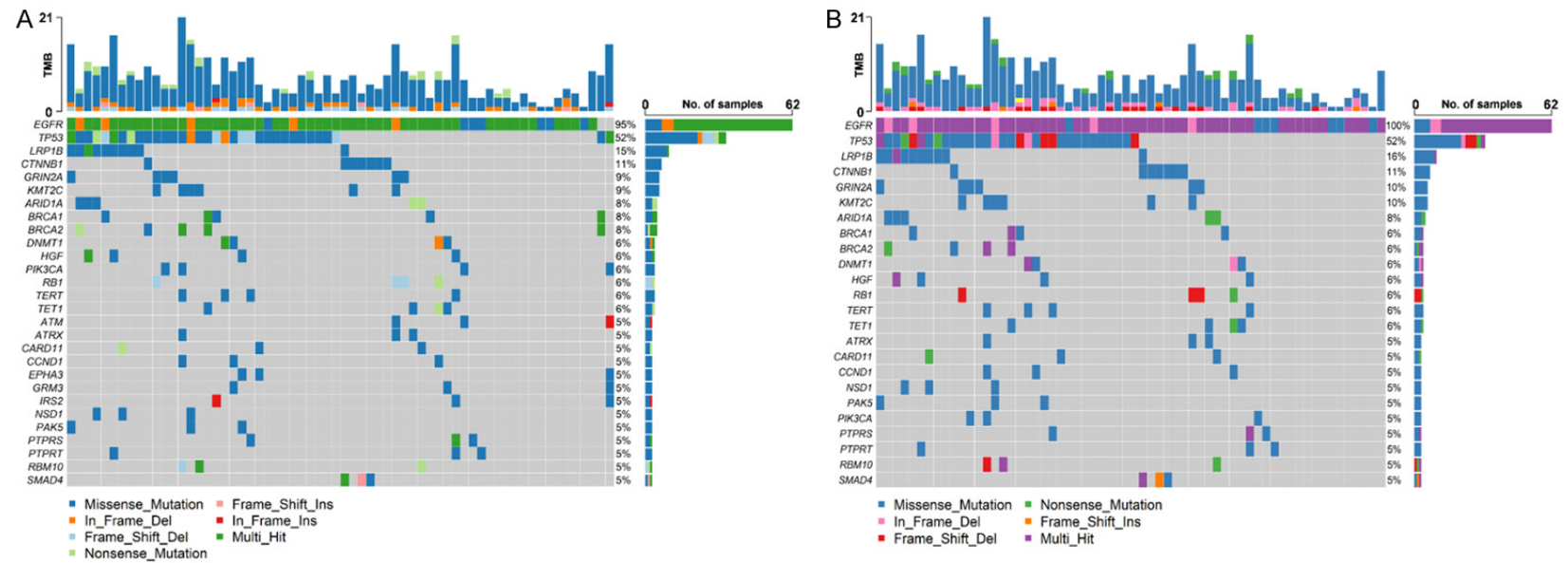
**Figure 1.** Study flow diagram. The end of the follow-up period was 30 June 2023. A total of 68 patients with *EGFR* mutant NSCLC were enrolled. All patients received first-generation EGFR-TKIs. Upon developing resistance to first-generation TKIs, 65 patients who accepted genetic testing were treated with second-line treatments. Among them, 51 patients received second-line EGFR-TKIs treatment, 5 patients continued first-generation EGFR-TKIs treatment, and 9 patients received chemotherapy. After resistance to second-line osimertinib, 20 patients underwent genetic testing. Molecular changes in each biopsy were detected using a customized DNA panel.

ic alterations on PFS specifically for osimertinib. We compared the mutation profiles of the group with better PFS (mPFS > 13 months) and the group with worse PFS (mPFS ≤ 13 months) in response to osimertinib. *TP53* mutations were more common in patients with worse PFS than in those with better PFS (65.4% vs. 34.8%,  $P = 0.032$ ). Additionally, higher mutation frequencies of *GRIN2A*, hepatocyte growth factor (*HGF*), p21 (*RAC1*) activated kinase 5 (*PAK5*), RNA binding motif protein 10 (*RBM10*), and EPH receptor A3 (*EPHA3*) were observed in the worse PFS cohort (**Figure 3A** and Supplemen-

tary Figure 1A, 1B). Kaplan-Meier survival analysis revealed that patients with *GRIN2A*, *PAK5*, *RBM10*, and *EPHA3* mutations exhibited significantly worse PFS than those without mutations (HR: 7.1, 95% CI: 1.57-32.3,  $P = 0.003$ ; 6.7, 1.48-30.4,  $P = 0.004$ ; 7.7, 1.64-35.8,  $P = 0.002$ ; 7.1, 1.57-32.3,  $P = 0.003$ ; **Figure 3B-G**). Specifically, multivariable logistic regression confirmed that *PAK5*, *RBM10*, and *EPHA3* mutations as independent risk predictors of poor PFS in osimertinib treatment (**Figure 4**). No significant difference in mPFS was found between patients with *EGFR* single mutations

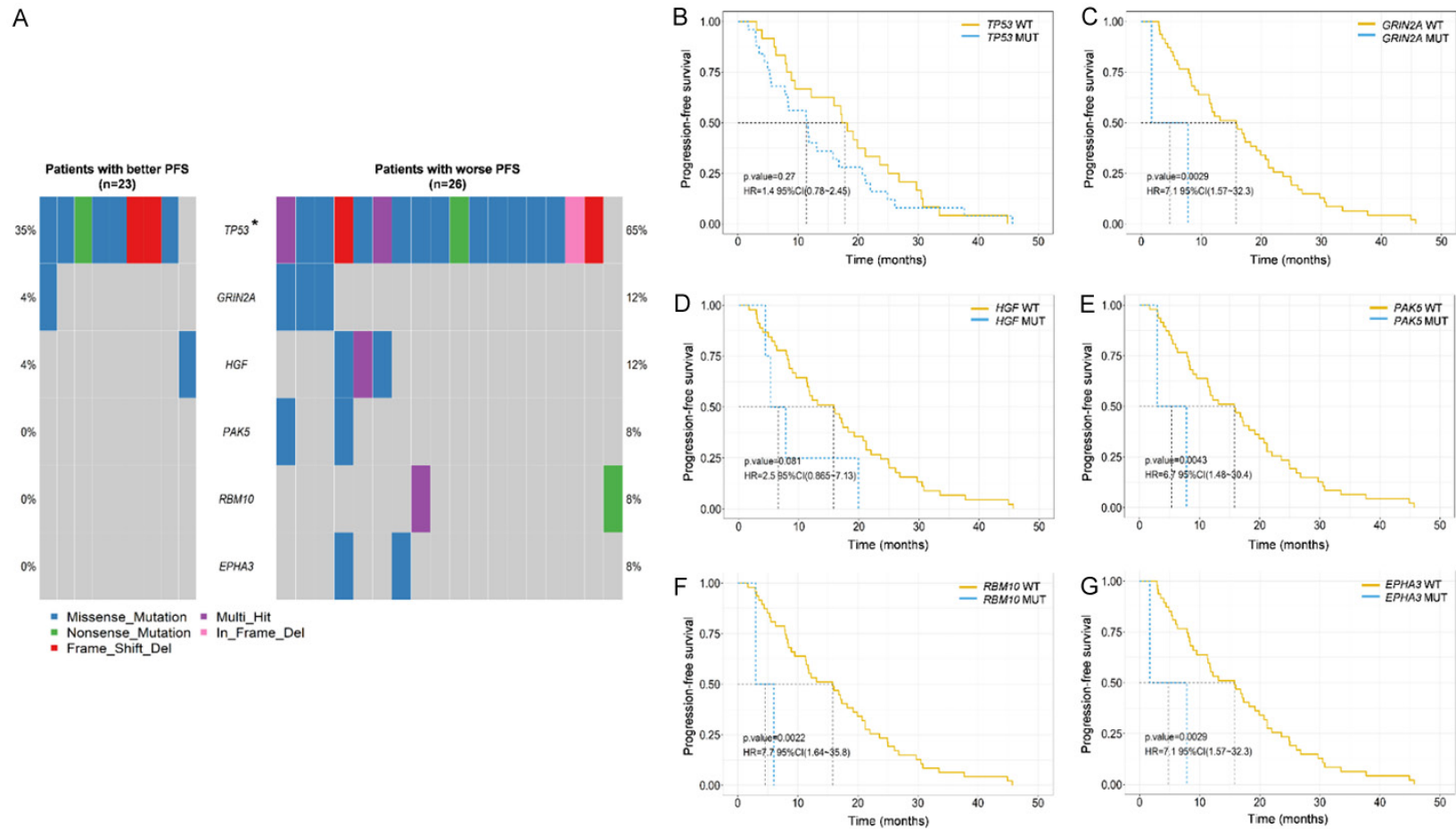


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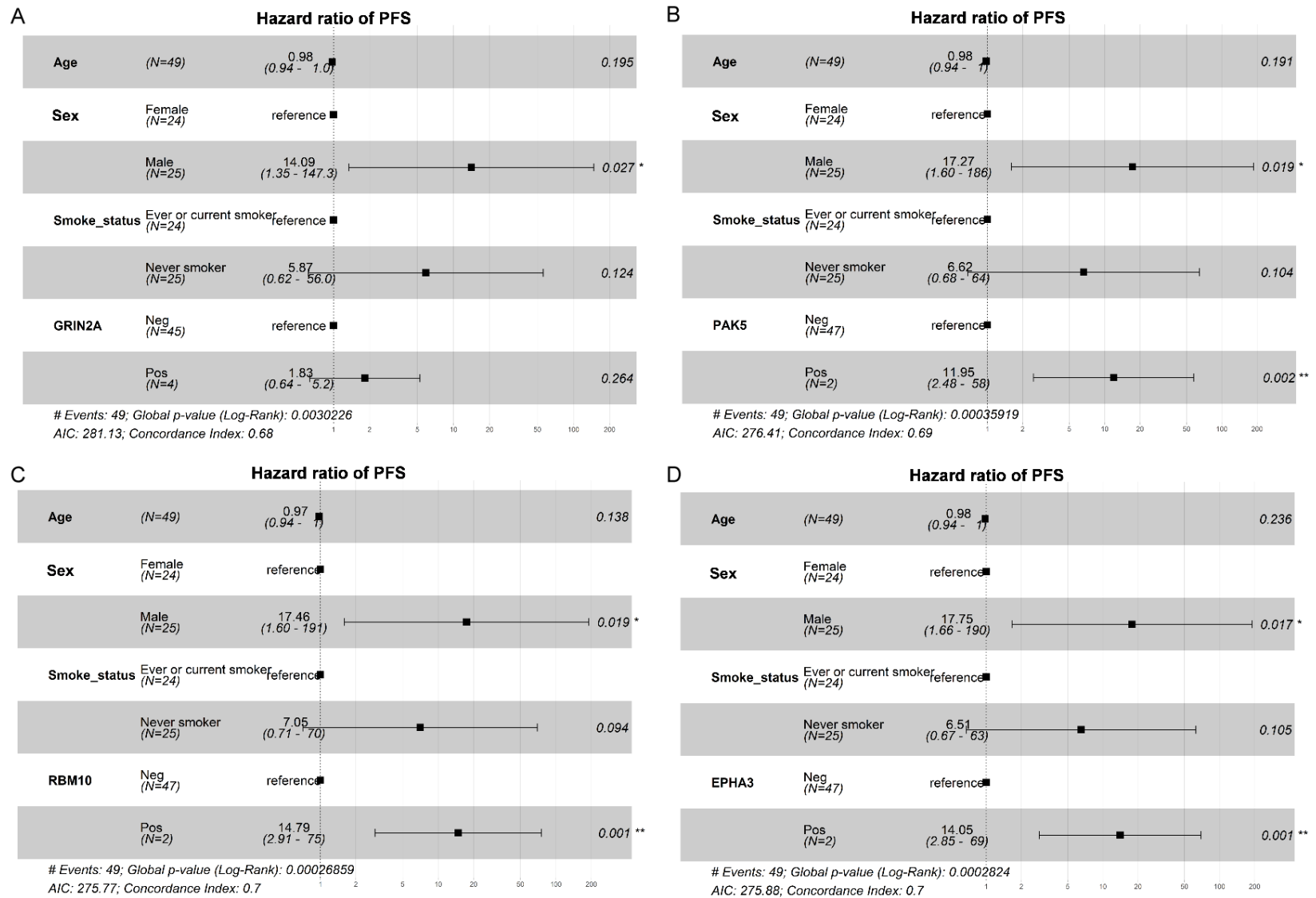
**Figure 2.** Analysis of the mutation profiling. A. Genetic profiling of 65 patients after developing resistance to first-generation EGFR-TKIs. B. Mutation spectrum of patients with *EGFR* mutations.

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**Figure 3.** Correlation analysis between mutation profiling and PFS in response to second-line osimertinib. (A) Genes exhibiting significant differences in mutation frequencies between the group with better PFS and the group with worse PFS in response to osimertinib. Kaplan-Meier survival analysis of *TP53* (B), *GRIN2A* (C), *HGF* (D), *PAK5* (E), *RBM10* (F), and *EPHA3* (G) mutations. A log-rank test was used to determine the difference between the groups. \* $P < 0.05$ . WT, wild type. MUT, mutation.

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**Figure 4.** Multivariable analyses for PFS. Multivariable analyses for PFS including *GRIN2A* (A), *PAK5* (B), *RBM10* (C) and *EPHA3* (D) mutations. \**P* < 0.05, \*\**P* < 0.01.



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and those with *EGFR* co-mutations (16.6 months vs. 12.2 months,  $P = 0.709$ ; [Supplementary Figure 2](#)).

### *RBM10 as an independent predictor of short OS in second-line osimertinib treatment*

Patients receiving EGFR-TKIs as second-line treatment had a relatively better prognosis compared to chemotherapy (mOS: 24.3 months vs. 14.3 months, HR: 0.58, 95% CI: 0.259-1.32,  $P = 0.190$ ; [Supplementary Figure 3A](#)). Patients with T790M mutations treated with osimertinib showed better survival than T790M-negative patients receiving other TKIs (mOS: 26.2 months vs. 14.8 months, HR: 0.43, 95% CI: 0.161-1.13,  $P = 0.078$ ; [Supplementary Figure 3B](#)). When comparing the mutation spectrum between the group with longer OS (mOS > 24 months) and the group with shorter OS (mOS ≤ 24 months) among patients receiving second-line therapies, significantly higher mutation frequencies of *TP53* (64.7% vs. 38.7%,  $P = 0.036$ ) and *HGF* (12.1% vs. 0%,  $P = 0.048$ ) in the shorter OS cohort were observed (**Figure 5A**). Kaplan-Meier survival analysis revealed that only *RBM10* mutations correlated with significantly shorter OS (HR: 5.8, 95% CI: 1.64-20.3,  $P = 0.002$ ; **Figure 5B-G**).

Focusing on the mutation spectrum difference between the group with longer OS (mOS > 26 months) and the group with shorter OS (mOS ≤ 26 months) among patients receiving osimertinib, higher mutation frequencies of *LRP1B*, *GRIN2A*, *HGF*, *PAK5*, and *RBM10* were associated with shorter survival ([Supplementary Figure 4A, 4B](#)). The significantly higher mutation frequencies of *TP53*, *GRIN2A*, and *HGF* were detected in the shorter OS group compared to the longer OS group (68.2% vs. 37.0%,  $P = 0.030$ ; 18.2% vs. 0%,  $P = 0.021$ ; 18.2% vs. 0%,  $P = 0.022$ ; **Figure 6A**). Kaplan-Meier survival analysis revealed that patients with *GRIN2A*, *HGF* and *RBM10* mutations exhibited significantly shorter OS than those without mutations (HR: 3.1, 95% CI: 1.01-9.19,  $P = 0.037$ ; 2.9, 0.967-8.57,  $P = 0.047$ ; 12.0, 2.25-68.3,  $P < 0.001$ ; **Figure 6B-G**). Multivariable logistic regression confirmed that *RBM10* mutation as an independent risk predictor of OS in osimertinib treatment (**Figure 7**). *EGFR* mutations and *EGFR* co-mutations did not significantly affect OS in second-line treatments ([Supplementary Figure 5](#)).

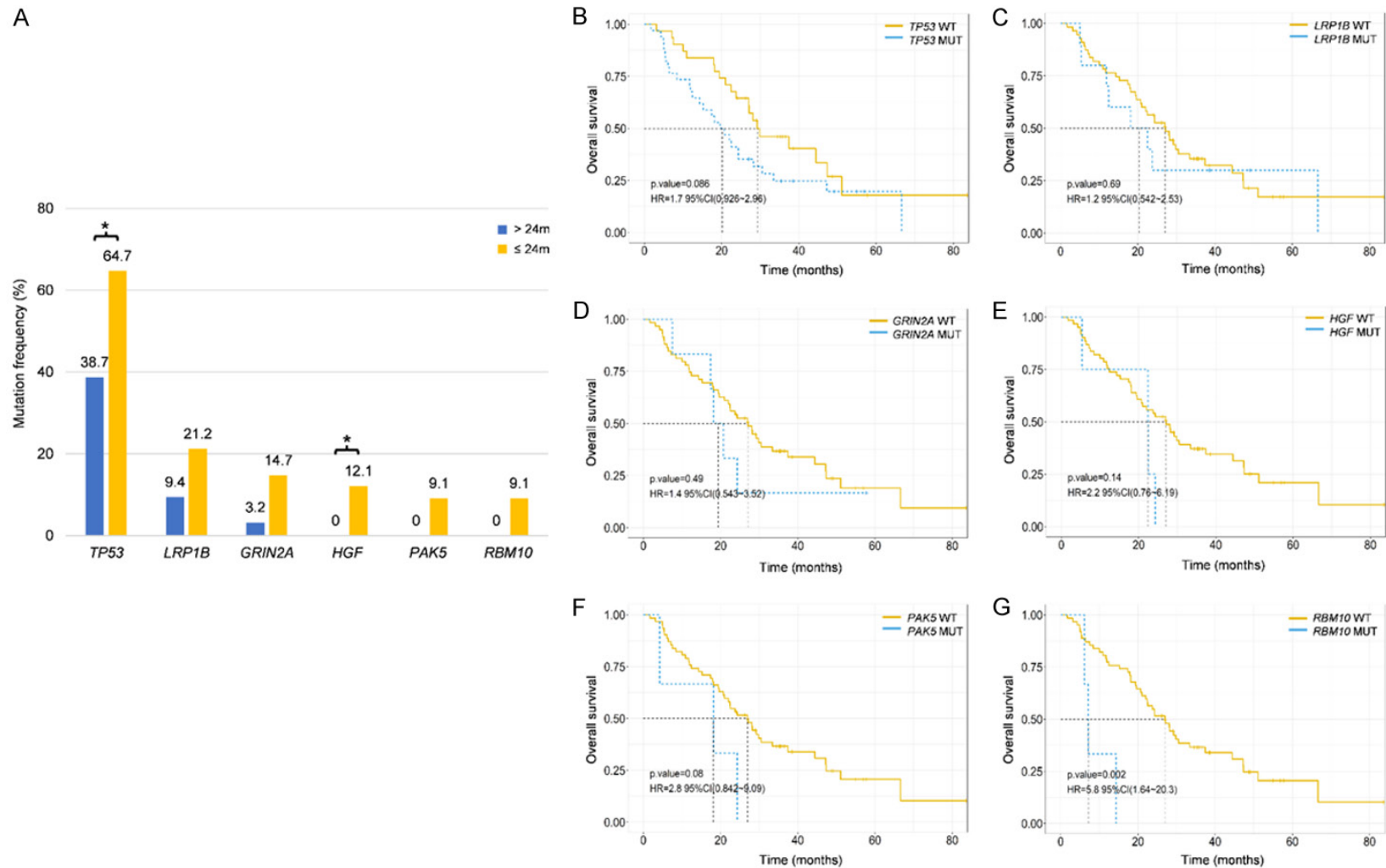
*RBM10* mutations promoted tumor cell proliferation and migration, while reducing cell apoptosis *in vitro*

The mutation sites of *RBM10* are shown in **Figure 8A** and have not been reported before. We transfected *RBM10* mutant plasmids into NCI-H1975 cells. No significant differences in the expression of *RBM10* mRNA were observed (**Figure 8B**). On the 2<sup>nd</sup> day after transfection, we observed that *RBM10* mutations markedly promoted the proliferation of tumor cells (**Figure 8C**). To assess the impact of *RBM10* mutations on the cell migration ability of human NSCLC cells, a transwell assay was conducted. The results revealed that *RBM10* mutant cells exhibited enhanced cell migration compared to *RBM10* wild-type cells (**Figure 8D**). In addition, *RBM10* mutant cells were associated with a decreased proportion of apoptotic cells (**Figure 8E**). When these cells were exposed to increased doses of osimertinib, those transfected with *RBM10* wild-type and mutant plasmids did not show significant difference in resistance to osimertinib (**Figure 8F, 8G**).

### *Heterogeneity of resistance mechanisms to osimertinib*

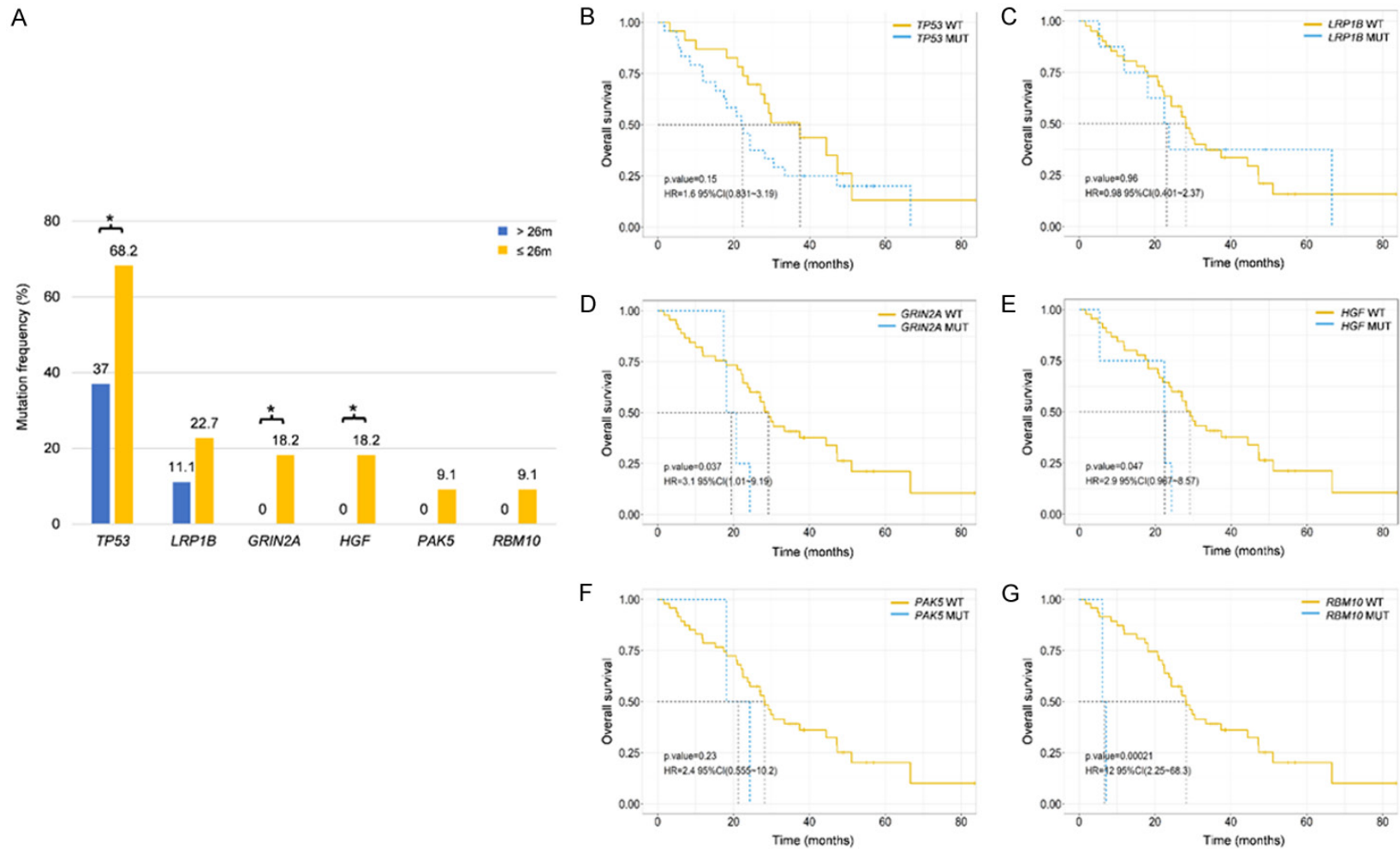
Among the 20 patients whose samples were paired before and after osimertinib resistance, we observed differences in the genetic profiles. Known *EGFR*-dependent resistant mutations and activation of alternative pathways were identified in 40% of all the patients, displaying great heterogeneity. C797S mutations were detected in 4 patients. All these 4 patients retained the T790M mutation, with 3 having both c.2389 T > A and 1 with c.2390 G > C for C797S. In addition to C797S, L718V was detected in 1 patient. *EGFR* amplifications were detected in 4 patients. Non-*EGFR* resistance mechanisms were identified in 3 patients with PI3K-AKT-mTOR signaling related genomic alterations occurring in 1 patient. Additionally, activation of *CCND1* was detected in 1 patient and *MET* amplification was identified in 1 patient. Remarkably, 3 patients harbored mutations in alternative pathways combined with *EGFR*-dependent mutations, including *EGFR*/*PIK3CA* mutation in 1 patient, *EGFR*/*CCND1* mutation in 1 patient and *EGFR*/*MET* mutation in 1 patient (**Table 2**). It is worth noting that among 60% (12/20) patients without common

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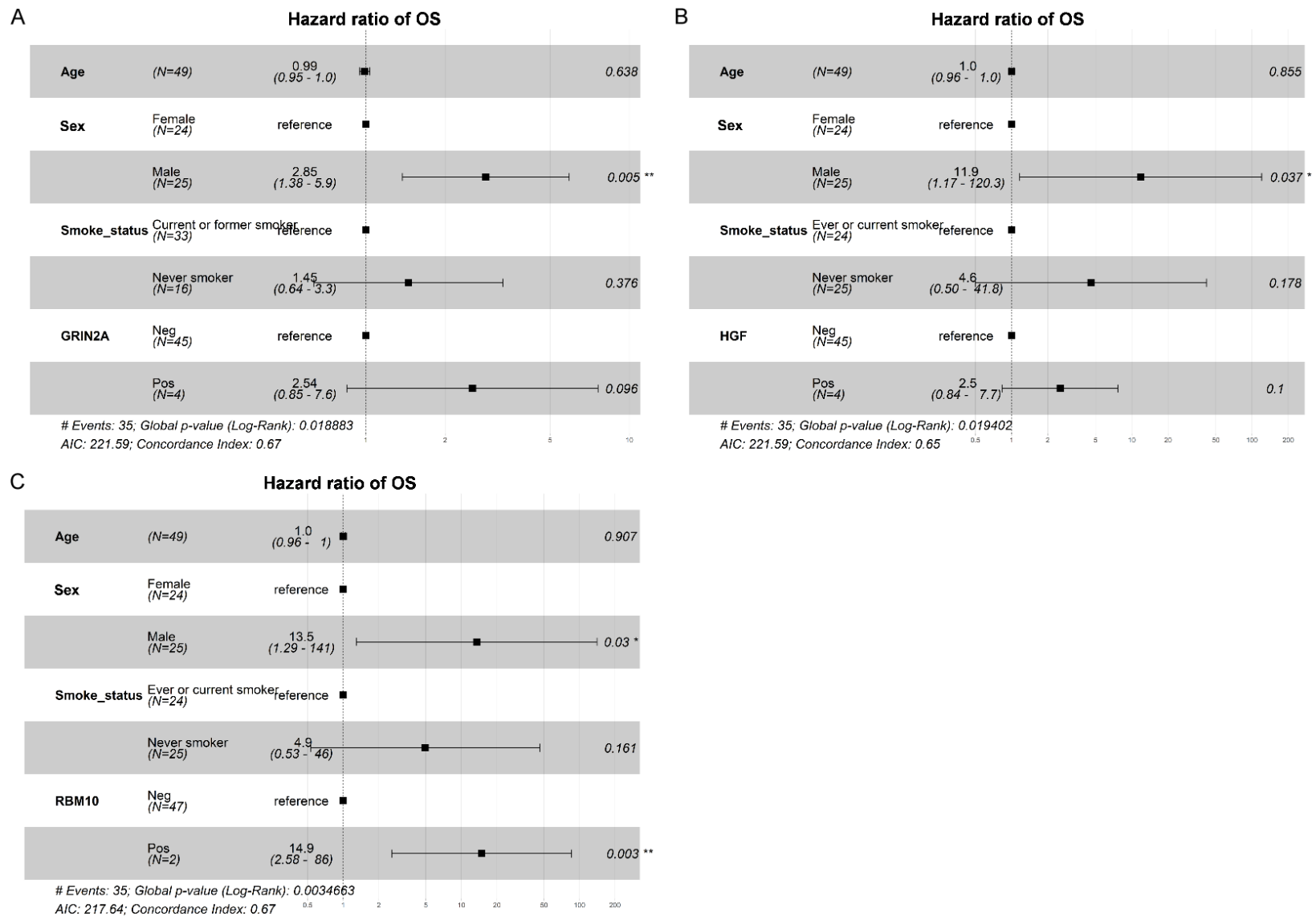
**Figure 5.** Correlation analysis between mutation profiling and OS in response to second-line therapy. (A) Genes with significant differences in mutation frequencies between the group with a longer OS and a shorter OS in response to second-line therapy. Kaplan-Meier survival analysis of *TP53* (B), *LRP1B* (C), *GRIN2A* (D), *HGF* (E), *PAK5* (F) and *RBM10* (G) genes. A log-rank test was used to determine the difference between the groups. \* $P < 0.05$ . WT, wild type. MUT, mutation.

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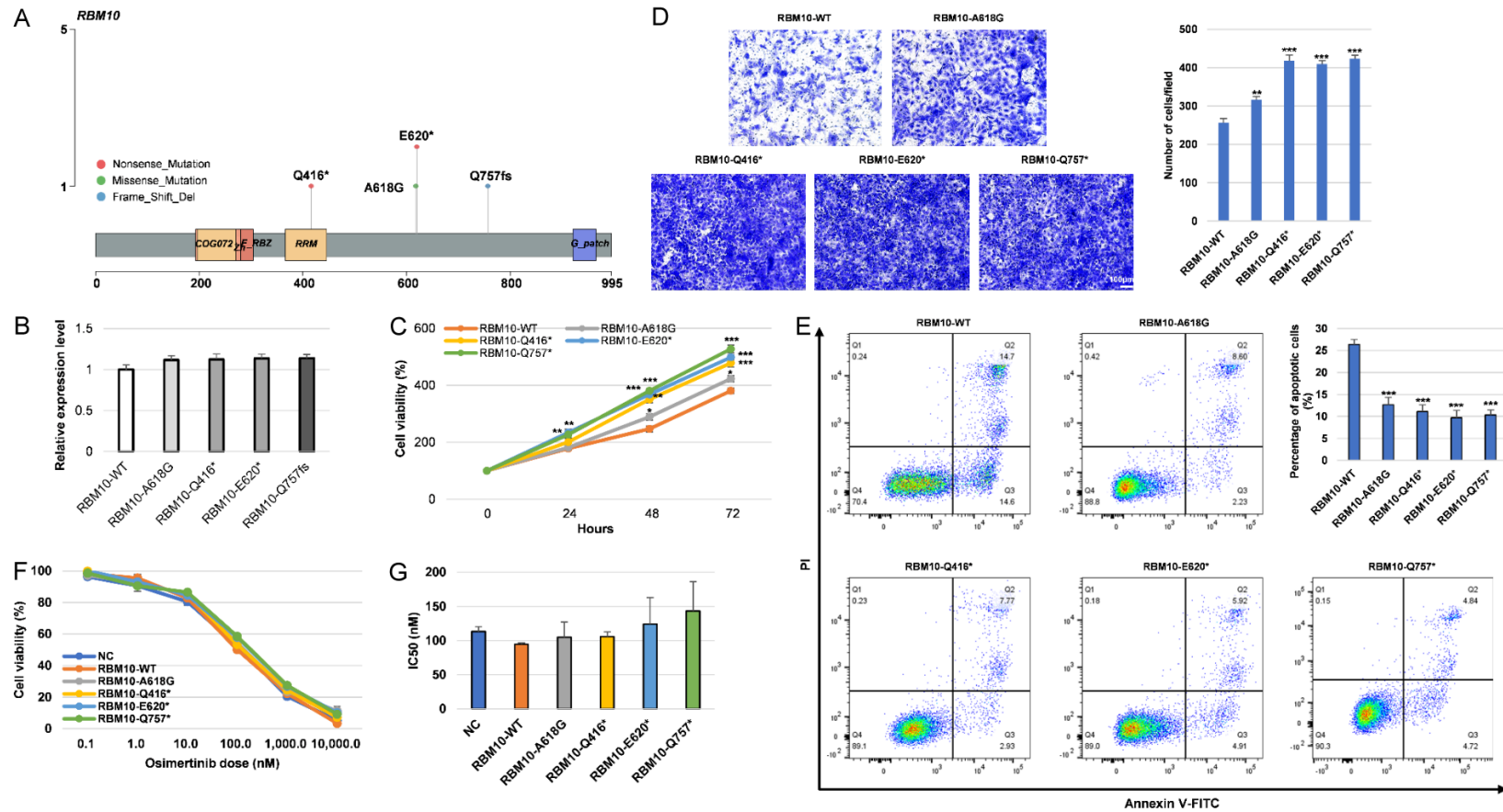
**Figure 6.** Correlation analysis between mutation profiling and OS in response to second-line osimertinib. (A) Genes with significant differences in mutation frequencies between the group a longer OS and the group with a shorter OS in response to osimertinib. Kaplan-Meier survival analysis of *TP53* (B), *LRP1B* (C), *GRIN2A* (D), *HGF* (E), *PAK5* (F) and *RBM10* (G) mutations. A log-rank test was used to determine the difference between the groups. \* $P < 0.05$ . WT, wild type. MUT, mutation.

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**Figure 7.** Multivariable analyses for OS. Multivariable analyses for OS including *GRIN2A* (A), *HGF* (B) and *RBM10* (C) mutations. \* $P < 0.05$ , \*\* $P < 0.01$ .

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**Figure 8.** *RBM10* mutations promoted cell proliferation and migration and reduced apoptosis. A. Mutant sites of *RBM10* gene. B. Real-time PCR was employed to assess the mRNA expression levels of *RBM10* following transfection with the *RBM10* WT and mutant plasmids. C. Cell proliferation of NCI-H1975 cells after transfection with the *RBM10* WT and mutant plasmids. D. The migration of NCI-H1975 cells was assessed using a transwell assay. The migration ability of cells was determined by counting the number of cells that had migrated through the membrane, as indicated by crystal violet staining. The quantification results were obtained from three independent experiments. E. Cell apoptosis was assessed through flow cytometry analysis. F, G. NCI-H1975 cells were treated with indicated concentrations of osimertinib for 24 h. Cell viability and the IC50 values of osimertinib were displayed. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . NC, negative control. WT, wild type.



**Table 2.** Known resistance mechanisms to osimertinib in patients

| Resistant mutations                   | (n = 20) |
|---------------------------------------|----------|
| <i>EGFR</i> -dependent pathways       |          |
| C797S                                 | 4        |
| L718V                                 | 1        |
| <i>EGFR</i> amplification             | 4        |
| Concurrent <i>EGFR</i> mutations      | 1        |
| Alternative pathways activation       |          |
| Activating of PI3K-AKT-mTOR signaling | 1        |
| Activating mutation of <i>CCND1</i>   | 1        |
| Amplification of <i>MET</i>           | 1        |
| Concurrent mutations with <i>EGFR</i> | 3        |

mutations, no mutations were found in the *BRCA2* and *HIST1H2BD* genes in the pre-treatment tissues of the paired samples (Figure 9A). Additionally, only *HIST1H2BD* was not detected in any of the 68 patients at the time of diagnosis, confirming its acquisition as a drug-resistance factor. In this study, two novel *HIST1H2BD* mutations, K25Q and E36D, were identified in two cases, and they were not located in a commonly known functional domain (Figure 9B). Both mutations altered the protein's structure according to computer simulations (Figure 9C-F).

*HIST1H2BD* p.K25Q and p.E36D mutations induced resistance to osimertinib

We transfected *HIST1H2BD* mutant plasmids into NCI-H358 cells. We found that there was no significant difference in the expression of *HIST1H2BD* mRNA (Figure 10A). We next determined whether *HIST1H2BD* p.K25Q and p.E36D mutations contributed to osimertinib resistance. As expected, cells expressing the *EGFR* 19del mutation were sensitive to icotinib and osimertinib (Figure 10B and 10C), while cells containing *EGFR* T790M were sensitive to osimertinib. *EGFR* mutant cells transfected with the *HIST1H2BD* p.K25Q and p.E36D mutant variants exhibited strong resistance to osimertinib (Figure 10D). As illustrated in Supplementary Figure 6A, a higher number of *EGFR* mutant cells transfected with the *HIST1H2BD* p.K25Q and p.E36D mutant variants passed through the upper membrane of the transwell inserts compared to *HIST1H2BD* wild-type transfected cells, following treatment with 100 nM osimertinib. Moreover, in the presence

of osimertinib, *HIST1H2BD* p.K25Q and p.E36D mutations were associated with a reduction in cell apoptosis (Supplementary Figure 6B).

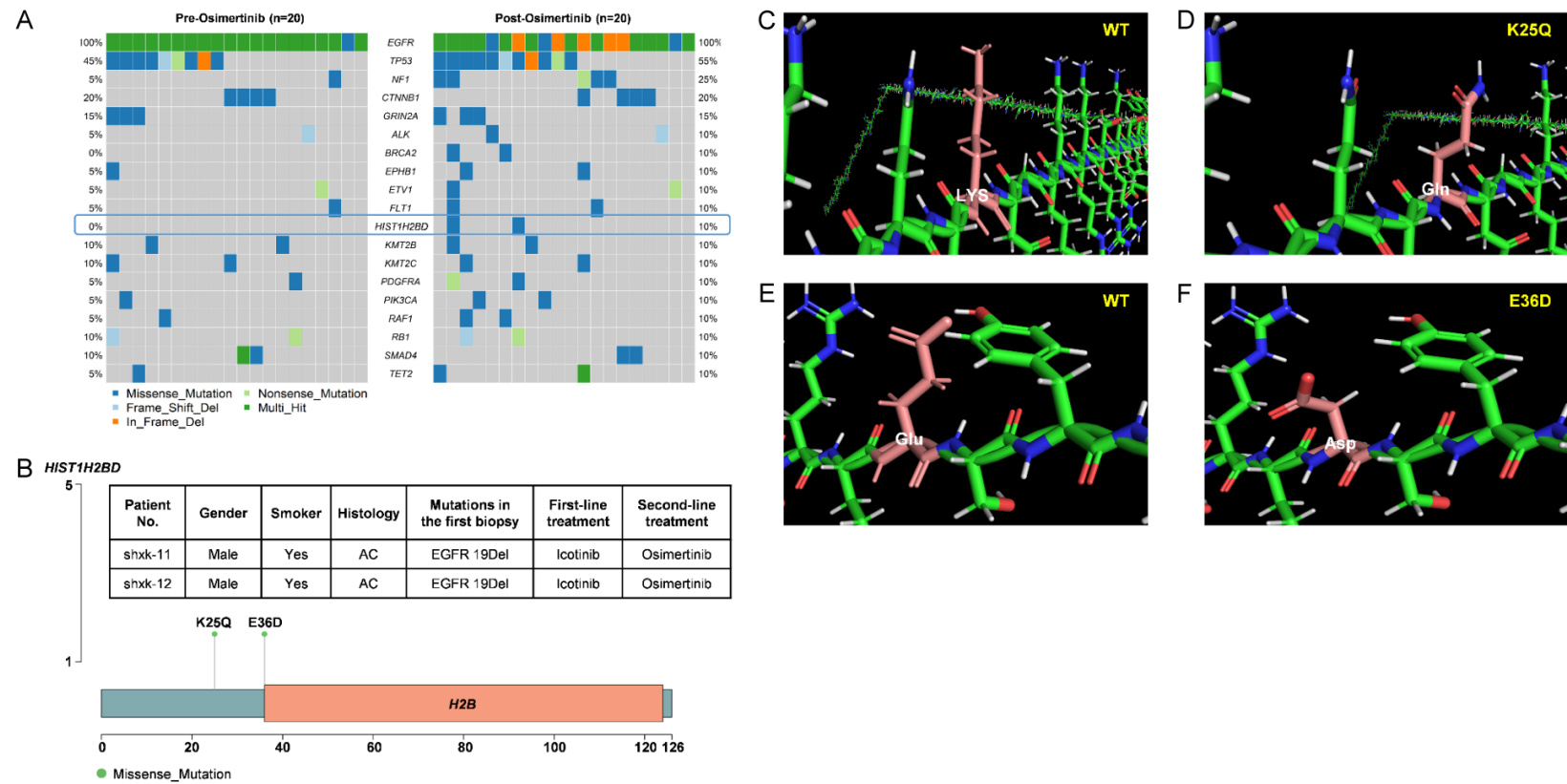
**Discussion**

Patients with *EGFR* mutations can benefit from *EGFR*-TKIs treatment. However, the heterogeneity of lung cancer results in different responses and outcomes among patients possessing the same sensitive *EGFR* mutations. This study presents a comprehensive analysis of the mutation spectrum in NSCLC patients, emphasizing the necessity of heightened attention and collaborative efforts to unravel the biological and clinical implications of rare mutations for precise clinical treatment and prognostic monitoring [26, 27].

To simultaneously investigate the predictive impact of gene alterations on the efficacy and prognosis of osimertinib treatment, this study further examined the mutation patterns in patients with shorter PFS and those with longer PFS. The results revealed that mutations in *GRIN2A*, *PAK5*, *RBM10*, and *EPHA3* were significantly associated with shorter PFS. Among them, *PAK5*, *RBM10*, and *EPHA3* were identified as independent risk predictors of PFS. Previous studies have reported that *PAK5*, *RBM10*, and *EPHA3* were related to the proliferation and metastasis of lung cancer [28-30], but this study is the first to confirm that these three genes independently contribute to worse PFS in osimertinib treatment. Additionally, we observed significant associations between *GRIN2A*, *HGF*, and *RBM10* mutations and shorter OS. Notably, *RBM10* emerged not only as an independent risk predictor of PFS but also an independent risk factor for OS. Moreover, *BRCA1* and *BRCA2* mutations may be related to chemotherapy prognosis rather than TKIs treatment, although no statistical differences were observed. To validate these findings, larger sample sizes will be imperative in future research.

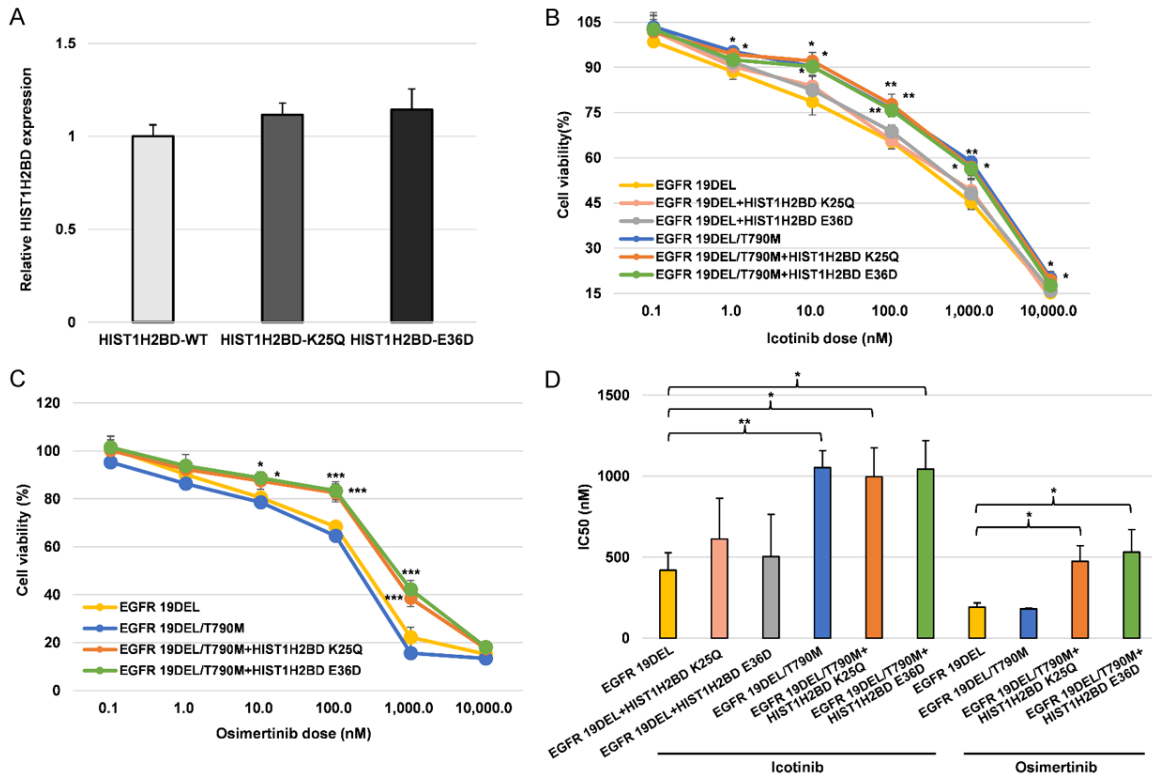
Previous research has shown that *RBM10* deficiency in *EGFR* mutant lung adenocarcinoma reduces the apoptotic response to *EGFR*-TKIs treatment, leading to tumor progression and poorer clinical outcomes. *RBM10* controls the alternative splicing of apoptosis regulatory factor Bcl-x to produce two subtypes: Bcl-xL (anti-

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**Figure 9.** Novel resistance mechanisms to osimertinib. A. Comparison of gene mutation frequencies in tissues obtained from before osimertinib treatment and after the development of osimertinib resistance. B. Distribution of *HIST1H2BD* mutation sites. C-F. 3D protein structures of wild-type and mutant proteins of *HIST1H2BD*.

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**Figure 10.** *HIST1H2BD* p.K25Q and p.E36D mutations induced resistance to osimertinib *in vitro*. (A) Real time-PCR was utilized to assess *HIST1H2BD* mRNA expression levels following transfection with *HIST1H2BD* wild-type and mutant plasmids. H358 cells carrying *EGFR* 19DEL and T790M mutations, along with the indicated mutations, were subjected to treatment with icotinib (B) and osimertinib (C) at specified concentrations. Cell viability was assessed following a 24-hour treatment and depicted for comparison with untreated control cells. (D) IC<sub>50</sub> values of cells in response to osimertinib were depicted in bar graphs for comparison analysis. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001.

apoptosis) and Bcl-xS (pro-apoptosis) [31, 32]. Shigeki Nanjo et al reported that *RBM10* deficiency modifies Bcl-x splicing to increase Bcl-xL, thereby limiting cell apoptosis after EGFR-TKIs treatment [33]. In clinical trials, the mutation and/or expression of *RBM10* may be a promising biomarker for the response to osimertinib and navitoclax [34], and our study also confirms this, proving that *RBM10* as a biomarker for EGFR-TKI treatment response is a future research area that can help enhance patient treatment choices and improve clinical outcomes. Besides Bcl-x, will *RBM10* regulate its participation in treatment response through other pathways? This is the direction of our future mechanism research.

Previous studies have reported a detection rate of *EGFR*-dependent mutations in post-osimertinib treatment samples ranging from 70% to 100% [35]. Our findings, however, show a lower rate of 40%. This discrepancy indicates the

importance and urgency of exploring non-*EGFR* pathway mechanisms of osimertinib resistance [36]. Among the 60% of patients without *EGFR* secondary mutations and other common resistance mutations, we identified mutations in the histone gene, *HIST1H2BD*, in two patients' post-resistance tissues simultaneously. Histone plays a crucial role in regulating gene expression and chromosomal structure within cells [37]. Some tumor cells may undergo histone modifications after receiving osimertinib treatment, leading to changes in gene expression patterns and affecting the drug's effectiveness [38]. Combining osimertinib with the Histone deacetylases (HDACs) inhibitor can effectively overcome acquired resistance of *EGFR* mutant NSCLC cells to osimertinib [39, 40]. Previous research has demonstrated that poly (ADP-ribose) glycohydrolase (*PARG*) silencing could inhibit *HIST1H2BD* expression during BaP-induced lung carcinogenesis [41], but

there is currently a lack of research on *HIST1H2BD* in the context of osimertinib resistance. This provides us with a direction for our subsequent study on how *HIST1H2BD* mutations lead to resistance to osimertinib. The biggest limitation of this study is the sample size, although these are still differences between groups with a better prognosis and those with a worse prognosis.

### Conclusion

This study underscores the heterogeneity of NSCLC, a factor that impacts the response and resistance to second-line osimertinib treatment. *RBM10* is not only an independent risk factor for osimertinib PFS, but also an independent risk factor for OS. Additionally, our findings indicate that resistance to osimertinib is highly heterogeneous among individuals, warranting deeper investigation.

### Acknowledgements

This work was supported by the Scientific Research Project of Shanghai Municipal Health Commission (201940084) and the Beijing Medical and Health Foundation (YWJKJJKH-YJJ-F2213E).

### Disclosure of conflict of interest

WYX, YYH and LD were employed by company Singlera Genomics (Shanghai) Ltd. The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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**Supplementary Table 1.** Patient clinical information and treatment

| Patient No. | Age at diagnosis (years) | Sex    | Smoking Status         | Pathological Histology  | TNM stage | EGFR Mutations in the first biopsy | First-line EGFR-TKIs | Second-line treatment  | Death | Overall survival (months) |
|-------------|--------------------------|--------|------------------------|-------------------------|-----------|------------------------------------|----------------------|------------------------|-------|---------------------------|
| shxk-1      | 53                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | No    | 89.5                      |
| shxk-2      | 58                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 40.9                      |
| shxk-3      | 47                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Gefitinib            | Osimertinib            | No    | 44.0                      |
| shxk-4      | 67                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 58.0                      |
| shxk-5      | 55                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR L858R                         | Icotinib             | Osimertinib            | Yes   | 36.3                      |
| shxk-6      | 56                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 79.0                      |
| shxk-7      | 43                       | Male   | Never smoker           | Adenocarcinoma          | III       | EGFR 19Del                         | Erlotinib            | Osimertinib            | Yes   | 28.4                      |
| shxk-8      | 74                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 43.0                      |
| shxk-9      | 54                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Gefitinib            | Osimertinib            | Yes   | 23.7                      |
| shxk-10     | 59                       | Female | Never smoker           | Squamous cell carcinoma | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 46.8                      |
| shxk-11     | 51                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 38.5                      |
| shxk-12     | 54                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 47.4                      |
| shxk-13     | 60                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Gefitinib            | Osimertinib            | Yes   | 41.8                      |
| shxk-14     | 67                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Icotinib             | Osimertinib            | Yes   | 34.9                      |
| shxk-15     | 64                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 73.0                      |
| shxk-16     | 72                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 40.8                      |
| shxk-17     | 63                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Erlotinib            | Osimertinib            | No    | 46.2                      |
| shxk-18     | 56                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Erlotinib            | Erlotinib+Chemotherapy | Yes   | 40.1                      |
| shxk-19     | 70                       | Male   | Ever or current smoker | Adenosquamous carcinoma | III       | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 33.7                      |
| shxk-20     | 46                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Icotinib             | Icotinib+Chemotherapy  | Yes   | 35.1                      |
| shxk-21     | 53                       | Male   | Ever or current smoker | Adenocarcinoma          | III       | EGFR 19Del                         | Icotinib             | Icotinib+Chemotherapy  | Yes   | 21.9                      |
| shxk-22     | 58                       | Male   | Ever or current smoker | Adenocarcinoma          | III       | EGFR 19Del                         | Gefitinib            | Osimertinib            | Yes   | 29.0                      |
| shxk-23     | 44                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Icotinib             | Osimertinib            | No    | 70.2                      |
| shxk-24     | 53                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 58.7                      |
| shxk-25     | 68                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR L858R                         | Icotinib             | Osimertinib            | Yes   | 10.6                      |
| shxk-26     | 55                       | Male   | Ever or current smoker | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Chemotherapy           | Yes   | 19.6                      |
| shxk-27     | 68                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Erlotinib            | Osimertinib            | Yes   | 21.1                      |
| shxk-28     | 55                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 15.5                      |
| shxk-29     | 68                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Gefitinib            | Chemotherapy           | Yes   | 36.0                      |
| shxk-30     | 65                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR L858R                         | Icotinib             | Chemotherapy           | Yes   | 38.0                      |
| shxk-31     | 44                       | Female | Never smoker           | Adenosquamous carcinoma | IV        | EGFR 19Del                         | Icotinib             | Osimertinib            | Yes   | 58.6                      |
| shxk-32     | 41                       | Female | Never smoker           | Adenocarcinoma          | IV        | EGFR 19Del                         | Gefitinib            | Crizotinib             | Yes   | 29.3                      |

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|         |    |        |                        |                         |     |            |           |                       |     |      |
|---------|----|--------|------------------------|-------------------------|-----|------------|-----------|-----------------------|-----|------|
| shxk-33 | 62 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | No  | 89.6 |
| shxk-34 | 49 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Erlotinib | Osimertinib           | Yes | 24.0 |
| shxk-35 | 60 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | Yes | 12.7 |
| shxk-36 | 66 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | Yes | 42.6 |
| shxk-37 | 62 | Female | Never smoker           | Adenocarcinoma          | III | EGFR 19Del | Gefitinib | Crizotinib            | Yes | 21.7 |
| shxk-38 | 56 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Chemotherapy          | Yes | 27.9 |
| shxk-39 | 80 | Female | Never smoker           | Adenocarcinoma          | III | EGFR L858R | Icotinib  | Osimertinib           | Yes | 46.3 |
| shxk-40 | 49 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Erlotinib | Osimertinib           | Yes | 17.5 |
| shxk-41 | 56 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 48.6 |
| shxk-42 | 73 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Icotinib+Chemotherapy | Yes | 29.5 |
| shxk-43 | 72 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | No  | 98.5 |
| shxk-44 | 66 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 34.0 |
| shxk-45 | 70 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Gefitinib | Osimertinib           | Yes | 59.4 |
| shxk-46 | 62 | Male   | Ever or current smoker | Adenocarcinoma          | III | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 30.3 |
| shxk-47 | 73 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR L858R | Erlotinib | Osimertinib           | Yes | 68.8 |
| shxk-48 | 60 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 75.7 |
| shxk-49 | 61 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | No  | 89.3 |
| shxk-50 | 56 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | Yes | 38.0 |
| shxk-51 | 73 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | No  | 65.1 |
| shxk-52 | 57 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | Yes | 43.0 |
| shxk-53 | 48 | Male   | Ever or current smoker | Squamous cell carcinoma | IV  | EGFR L858R | Icotinib  | Chemotherapy          | Yes | 17.6 |
| shxk-54 | 55 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 50.9 |
| shxk-55 | 77 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | No  | 44.7 |
| shxk-56 | 62 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Osimertinib           | No  | 79.7 |
| shxk-57 | 73 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | No  | 70.7 |
| shxk-58 | 68 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 48.8 |
| shxk-59 | 46 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Erlotinib | Osimertinib           | Yes | 12.0 |
| shxk-60 | 49 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Erlotinib | Osimertinib           | No  | 45.0 |
| shxk-61 | 74 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Chemotherapy          | Yes | 24.1 |
| shxk-62 | 57 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Gefitinib | Chemotherapy          | No  | 98.7 |
| shxk-63 | 74 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Chemotherapy          | Yes | 61.4 |
| shxk-64 | 58 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR L858R | Icotinib  | Icotinib+Chemotherapy | Yes | 40.6 |
| shxk-65 | 65 | Male   | Ever or current smoker | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Chemotherapy          | Yes | 21.2 |
| shxk-66 | 78 | Female | Never smoker           | Adenocarcinoma          | IV  | EGFR 19Del | Icotinib  | Osimertinib           | Yes | 33.9 |
| shxk-67 | 39 | Male   | Ever or current smoker | Adenosquamous carcinoma | IV  | EGFR L858R | Icotinib  | Chemotherapy          | Yes | 33.5 |
| shxk-68 | 72 | Female | Never smoker           | Adenocarcinoma          | II  | EGFR L858R | Gefitinib | Osimertinib           | Yes | 81.8 |

Genetic alterations and osimertinib efficacy, outcomes and resistance

**Supplementary Table 2.** Genes list of Singlera OncoAim® Panoramic Detection Panel

|         |          |         |         |        |          |         |        |          |         |         |          |        |
|---------|----------|---------|---------|--------|----------|---------|--------|----------|---------|---------|----------|--------|
| ABCB1   | BRD4     | COL5A1  | EPCAM   | FGFR3  | HIST3H3  | KMT2B   | MSI2   | PCNA     | PTPRT   | SETD8   | TEK      | ZFHX3  |
| ABCB9   | BRIP1    | CREBBP  | EPHA3   | FGFR4  | HLA-A    | KMT2C   | MST1   | PDCD1    | QKI     | SF3B1   | TERT     | ZNF217 |
| ABL1    | BTG1     | CRKL    | EPHA5   | FH     | HLA-B    | KMT2D   | MST1R  | PDCD1LG2 | RAB35   | SGK1    | TET1     | ZNF703 |
| ABL2    | BTG2     | CRLF2   | EPHA7   | FLCN   | HLA-C    | KNSTRN  | MTAP   | PDGFRA   | RAC1    | SH2B3   | TET2     |        |
| ACE2    | BTK      | CSDE1   | EPHB1   | FLT1   | HLA-DRB1 | KRAS    | MTHFR  | PDGFRB   | RAC2    | SH2D1A  | TGFBR1   |        |
| ACVR1   | C10orf54 | CSF1R   | EPHB4   | FLT3   | HMGB1    | LATS1   | MTOR   | PDIA3    | RAD21   | SHOC2   | TGFBR2   |        |
| ACVR1B  | C11orf30 | CSF3R   | EPHX1   | FLT4   | HMG1     | LATS2   | MTRR   | PDK1     | RAD50   | SHQ1    | TIPARP   |        |
| AGO2    | C8orf34  | CTCF    | ERAP1   | FOXA1  | HNF1A    | LGALS9  | MUTYH  | PDPK1    | RAD51   | SLC34A2 | TMEM127  |        |
| AKT1    | CALR     | CTLA4   | ERAP2   | FOXL2  | HOXB13   | LGMN    | MYB    | PGR      | RAD51B  | SLC01B1 | TMPRSS2  |        |
| AKT2    | CANX     | CTNNA1  | ERBB2   | FOXO1  | HRAS     | LIG1    | MYC    | PHF6     | RAD51C  | SLIT2   | TNF      |        |
| AKT3    | CARD11   | CTNNA1  | ERBB3   | FOXP1  | HSD3B1   | LIG3    | MYCL   | PHOX2B   | RAD51D  | SLX4    | TNFAIP3  |        |
| ALK     | CARM1    | CTSB    | ERBB4   | FRS2   | HSP90AA1 | LMO1    | MYCN   | PIK3C2B  | RAD52   | SMAD2   | TNFRSF14 |        |
| ALOX12B | CASP8    | CTSL    | ERCC1   | FUBP1  | ICOSLG   | LNPEP   | MYD88  | PIK3C2G  | RAD54L  | SMAD3   | TNFRSF9  |        |
| AMER1   | CBFB     | CTSS    | ERCC2   | FYN    | ID3      | LRP1B   | MYOD1  | PIK3C3   | RAF1    | SMAD4   | TNFSF14  |        |
| ANKRD11 | CBL      | CUL3    | ERCC3   | GABRA6 | IDE      | LTK     | NBN    | PIK3CA   | RANBP2  | SMARCA4 | TNFSF18  |        |
| APC     | CBR3     | CUL4A   | ERCC4   | GATA1  | IDH1     | LYN     | NCOA3  | PIK3CB   | RARA    | SMARCB1 | TNFSF4   |        |
| AR      | CCND1    | CXCR4   | ERCC5   | GATA2  | IDH2     | LZTR1   | NCOR1  | PIK3CD   | RASA1   | SMARCD1 | TNFSF9   |        |
| ARAF    | CCND2    | CYLD    | ERF     | GATA3  | IFI30    | MAF     | NEGR1  | PIK3CG   | RB1     | SMO     | TOP1     |        |
| ARFRP1  | CCND3    | CYP17A1 | ERG     | GATA4  | IFNGR1   | MAGI2   | NF1    | PIK3R1   | RBM10   | SMYD3   | TOP2A    |        |
| ARID1A  | CCNE1    | CYP19A1 | ERRF1   | GATA6  | IGF1     | MALT1   | NF2    | PIK3R2   | RECQL   | SNCAIP  | TP53     |        |
| ARID1B  | CD200    | CYP2C19 | ESR1    | GID4   | IGF1R    | MAP2K1  | NFE2L2 | PIK3R3   | RECQL4  | SOCS1   | TP53BP1  |        |
| ARID2   | CD22     | CYP2C8  | ETV1    | GLI1   | IGF2     | MAP2K2  | NFKBIA | PIM1     | REL     | SOD2    | TP63     |        |
| ARID5B  | CD274    | CYP2C9  | ETV4    | GNA11  | IKBKE    | MAP2K4  | NKX2-1 | PLCG2    | RET     | SOS1    | TP73     |        |
| ASXL1   | CD276    | CYP2D6  | ETV5    | GNA13  | IKZF1    | MAP3K1  | NKX3-1 | PLK2     | RFWD2   | SOX10   | TPMT     |        |
| ASXL2   | CD40     | CYP3A4  | ETV6    | GNAQ   | IL10     | MAP3K13 | NOTCH1 | PMAIP1   | RHEB    | SOX17   | TPP2     |        |
| ATM     | CD40LG   | CYSLTR2 | EWSR1   | GNAS   | IL7R     | MAP3K14 | NOTCH2 | PMS1     | RHOA    | SOX2    | TRAF2    |        |
| ATR     | CD48     | DAXX    | EXO1    | GPR124 | INHA     | MAPK1   | NOTCH3 | PMS2     | RICTOR  | SOX9    | TRAF7    |        |
| ATRX    | CD70     | DCUN1D1 | EZH1    | GPS2   | INHBA    | MAPK3   | NOTCH4 | PNRC1    | RIT1    | SPEN    | TSC1     |        |
| AURKA   | CD74     | DDR1    | EZH2    | GREM1  | INPP4A   | MAPKAP1 | NPEPPS | POLB     | RNF43   | SPOP    | TSC2     |        |
| AURKB   | CD79A    | DDR2    | EZR     | GRIN2A | INPP4B   | MAX     | NPM1   | POLD1    | ROS1    | SPRED1  | TSHR     |        |
| AXIN1   | CD79B    | DHFR    | FAM175A | GRM3   | INPL1    | MCL1    | NQO1   | POLE     | RPS6KA4 | SPTA1   | TYMS     |        |
| AXIN2   | CD80     | DICER1  | FAM46C  | GSK3B  | INSR     | MDC1    | NRAS   | PPARG    | RPS6KB2 | SRC     | TYRO3    |        |
| AXL     | CD86     | DIS3    | FAM58A  | GSTP1  | IRF2     | MDM2    | NRD1   | PPM1D    | RPTOR   | SRSF2   | U2AF1    |        |
| B2M     | CDA      | DMD     | FANCA   | H3F3A  | IRF4     | MDM4    | NSD1   | PPP2R1A  | RRAGC   | STAG2   | UGT1A1   |        |

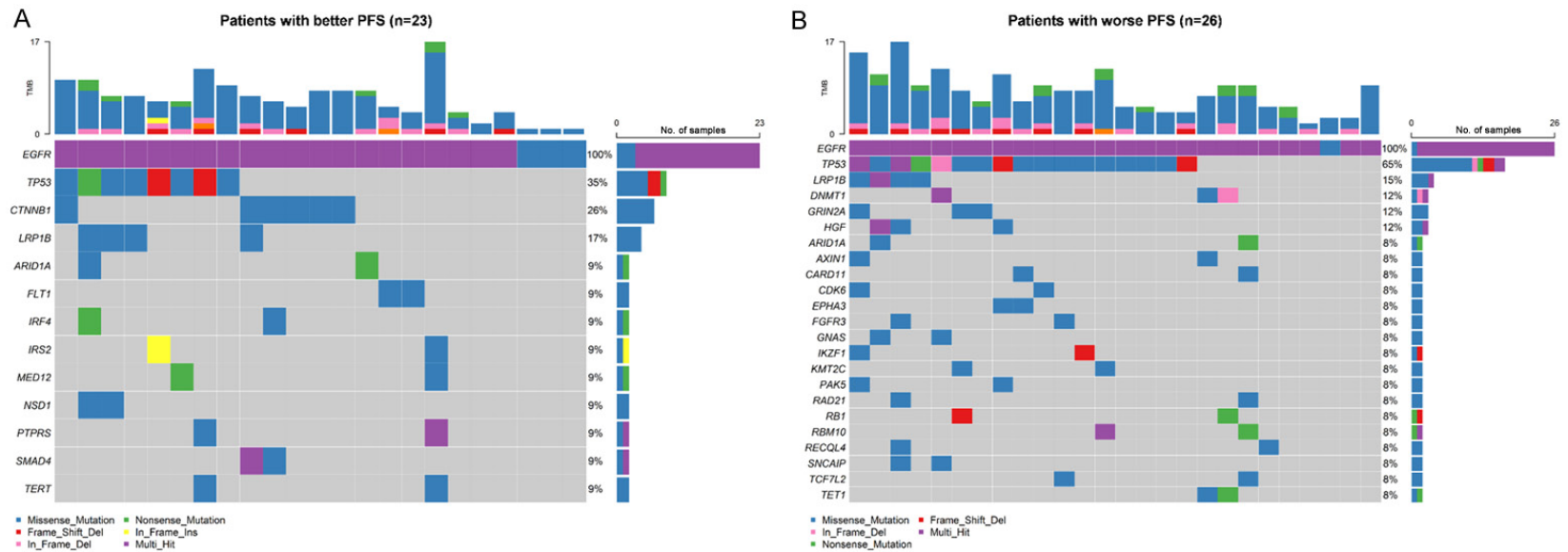
## Genetic alterations and osimertinib efficacy, outcomes and resistance

|         |        |         |        |           |       |        |       |         |         |        |         |
|---------|--------|---------|--------|-----------|-------|--------|-------|---------|---------|--------|---------|
| BABAM1  | CDC42  | DNAJB1  | FANCC  | H3F3B     | IRS1  | MED12  | NT5C2 | PPP2R2A | RRAS    | STAT3  | UGT1A9  |
| BAP1    | CDC73  | DNMT1   | FANCD2 | H3F3C     | IRS2  | MEF2B  | NTHL1 | PPP4R2  | RRAS2   | STAT4  | UPF1    |
| BARD1   | CDH1   | DNMT3A  | FANCE  | HDAC1     | ITGAV | MEN1   | NTRK1 | PPP6C   | RRM1    | STAT5A | VEGFA   |
| BBC3    | CDK12  | DNMT3B  | FANCF  | HERC1     | ITGB3 | MERTK  | NTRK2 | PRDM1   | RSP02   | STAT5B | VHL     |
| BCL10   | CDK4   | DOT1L   | FANCG  | HGF       | JAK1  | MET    | NTRK3 | PRDM14  | RTEL1   | STK11  | VTCN1   |
| BCL2    | CDK6   | DPYD    | FANCL  | HIST1H1C  | JAK2  | MGA    | NUF2  | PREX2   | RUNX1   | STK19  | WHSC1   |
| BCL2L1  | CDK8   | DROSHA  | FAS    | HIST1H2BD | JAK3  | MICA   | NUP93 | PRKAR1A | RUNX1T1 | STK40  | WHSC1L1 |
| BCL2L11 | CDKN1A | DUSP4   | FAT1   | HIST1H3A  | JUN   | MICB   | NUTM1 | PRKCI   | RXRA    | SUFU   | WISP3   |
| BCL2L2  | CDKN1B | DYNC2H1 | FBXW7  | HIST1H3B  | KAT6A | MITF   | P2RY8 | PRKD1   | RYBP    | SUZ12  | WT1     |
| BCL6    | CDKN2A | E2F3    | FGF10  | HIST1H3C  | KDM5A | MKNK1  | PAK1  | PRKDC   | SDC4    | SYK    | WWTR1   |
| BCOR    | CDKN2B | EED     | FGF12  | HIST1H3D  | KDM5C | MLH1   | PAK3  | PRSS8   | SDHA    | TAF1   | XIAP    |
| BCORL1  | CDKN2C | EGFL7   | FGF14  | HIST1H3E  | KDM6A | MLH3   | PAK7  | PTCH1   | SDHAF2  | TAP1   | XPC     |
| BCR     | CEBPA  | EGFR    | FGF19  | HIST1H3F  | KDR   | MPL    | PALB2 | PTEN    | SDHB    | TAP2   | XPO1    |
| BIRC3   | CENPA  | EIF1AX  | FGF23  | HIST1H3G  | KEAP1 | MRE11  | PARK2 | PTGS2   | SDHC    | TAPBP  | XRCC1   |
| BLM     | CHD2   | EIF4A2  | FGF3   | HIST1H3H  | KEL   | MRE11A | PARP1 | PTP4A1  | SDHD    | TAPBPL | XRCC2   |
| BMPR1A  | CHD4   | EIF4E   | FGF4   | HIST1H3I  | KIT   | MSH2   | PARP2 | PTPN11  | SESN1   | TBX3   | XRCC5   |
| BRAF    | CHEK1  | ELF3    | FGF6   | HIST1H3J  | KLF4  | MSH3   | PARP3 | PTPRD   | SESN2   | TCEB1  | YAP1    |
| BRCA1   | CHEK2  | EP300   | FGFR1  | HIST2H3C  | KLHL6 | MSH6   | PAX5  | PTPRO   | SESN3   | TCF3   | YES1    |
| BRCA2   | CIC    | EPAS1   | FGFR2  | HIST2H3D  | KMT2A | MFAT31 | PBRM1 | PTPRS   | SETD2   | TCF7L2 | ZBTB2   |

The DNA panel is a hybridization capture-based Next-Generation Sequencing (NGS) panel specifically designed to detect single nucleotide variants (SNVs), insertion and deletion alterations (InDels), and copy number alterations (CNAs) within 639 cancer-associated genes in tumor samples.

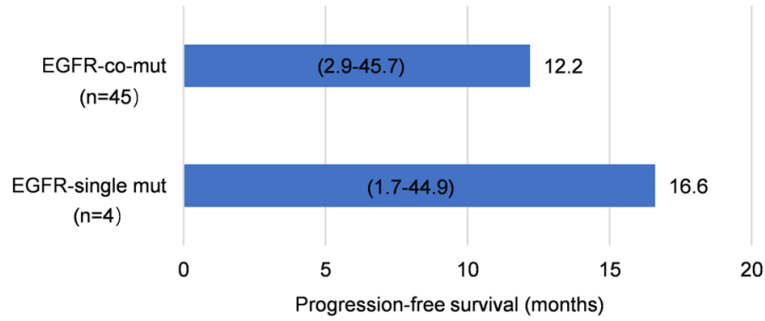


## Genetic alterations and osimertinib efficacy, outcomes and resistance

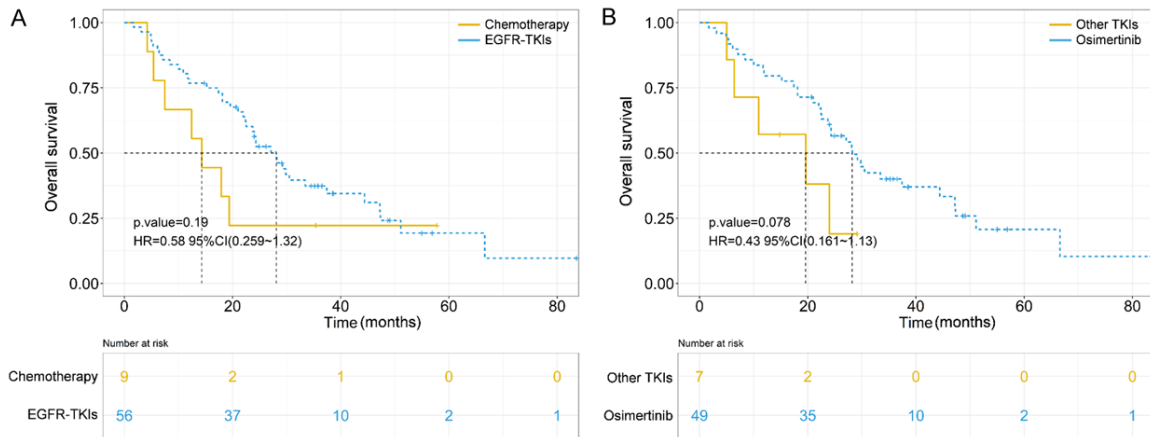


**Supplementary Figure 1.** The mutation profiles of the two groups with better and worse PFS in response to second-line targeted therapies. A. Mutation analysis in patients receiving second-line targeted therapies with a better PFS. B. Mutation analysis in patients receiving osimertinib with a worse PFS.

## Genetic alterations and osimertinib efficacy, outcomes and resistance

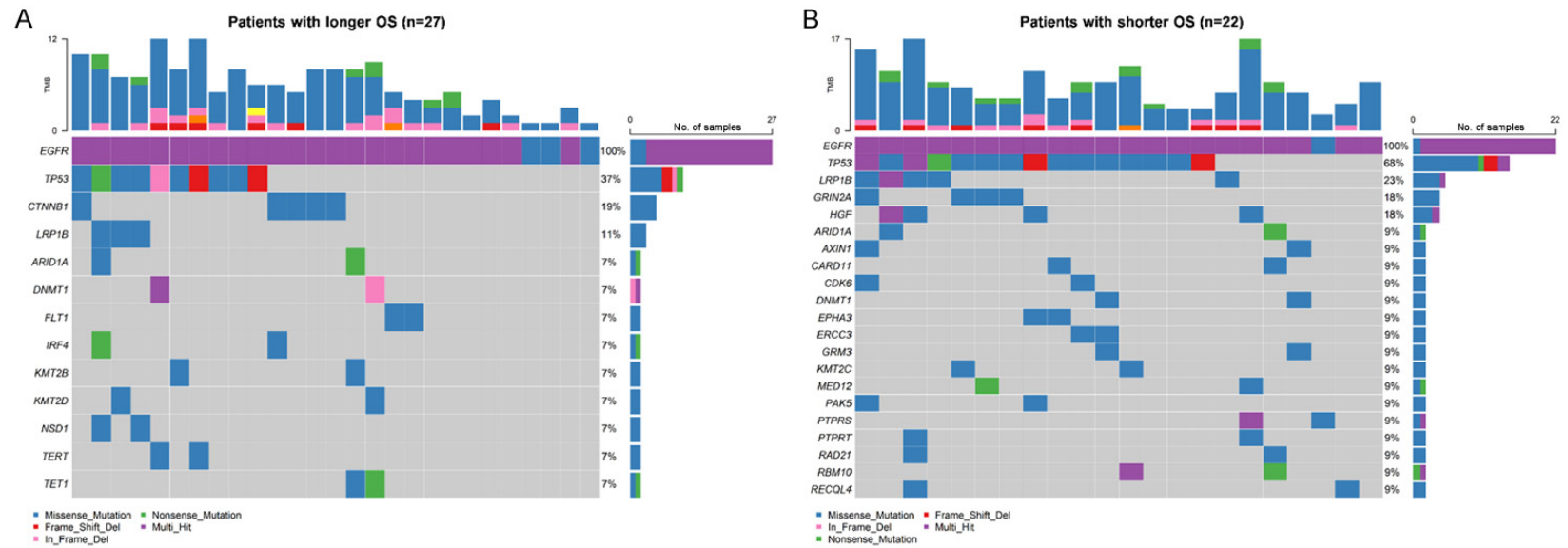


**Supplementary Figure 2.** Correlation analysis between *EGFR* mutations and PFS of osimertinib was shown. The ranges of PFS are displayed in the figure.



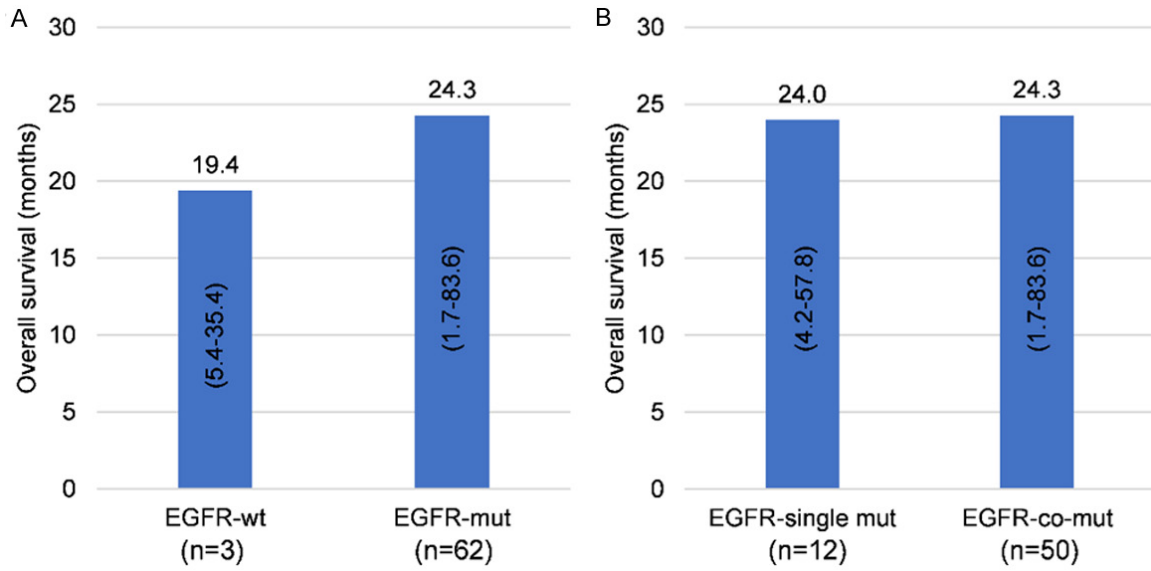
**Supplementary Figure 3.** The survival of different second-line treatment strategies. A. Comparison of survival between patients treated with EGFR-TKIs and chemotherapy. B. Comparison of survival between patients treated with osimertinib and other TKIs. A log-rank test was used to determine the difference between the groups.

## Genetic alterations and osimertinib efficacy, outcomes and resistance



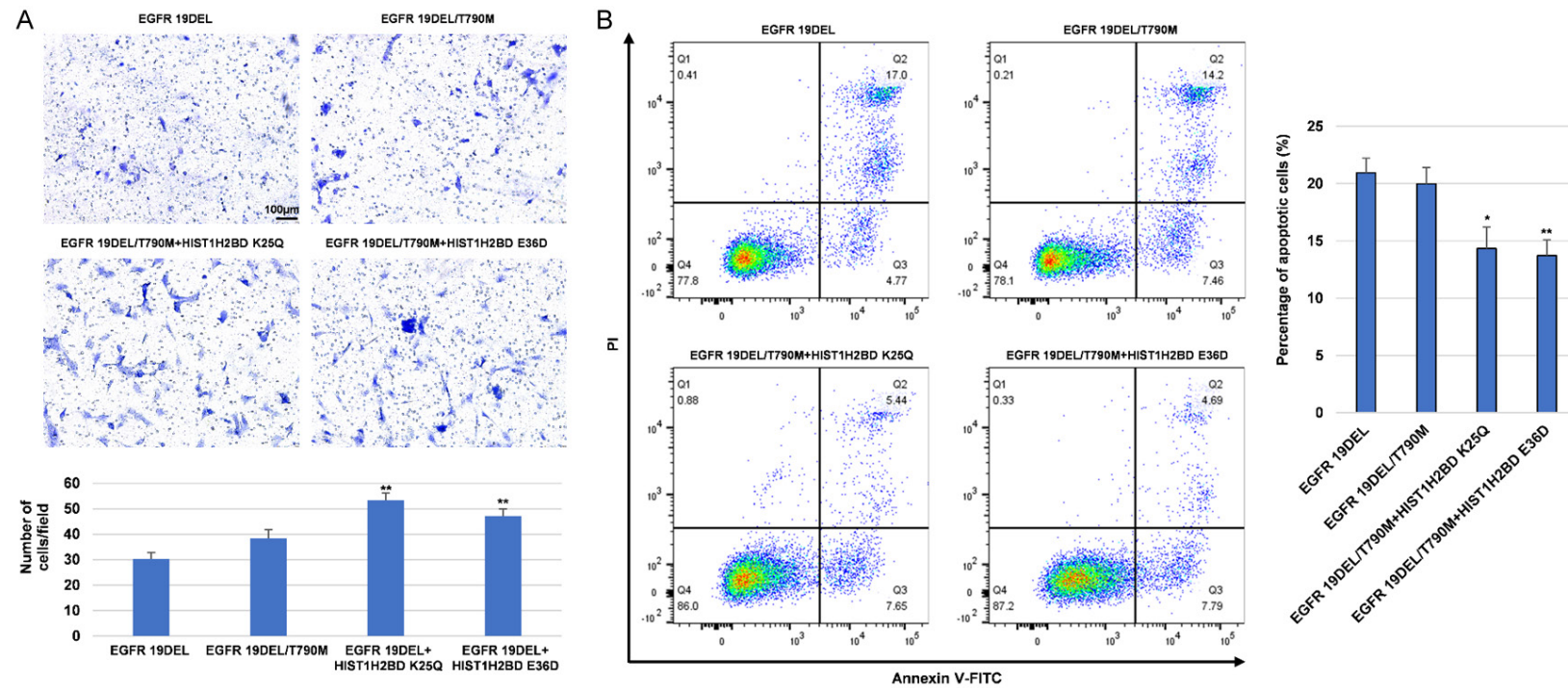
**Supplementary Figure 4.** The mutation profiles of the group with longer OS and the group with shorter OS in response to second-line therapies. A. Mutation analysis in the patients receiving osimertinib with longer OS (> 26 months). B. Mutation analysis in the patients receiving osimertinib with shorter OS (≤ 26 months).

## Genetic alterations and osimertinib efficacy, outcomes and resistance



**Supplementary Figure 5.** The correlation between *EGFR* mutations and OS in second-line treatments. A. OS in the second-line treatments for patients with *EGFR* mutations and *EGFR* wild-types. B. OS in the second-line treatments for patients with *EGFR* single mutations and patients with *EGFR* co-mutations. The ranges of OS are displayed in the figure.

## Genetic alterations and osimertinib efficacy, outcomes and resistance



**Supplementary Figure 6.** Characterization of the migration ability and apoptosis of *HIST1H2BD* p.K25Q and p.E36D mutations. A. The migration of *HIST1H2BD* p.K25Q and p.E36D mutant cells treated with 100 nM osimertinib for 24 hours was assessed using a transwell assay. B. Cell apoptosis was evaluated through flow cytometry analysis. \* $P < 0.05$ , \*\* $P < 0.01$ .