

## Original Article

# Machine learning-based dynamic predictive models for prognosis and treatment decisions in patients with liver metastases from gastric cancer

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**Abstract:** Gastric cancer with liver metastasis (GCLM) often has a poor prognosis. Therefore, it is crucial to identify risk factors affecting their overall survival (OS) and cancer-specific survival (CSS). This study aimed to construct practical machine learning models to predict survival time and help clinicians choose appropriate treatments. We reviewed the clinical and survival data of GCLM patients from 2010 to 2017 in the Surveillance, Epidemiology, and End Results (SEER) databases and divided the patients into training and testing groups. The risk factors affecting OS and CSS were determined by least absolute shrinkage and selector operator (LASSO), univariate cox regression, best subset regression (BSR) and the stepwise backward regression. Then, five machine learning models, including random survival forest (RSF), Gradient Boosting Machine (GBM), the Cox proportional hazard (CPH), Survival Support Vector Machine (survivalSVM), and eXtreme Gradient Boosting (XGBoost), were built using the identified risk factors. The model with the best predictive ability was determined using concordance index (c-index), area under the curve (AUC), brier score, and decision curve analysis (DCA), and externally verified with data from 233 cases diagnosed with liver metastasis of cancer from The Shijiazhuang People's Hospital, Jinan City People's Hospital, and The Sixth People's Hospital of Huizhou from 2017 to 2018. The study involved a total of 1300 GCLM patients. The prognostic risk factors affecting OS and CSS were the same, including grade, histology, T stage, N stage, surgery, and chemotherapy. The XGBoost model was found to have the best predictive ability for OS, with AUC of 0.891 [95% CI 0.841-0.941], brier score of 0.061 [95% CI 0.046-0.076], and c-index of 0.752 [95% CI 0.742-0.761], as well as for CSS, with AUC of 0.895 [95% CI 0.848-0.942], brier score of 0.064 [95% CI 0.050-0.079], and c-index of 0.746 [95% CI 0.736-0.756]. The AUC score, brier score and c-index all illustrated the accuracy of the model, and the validation using the external datasets further confirmed the reliability of the model. Therefore, the XGBoost model demonstrated significant potential in predicting survival times and selecting appropriate treatment plans.

**Keywords:** Gastric cancer, liver metastasis, overall survival, cancer-specific survival, machining learning, XGBoost

## Introduction

Gastric cancer ranks the fifth in incidence and is the third leading cause of cancer-related death worldwide [1]. Patients with gastric cancer are often diagnosed at an advanced stage, which results in a poor prognosis [2, 3]. Advanced gastric cancer is particularly prone to metastasis, especially to the liver, peritoneum, and lungs. Liver metastases occur in approximately 18%-20% of gastric cancer patients with distant metastases, leading to a median overall survival (OS) of about 5 months [4, 5].

Currently, neoadjuvant chemotherapy is the primary treatment for gastric cancer with liver metastasis (GCLM). Emerging chemotherapy drugs such as S-1, irinotecan, and taxanes [6-8] have shown potential in extending patient survival. However, the role of gastrectomy in this context remains controversial [9-13]. Studies have reported that the OS of patients with and without gastrectomy ranges from 8.0 to 16.3 months and 2.4 to 6.8 months, respectively [9, 10]. Conversely, Terashima et al. analyzed 253 gastric cancer patients with synchronous distant metastasis and found that GCLM patients

did not gain a survival benefit from gastrectomy [12]. This inconsistency may be due to the limitations in sample size and ethnicity, which impact the generalizability of their conclusions. Thus, it is crucial to determine whether surgical intervention is more effective in improving survival time compared to neoadjuvant therapy in each individual patient. Meanwhile, identifying risk factors and discovering clinically beneficial methods to predict prognosis and provide effective interventions for gastric cancer patients with liver metastasis is essential. Machine learning (ML) is increasingly utilized due to its powerful ability to process large amounts of data and achieve higher prediction accuracy [14-16]. ML models can be reliable tools for predicting the prognosis of GCLM. However, to date, there are no ML models specifically predict the prognosis of patients with this condition.

Based on this, we intend to use data from the SEER database of GCLM to analyze prognostic risk factors and construct clinically applicable ML models for predicting the OS and cancer-specific survival (CSS).

### Methods

#### *SEER data source and patients*

We downloaded the data using the SEER\*Stat Software (version 8.4.0.1) and selected the exact data from the "Incidence - SEER Research Plus Data, 17 Registries, Nov 2021 Sub (2000-2019) - Linked To County Attributes - Time Dependent (1990-2019) Income/Rurality, 1969-2020 Counties, National Cancer Institute, DCCPS, Surveillance Research Program", released in April 2022, based on the November 2021 submission.

The exclusion criteria include: (I) patients without liver metastases; (II) lacking positive histology; (III) patients with one primary tumor only who had a prior tumor; (IV) lacking survival months and CSS; (V) lacking complete TNM stage, tumor size, and race data; (VI) lacking surgery information.

#### *Statistical analysis and model establishment*

The demographic, clinical and treatment features were collected, including age, race, sex, marital status, histological type, T stage, N

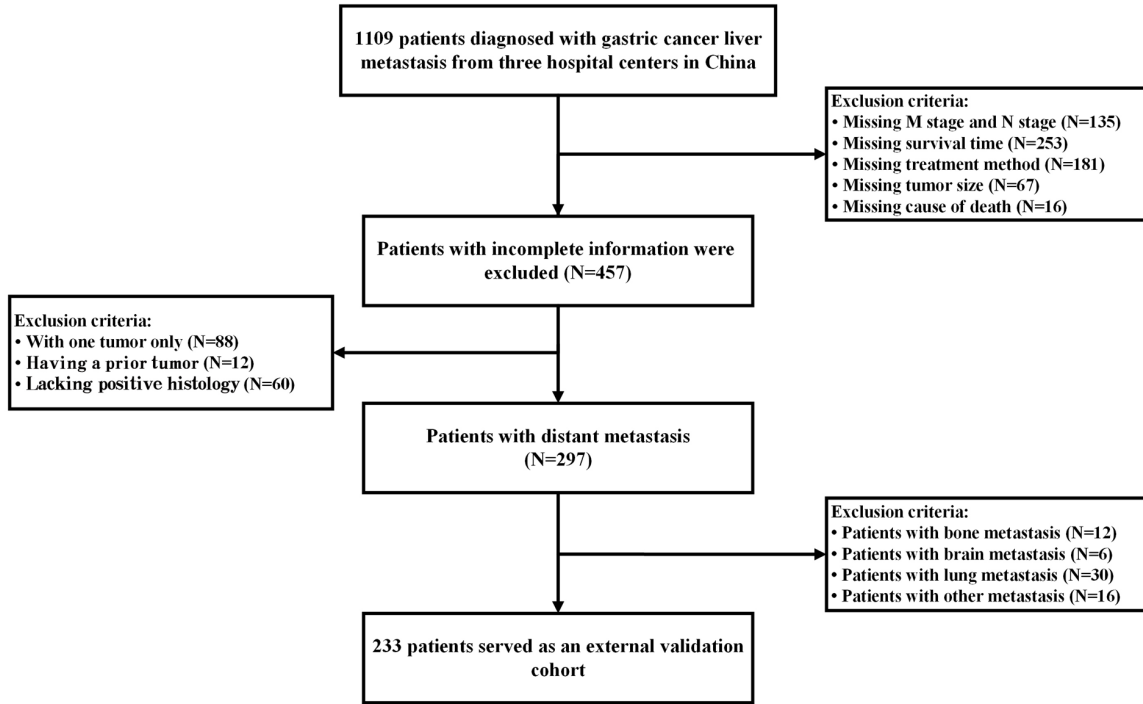
stage, surgery, chemotherapy, and radiotherapy. We analyzed all the data using the R version 4.1.3 programming language and environment (<http://www.r-project.org/>). The patients were divided into a training group and a testing group at a ratio of 7:3. Totally 233 GCLM patients were considered as an external validation group, which included 126 patients from The Shijiazhuang People's Hospital, 67 patients from The Jinan City People's Hospital, and 40 patients from The Sixth People's Hospital of Huizhou. These cases were retrospectively collected from 2017 to 2018. The exclusion criteria include: (I) patients with metastases outside of liver; (II) lacking positive histology; (III) patients with one primary tumor only and were not the first time to get a tumor; (IV) lacking survival months and CSS; (V) lacking complete clinical information; (VI) lacking treatment information. The filtering process is shown in **Figure 1**.

For statistical analysis, we first used the chi-square test to examine the distribution characteristics of categorical variables. Next, we employed Least Absolute Shrinkage and Selection Operator (LASSO), Univariate Cox regression, and Best Subset Regression (BSR) to identify the risk features. Before constructing the models, we calculated the minimum Akaike Information Criterion (AIC) values through stepwise backward regression to determine the optimal feature combination [17]. Finally, we used these features to develop ML models, including Random Survival Forest (RSF) [18], Gradient Boosting Machine (GBM), Cox Proportional Hazards (CPH), Survival Support Vector Machine (survivalSVM), and eXtreme Gradient Boosting (XGBoost) [19], to predict OS and CSS in GCLM patients. Our models were iteratively tested and tuned, and parameters were optimized to obtain the best performance. This study was approved by Shijiazhuang People's Hospital, and informed consent was obtained from patients for relevant data analysis. The study was conducted in accordance with the ethical standards set forth in the 1964 Declaration of Helsinki and its later amendments.

#### *Model validation and visualization*

We compared the accuracy of the 5 models by using the c-index and the areas under the

# ML-based prognostic models for GCLM patients



**Figure 1.** The filtering process of external validation cohort.

receiver operating characteristic (ROC) curves (AUCs) [20]. The Brier scores were used to compare the deviation between the model's predicted value and the actual value [21]. Based on this, we chose the best models to predict the OS and CSS, respectively. After that, we used decision curve analyses (DCAs) [22] to assess the clinical utility value. We also verified the accuracy and sensitivity of the model on the testing set by using the c-index, AUC and DCA. Finally, we exhibited the feature importance value by using the SHAP (Shapley additive explanations) [23] and constructed a websites calculator to demonstrate the models for predicting OS and CSS, respectively. All processes are shown in **Figure 2**.

## Results

### *Characteristics of GCLM patients*

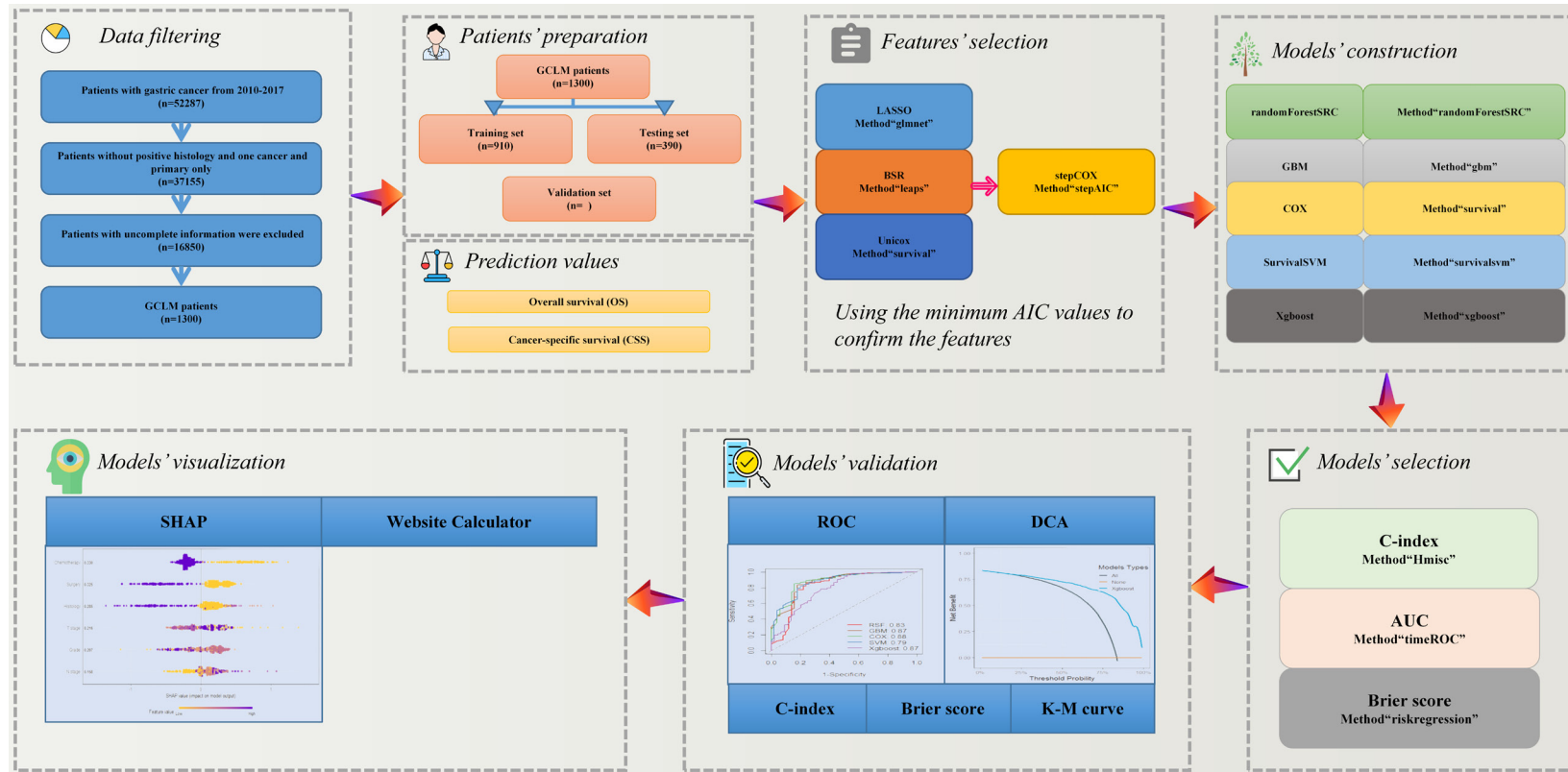
According to the inclusion and exclusion criteria, a total of 1300 GCLM patients were included in our study. **Table 1** shows the demographic and clinicopathological features of all the study cohort. There were more male patients (914 [70.31%]) than female ones (386 [29.69%]). Up to 39.31% of patients had lesions in the cardia, but only 1.69% had

lesions in the pylorus. The majority of GCLM patients were Grade III stage (624 [48.00%]), suffered adenocarcinoma (896 [68.92%]), and had tumors larger than 2 cm (1208 [92.92%]).

### *Features' selection for predicting OS and CSS*

For OS, 10 features were identified using Univariate Cox Regression analysis, including age, race, primary site, grade, histology, T stage, N stage, surgery, radiation, and chemotherapy (**Figure 3A**). The Best Subset Regression (BSR) found 6 features with the maximum  $R^2$ : grade, histology, T stage, N stage, surgery, and chemotherapy (**Figure 3E**). The LASSO regression analysis selected 5 features: age, histology, N stage, surgery, and chemotherapy (**Figure 3C, 3F**). Stepwise backward regression revealed that a model including grade, histology, T stage, N stage, surgery, and chemotherapy had the lowest Akaike Information Criterion (AIC) value of 9316.46. Multivariate Cox regression showed that grade IV (HR=1.925, 95% CI=1.102-3.362,  $P=0.021$ ), T3 stage (HR=0.711, 95% CI=0.581-0.871,  $P<0.001$ ), N3 stage (HR=1.447, 95% CI=1.110-1.890,  $P=0.006$ ), surgery (HR=0.424, 95% CI=0.347-0.517,  $P<0.001$ ), and chemotherapy (HR=0.401, 95% CI=0.340-0.473,  $P<0.001$ ) were

## ML-based prognostic models for GCLM patients



**Figure 2.** Flowchart of developing the machine learning models for GCLM patients. GCLM: gastric cancer with liver metastasis.

## ML-based prognostic models for GCLM patients

**Table 1.** Baseline of the demographic and related clinical characteristics in GCLM patients [n, (%)]

| Subject characteristics       | GCLM patients (2010-2017) |                        |                       | P value |
|-------------------------------|---------------------------|------------------------|-----------------------|---------|
|                               | All patients (N=1300)     | Training group (N=910) | Testing group (N=390) |         |
| Age (%)                       |                           |                        |                       | 0.85    |
| <50                           | 182 (14.00)               | 125 (13.74)            | 57 (14.62)            |         |
| 50-59                         | 281 (21.62)               | 202 (22.20)            | 79 (20.26)            |         |
| 60-69                         | 381 (29.31)               | 270 (29.67)            | 111 (28.46)           |         |
| 70-79                         | 306 (23.54)               | 208 (22.86)            | 98 (25.13)            |         |
| ≥80                           | 150 (11.54)               | 105 (11.54)            | 45 (11.54)            |         |
| Sex (%)                       |                           |                        |                       | 0.25    |
| Male                          | 914 (70.31)               | 649 (71.32)            | 265 (67.95)           |         |
| Female                        | 386 (29.69)               | 261 (28.68)            | 125 (32.05)           |         |
| Race (%)                      |                           |                        |                       | 0.10    |
| White                         | 890 (68.46)               | 613 (67.36)            | 277 (71.03)           |         |
| Black                         | 227 (17.46)               | 155 (17.03)            | 72 (18.46)            |         |
| Asian or Pacific Islander     | 169 (13.00)               | 132 (14.51)            | 37 (9.49)             |         |
| American Indian/Alaska Native | 14 (1.08)                 | 10 (1.10)              | 4 (1.03)              |         |
| Marital (%)                   |                           |                        |                       | 0.99    |
| Married                       | 777 (59.77)               | 544 (59.78)            | 233 (59.74)           |         |
| Unmarried                     | 482 (37.08)               | 337 (37.03)            | 145 (37.18)           |         |
| Unknown                       | 41 (3.15)                 | 29 (3.19)              | 12 (3.08)             |         |
| Primary site (%)              |                           |                        |                       | 0.68    |
| Cardia                        | 511 (39.31)               | 346 (38.02)            | 165 (42.31)           |         |
| Fundus                        | 80 (6.15)                 | 54 (5.93)              | 26 (6.67)             |         |
| Body                          | 121 (9.31)                | 86 (9.45)              | 35 (8.97)             |         |
| Antrum                        | 205 (15.77)               | 151 (16.59)            | 54 (13.85)            |         |
| Pylorus                       | 22 (1.69)                 | 14 (1.54)              | 8 (2.05)              |         |
| Lesser                        | 91 (7.00)                 | 69 (7.58)              | 22 (5.64)             |         |
| Greater                       | 56 (4.31)                 | 39 (4.29)              | 17 (4.36)             |         |
| Overlapping/NOS               | 214 (16.46)               | 151 (16.59)            | 63 (16.15)            |         |
| Grade (%)                     |                           |                        |                       | 0.23    |
| I                             | 59 (4.54)                 | 43 (4.73)              | 16 (4.10)             |         |
| II                            | 304 (23.38)               | 228 (25.05)            | 76 (19.49)            |         |
| III                           | 624 (48.00)               | 427 (46.92)            | 197 (50.51)           |         |
| IV                            | 48 (3.69)                 | 31 (3.41)              | 17 (4.36)             |         |
| Unknown                       | 265 (20.38)               | 181 (19.89)            | 84 (21.54)            |         |
| Histology (%)                 |                           |                        |                       | 0.83    |
| Adenocarcinoma                | 896 (68.92)               | 634 (69.67)            | 262 (67.18)           |         |
| Signet ring cell carcinoma    | 69 (5.31)                 | 48 (5.27)              | 21 (5.38)             |         |
| Mucinous adenocarcinoma       | 13 (1.00)                 | 9 (0.99)               | 4 (1.03)              |         |
| Others                        | 322 (24.77)               | 219 (24.07)            | 103 (26.41)           |         |
| T stage (%)                   |                           |                        |                       | 0.97    |
| T1                            | 341 (26.23)               | 241 (26.48)            | 100 (25.64)           |         |
| T2                            | 103 (7.92)                | 72 (7.91)              | 31 (7.95)             |         |
| T3                            | 392 (30.15)               | 276 (30.33)            | 116 (29.74)           |         |
| T4                            | 464 (35.69)               | 321 (35.27)            | 143 (36.67)           |         |
| N stage (%)                   |                           |                        |                       | 0.57    |
| N0                            | 507 (39.00)               | 352 (38.68)            | 155 (39.74)           |         |
| N1                            | 532 (40.92)               | 367 (40.33)            | 165 (42.31)           |         |
| N2                            | 128 (9.85)                | 96 (10.55)             | 32 (8.21)             |         |
| N3                            | 133 (10.23)               | 95 (10.44)             | 38 (9.74)             |         |

## ML-based prognostic models for GCLM patients

|                            |              |             |             |      |
|----------------------------|--------------|-------------|-------------|------|
| Surgery (%)                |              |             |             | 0.39 |
| No surgery of primary site | 978 (75.23)  | 678 (74.51) | 300 (76.92) |      |
| Surgery performed          | 322 (24.77)  | 232 (25.49) | 90 (23.08)  |      |
| Radiation (%)              |              |             |             | 0.57 |
| None/Unknown               | 1067 (82.08) | 751 (82.53) | 316 (81.03) |      |
| Yes                        | 233 (17.92)  | 159 (17.47) | 74 (18.97)  |      |
| Chemotherapy (%)           |              |             |             | 0.77 |
| No/Unknown                 | 381 (29.31)  | 264 (29.01) | 117 (30.00) |      |
| Yes                        | 919 (70.69)  | 646 (70.99) | 273 (70.00) |      |
| Tumor size (%)             |              |             |             | 0.11 |
| ≤2 cm                      | 92 (7.08)    | 71 (7.80)   | 21 (5.38)   |      |
| 2.1-5 cm                   | 547 (42.08)  | 369 (40.55) | 178 (45.64) |      |
| >5 cm                      | 661 (50.85)  | 470 (51.65) | 191 (48.97) |      |

GCLM: gastric cancer with liver metastasis.

significantly associated with OS (**Table 2**). The forest plot indicated that each risk factor was independently related (**Figure 3B**). Heat maps highlighted the key values of risk features (**Figure 3D, 3G**). Therefore, the 6 features with the smallest AIC were selected to construct predictive models for OS.

For CSS, risk features identified by univariate Cox regression, BSR, LASSO, and stepwise backward regression included grade, histology, T stage, N stage, surgery, and chemotherapy, yielding the lowest AIC value of 8977.337 (**Figure 4A-C, 4E, 4F**). Multivariate Cox regression demonstrated that grade IV (HR=2.107, 95% CI=1.194-3.718, P=0.01), T3 stage (HR=0.752, 95% CI=0.612-0.924, P=0.007), N3 stage (HR=1.496, 95% CI=1.144-1.956, P=0.003), surgery (HR=0.407, 95% CI=0.332-0.499, P<0.001), and chemotherapy (HR=0.393, 95% CI=0.332-0.464, P<0.001) were significantly associated with CSS (**Table 2**). The forest plot indicated that each risk factor was independently related (**Figure 4B**). Heat maps highlighted the key values of risk features (**Figure 4D, 4G**). Consequently, the 6 features with the smallest AIC were selected to construct predictive models for CSS.

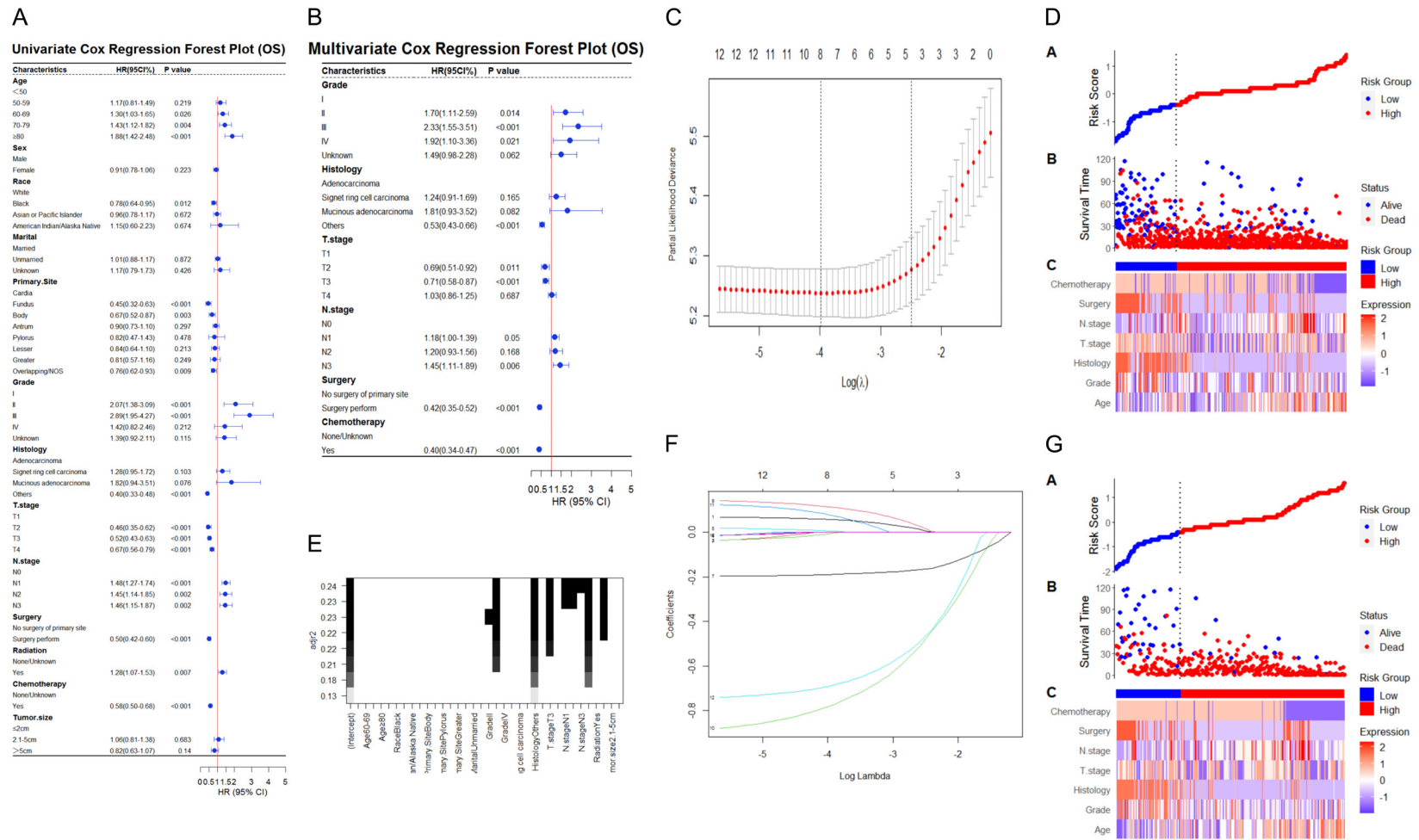
### *Model selection according to their performance for predicting OS and CSS*

After confirming the risk features, we fed these features into 5 different models, including RSF, GBM, CPH, survivalSVM, and XGBoost, and identified the best model to predict the OS. The AUC of all the 5 models were greater

than 0.7 at 1, 3 and 5 years (**Figure 5A-F**). The AUCs of the XGBoost model were 0.81, 0.89 and 0.09 at the three time points, respectively, and were all higher than those of the other four models in the training set. This pattern was also confirmed in the testing set, with AUC values of 0.78, 0.87 and 0.87 at 1, 3 and 5 years, respectively. The brier score could reflect the calibration of the prediction results of the model, and the closer the score was to 0, the more accurate the prediction was. The XGBoost demonstrated brier scores of 0.169, 0.080, and 0.061 at 1, 3 and 5 years, respectively, which were the lowest among the models (**Table 3**). Meanwhile, the XGBoost had the highest c-index value of 0.752, which illustrates the accuracy of a model (**Table 3**). Therefore, we selected the XGBoost as the most accurate model to predict the OS. After that, we performed the DCA to illustrate the degree of clinical benefit and found that almost all the blue lines were above the black lines, suggesting that the XGBoost model had satisfactory utility in predicting OS probability over 1, 3, and 5 years for both the training set and the testing set (**Figure 5G-L**). Furthermore, we calculated the cutoff value (0.35) using the risk score of each patient and divided the patients into a high-risk group and a low-risk group. The KM survival curves showed that the OS of the high-risk group was much shorter than that of the low-risk group in both the training and testing sets (**Figure 5M, 5N**).

Consistent with the OS, the XGBoost showed the highest the c-index (0.764) for predicting CSS in GCLM patients, with best accuracy (AUC:

# ML-based prognostic models for GCLM patients



**Figure 3.** Baseline characteristics and risk features identification for OS. Univariate Cox regression forest plot (A) and multivariate Cox regression forest plot in GCLM patients. (B) Partial-likelihood deviance curve for feature selection (C), and Best subset regression (E), and LASSO coefficient profiles of the 13 variables in the training set. (F) Risk factor association plots for the training set (D) and testing set (G), respectively. GCLM: gastric cancer with liver metastasis; OS: overall survival; LASSO: Least Absolute Shrinkage and Selection Operator.

ML-based prognostic models for GCLM patients

**Table 2.** Univariate and multivariate cox regression analyses of overall survival (OS) and cancer-specific survival (CSS) in GCLM patients

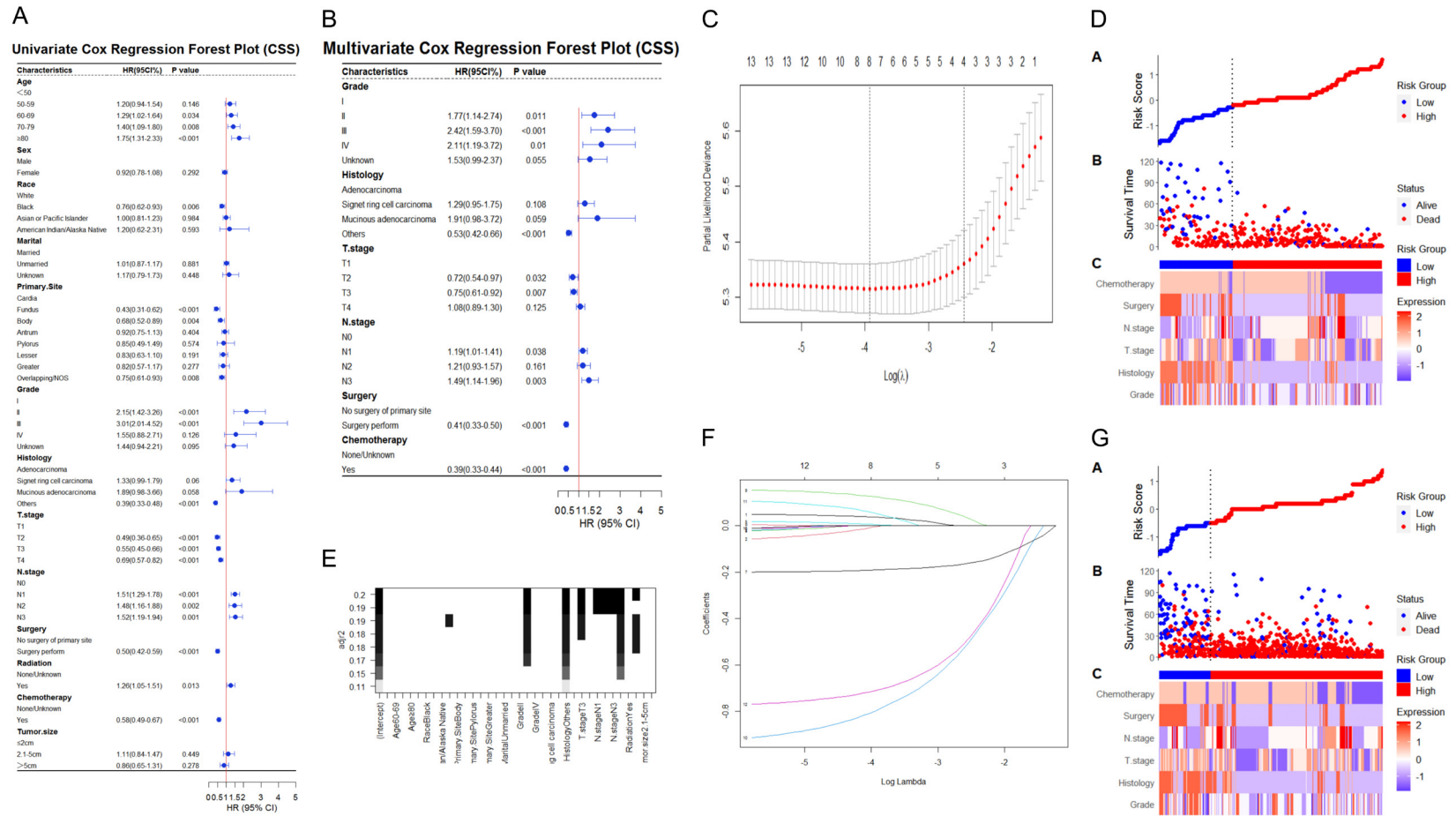
| Subject characteristics       | OS               |         |                  |         | CSS              |         |                  |         |
|-------------------------------|------------------|---------|------------------|---------|------------------|---------|------------------|---------|
|                               | Univariate       |         | Multivariate     |         | Univariate       |         | Multivariate     |         |
|                               | HR (95% CI)      | P value | HR (95% CI)      | P value | HR (95% CI)      | P value | HR (95% CI)      | P value |
| Age (%)                       |                  |         |                  |         |                  |         |                  |         |
| <50                           | 1.00 (Reference) | 1.000   | 1.00 (Reference) | 1.000   | 1.00 (Reference) | 1.000   |                  |         |
| 50-59                         | 1.167            | 0.219   | 1.146            | 0.291   | 1.203            | 0.146   |                  |         |
| 60-69                         | 1.303            | 0.026   | 1.218            | 0.106   | 1.293            | 0.034   |                  |         |
| 70-79                         | 1.428            | 0.004   | 1.299            | 0.044   | 1.401            | 0.008   |                  |         |
| ≥80                           | 1.877            | <0.001  | 1.312            | 0.073   | 1.749            | <0.001  |                  |         |
| Sex (%)                       |                  |         |                  |         |                  |         |                  |         |
| Male                          | 1.00 (Reference) | 1.000   |                  |         | 1.00 (Reference) | 1.000   |                  |         |
| Female                        | 0.908            | 0.223   |                  |         | 0.919            | 0.292   |                  |         |
| Race (%)                      |                  |         |                  |         |                  |         |                  |         |
| White                         | 1.00 (Reference) | 1.000   |                  |         | 1.00 (Reference) | 1.000   |                  |         |
| Black                         | 0.782            | 0.012   |                  |         | 0.757            | 0.006   |                  |         |
| Asian or Pacific Islander     | 0.957            | 0.672   |                  |         | 0.998            | 0.984   |                  |         |
| American Indian/Alaska Native | 1.152            | 0.674   |                  |         | 1.197            | 0.593   |                  |         |
| Marital (%)                   |                  |         |                  |         |                  |         |                  |         |
| Married                       | 1.00 (Reference) | 1.000   |                  |         | 1.00 (Reference) | 1.000   |                  |         |
| Unmarried                     | 1.012            | 0.872   |                  |         | 1.011            | 0.881   |                  |         |
| Unknown                       | 1.171            | 0.426   |                  |         | 1.165            | 0.448   |                  |         |
| Primary site (%)              |                  |         |                  |         |                  |         |                  |         |
| Cardia                        | 1.00 (Reference) | 1.000   |                  |         | 1.00 (Reference) | 1.000   |                  |         |
| Fundus                        | 0.449            | <0.001  |                  |         | 0.433            | <0.001  |                  |         |
| Body                          | 0.67             | 0.003   |                  |         | 0.679            | 0.004   |                  |         |
| Antrum                        | 0.897            | 0.297   |                  |         | 0.916            | 0.404   |                  |         |
| Pylorus                       | 0.818            | 0.478   |                  |         | 0.853            | 0.574   |                  |         |
| Lesser                        | 0.842            | 0.213   |                  |         | 0.831            | 0.191   |                  |         |
| Greater                       | 0.812            | 0.249   |                  |         | 0.819            | 0.277   |                  |         |
| Overlapping/NOS               | 0.759            | 0.009   |                  |         | 0.752            | 0.008   |                  |         |
| Grade (%)                     |                  |         |                  |         |                  |         |                  |         |
| I                             | 1.00 (Reference) | 1.000   | 1.00 (Reference) | 1.000   | 1.00 (Reference) | 1.000   | 1.00 (Reference) | 1.000   |
| II                            | 2.068            | <0.001  | 1.698            | 0.014   | 2.151            | <0.001  | 1.766            | 0.011   |
| III                           | 2.888            | <0.001  | 2.335            | <0.001  | 3.012            | <0.001  | 2.423            | <0.001  |
| IV                            | 1.42             | 0.212   | 1.925            | 0.021   | 1.548            | 0.126   | 2.107            | 0.01    |
| Unknown                       | 1.393            | 0.115   | 1.495            | 0.062   | 1.44             | 0.095   | 1.533            | 0.055   |



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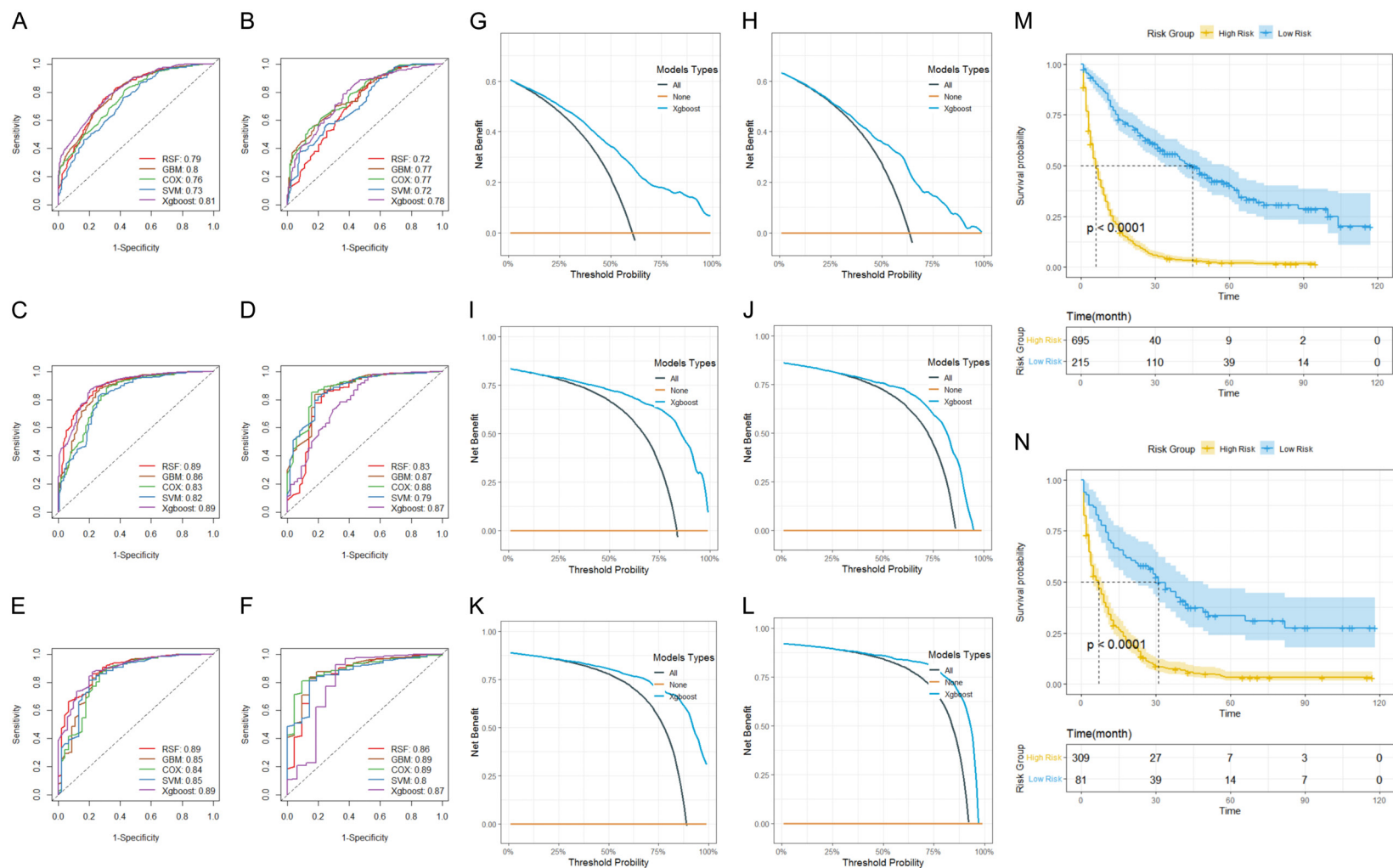
|                            |                  |        |                  |        |                  |        |                  |        |
|----------------------------|------------------|--------|------------------|--------|------------------|--------|------------------|--------|
| Histology (%)              |                  |        |                  |        |                  |        |                  |        |
| Adenocarcinoma             | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  |
| Signet ring cell carcinoma | 1.28             | 0.103  | 1.243            | 0.165  | 1.331            | 0.06   | 1.288            | 0.108  |
| Mucinous adenocarcinoma    | 1.817            | 0.076  | 1.808            | 0.082  | 1.892            | 0.058  | 1.906            | 0.059  |
| Others                     | 0.397            | <0.001 | 0.532            | <0.001 | 0.394            | <0.001 | 0.528            | <0.001 |
| T stage (%)                |                  |        |                  |        |                  |        |                  |        |
| T1                         | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  |
| T2                         | 0.463            | <0.001 | 0.686            | 0.011  | 0.485            | <0.001 | 0.723            | 0.032  |
| T3                         | 0.523            | <0.001 | 0.712            | <0.001 | 0.549            | <0.001 | 0.752            | 0.007  |
| T4                         | 0.667            | <0.001 | 1.039            | 0.687  | 0.686            | <0.001 | 1.075            | 0.125  |
| N stage (%)                |                  |        |                  |        |                  |        |                  |        |
| N0                         | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  |
| N1                         | 1.484            | <0.001 | 1.179            | 0.050  | 1.511            | <0.001 | 1.195            | 0.038  |
| N2                         | 1.453            | 0.002  | 1.201            | 0.168  | 1.477            | 0.002  | 1.208            | 0.161  |
| N3                         | 1.462            | 0.002  | 1.447            | 0.006  | 1.519            | 0.001  | 1.496            | 0.003  |
| Surgery (%)                |                  |        |                  |        |                  |        |                  |        |
| No surgery of primary site | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  |
| Surgery performed          | 0.503            | <0.001 | 0.424            | <0.001 | 0.496            | <0.001 | 0.407            | <0.001 |
| Radiation (%)              |                  |        |                  |        |                  |        |                  |        |
| None/Unknown               | 1.00 (Reference) | 1.000  |                  |        | 1.00 (Reference) | 1.000  |                  |        |
| Yes                        | 1.277            | 0.007  |                  |        | 1.259            | 0.013  |                  |        |
| Chemotherapy (%)           |                  |        |                  |        |                  |        |                  |        |
| No/Unknown                 | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  | 1.00 (Reference) | 1.000  |
| Yes                        | 0.579            | <0.001 | 0.401            | <0.001 | 0.575            | <0.001 | 0.393            | <0.001 |
| Tumor size (%)             |                  |        |                  |        |                  |        |                  |        |
| ≤2 cm                      | 1.00 (Reference) | 1.000  |                  |        | 1.00 (Reference) | 1.000  |                  |        |
| 2.1-5 cm                   | 1.057            | 0.683  |                  |        | 1.113            | 0.449  |                  |        |
| >5 cm                      | 0.819            | 0.14   |                  |        | 0.859            | 0.278  |                  |        |

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**Figure 4.** Baseline characteristics and risk features identification for CSS. Univariate Cox regression forest plot (A) and multivariate Cox regression forest plot in GCLM patients. (B) Partial-likelihood deviance curve for feature selection (C), and Best subset regression (E), and LASSO coefficient profiles of the 13 variables in the training set. (F) Risk factor association plots for the training set (D) and testing set (G), respectively. GCLM: gastric cancer with liver metastasis; CSS: cancer specific survival; LASSO: Least Absolute Shrinkage and Selection Operator.

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**Figure 5.** Model selection and validation in the training set. Receiver operating characteristic curves of all models regarding 1-year, 3-year and 5-year OS in the training set (A, C, E) and testing set (B, D, F). Decision curve analysis of the best model for the training set (G, I, K) and testing set (H, J, L) regarding 1-year, 3-year and 5-year OS. Kaplan-Meier survival curves for the training set (M) and testing set (N). OS: overall survival.

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**Table 3.** The models' performance in the training set

| Model       | Overall Survival (OS) |        |        |             |        |        | C-index | Cancer-specific survival (CSS) |        |        |             |        |        |       |
|-------------|-----------------------|--------|--------|-------------|--------|--------|---------|--------------------------------|--------|--------|-------------|--------|--------|-------|
|             | AUC                   |        |        | Brier Score |        |        |         | AUC                            |        |        | Brier Score |        |        |       |
|             | 1-Year                | 3-Year | 5-Year | 1-Year      | 3-Year | 5-Year |         | 1-Year                         | 3-Year | 5-Year | 1-Year      | 3-Year | 5-Year |       |
| RSF         | 0.786                 | 0.889  | 0.887  | 0.177       | 0.085  | 0.065  | 0.705   | 0.794                          | 0.895  | 0.887  | 0.176       | 0.087  | 0.068  | 0.709 |
| GBM         | 0.791                 | 0.860  | 0.851  | 0.175       | 0.088  | 0.065  | 0.716   | 0.790                          | 0.861  | 0.854  | 0.176       | 0.090  | 0.067  | 0.717 |
| CPH         | 0.759                 | 0.833  | 0.838  | 0.187       | 0.096  | 0.070  | 0.695   | 0.757                          | 0.831  | 0.836  | 0.189       | 0.101  | 0.073  | 0.696 |
| Survivalsvm | 0.727                 | 0.818  | 0.848  | 0.196       | 0.100  | 0.070  | 0.675   | 0.726                          | 0.815  | 0.841  | 0.199       | 0.106  | 0.075  | 0.667 |
| XGBoost     | 0.809                 | 0.887  | 0.891  | 0.169       | 0.080  | 0.061  | 0.752   | 0.814                          | 0.898  | 0.895  | 0.169       | 0.084  | 0.064  | 0.764 |

GCLM: gastric cancer with liver metastasis.

0.81, 0.90 and 0.90 at 1, 3 and 5 years respectively) and reliability (Brier score: 0.17, 0.08 and 0.06 at 1, 3 and 5 years respectively; **Figure 6A-L; Table 3**). Therefore, XGBoost was chosen to be the best model to predict the CSS as well. The DCA also showed that the net benefits happened across almost a range 0.1-1.0 of threshold probabilities at 1, 3 and 5 years, which demonstrated the clinical utility of the XGBoost (**Figure 6G-L**). For CSS, the cutoff value for high-risk and low-risk groups was 0.57. The KM survival curves showed that the CSS of the high-risk group was much lower than that of the low-risk group in both the training and testing sets (**Figure 6M, 6N**).

### Model validation and visualization

We used 233 GCLM patients from three hospitals (The Shijiazhuang People's Hospital, Jinan City People's Hospital, and The Sixth People's Hospital of Huizhou) as an external validation set to verify the model's practicability. The AUC values for OS were 0.80, 0.75, and 0.78 at 1, 3, and 5 years, respectively (**Figure 7A**). For CSS, the AUC values were 0.81, 0.75, and 0.80 at 1, 3, and 5 years, respectively (**Figure 7B**). The c-index was 0.707 for OS and 0.705 for CSS. DCA curves indicated consistent clinical predictive value with the training and testing sets for both OS and CSS (**Figure 7C-H**). Patients were divided into high-risk and low-risk groups based on previous cutoff values, revealing significant survival differences between the two groups (log-rank  $P < 0.0001$ ), with the high-risk group experiencing poorer OS and CSS (**Figure 7I, 7J**).

### Discussion

This study successfully identified the risk factors affecting OS and CSS in GCLM patients using the SEER database. We developed novel

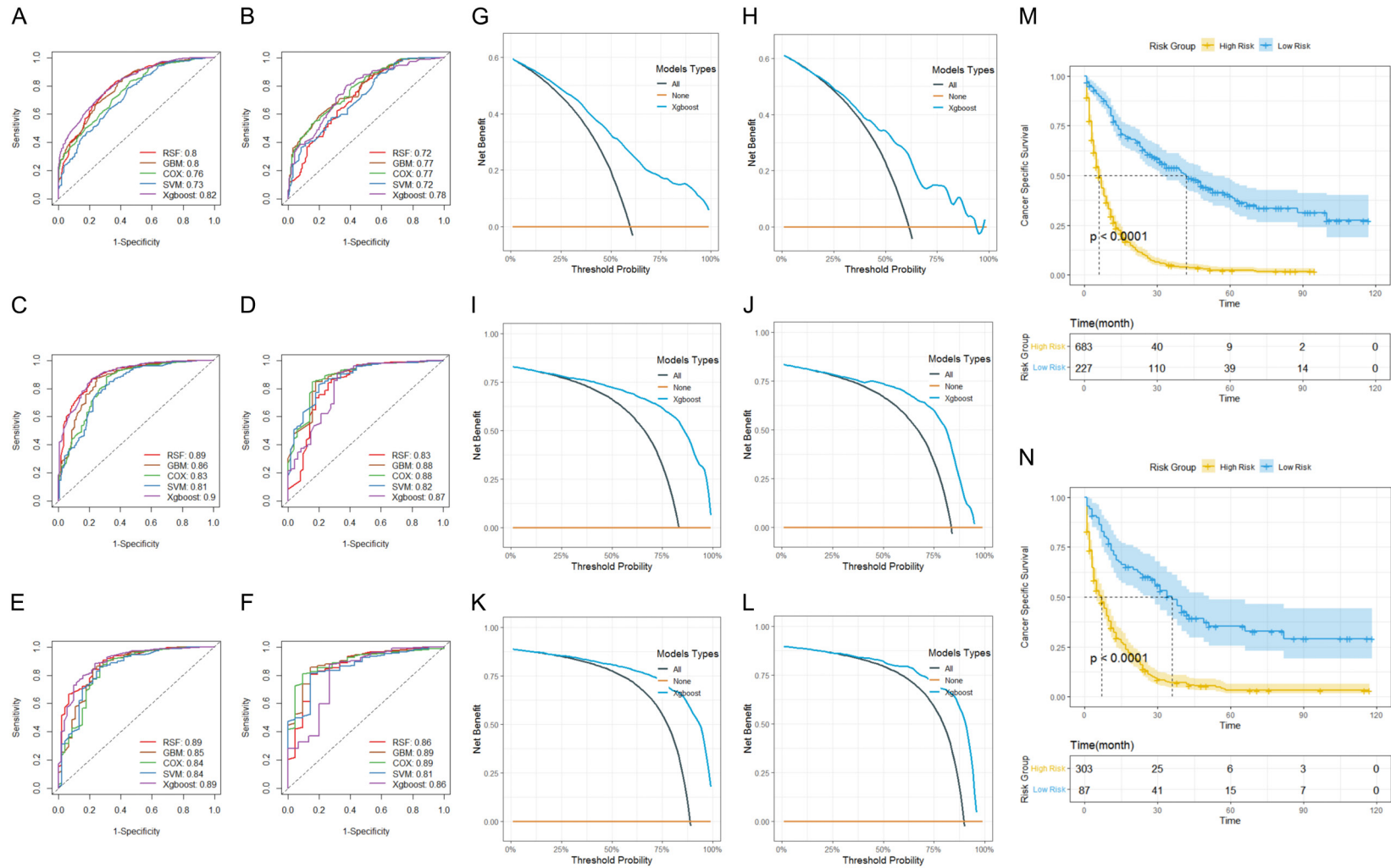
ML models for OS and CSS based on these risk factors and validated the accuracy of the models using clinical data from 3 centers. We also created a web-based tool to help clinicians formulate clinical management plans easily and efficiently.

Previous studies have shown that prognostic risk factors for patients with gastric cancer include age, pathological type, surgery, and chemotherapy. In our study, we screened as many potential factors as possible and found that for GCLM patients, the risk factors affecting OS and CSS were the same: grade, histology, T stage, N stage, surgery, and chemotherapy.

The choice of treatment for GCLM patients has always been controversial. Chemotherapy, particularly neoadjuvant therapy, is currently the main treatment option. Existing chemotherapy regimens include ramucirumab plus paclitaxel [24], epirubicin + oxaliplatin + capecitabine [25], S-1 + cisplatin [26], cisplatin + 5-fluorouracil [27], docetaxel/irinotecan [28], ramucirumab [29], docetaxel [30], and ramucirumab + paclitaxel [31], with median OS times reported to be 9.2 years, 11.2 years, 13 years, 11.3 years, 5.3 years, 5.2 years, 5.2 years, and 9.6 years, respectively. Previous studies have proven that patients who received chemotherapy had longer median survival times than those who did not [6-8, 32]. Our study results are consistent with these findings, showing a median survival time of 11 months for patients who received chemotherapy and 3 months for those who did not. Therefore, chemotherapy can significantly prolong survival time for GCLM patients.

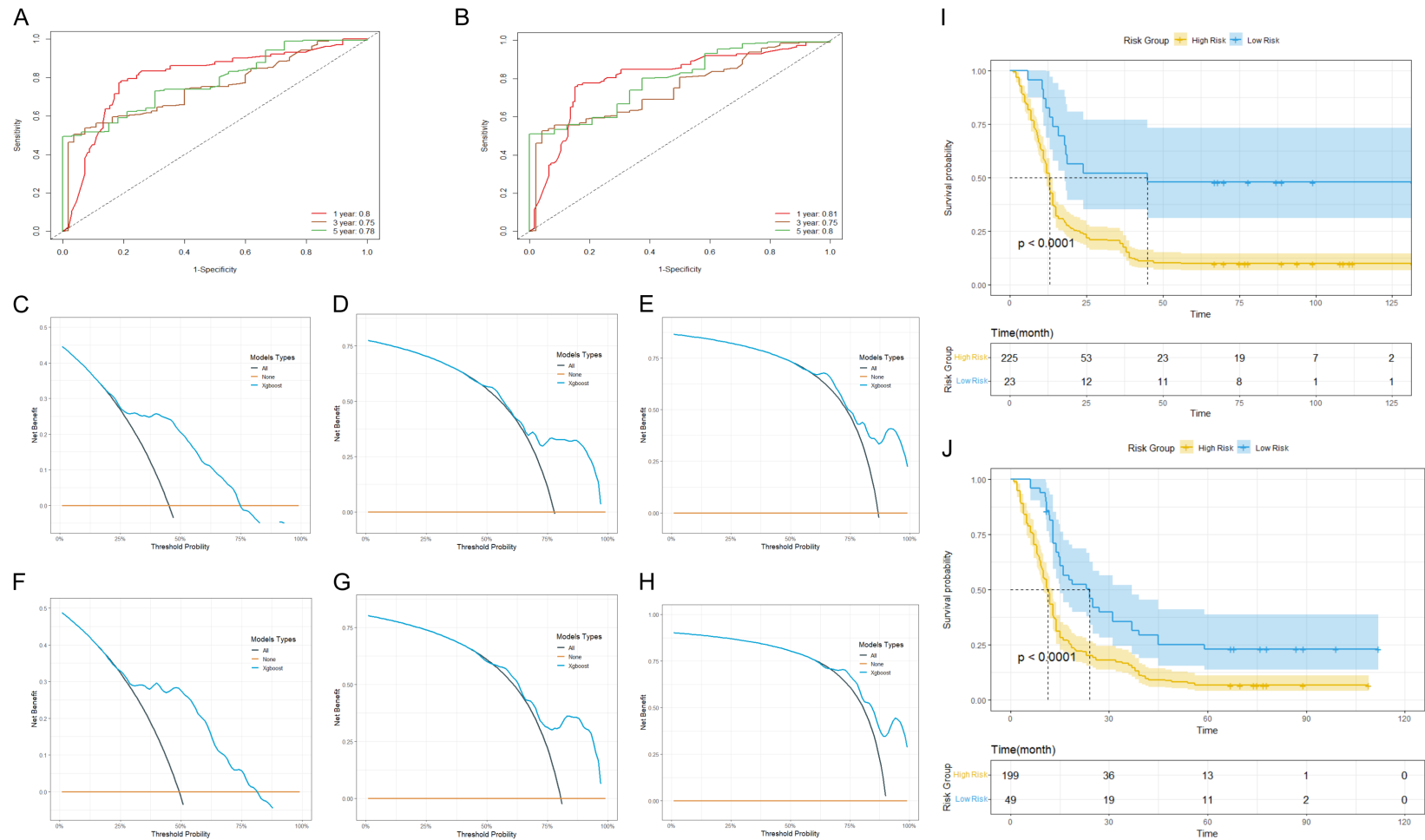
Currently, there is debate over the necessity of surgery for gastric cancer patients with metastasis. Some studies have found that palliative

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**Figure 6.** Model selection and validation in the testing set. Receiver operating characteristic curves of all models for the training set (A, C, E) and testing set (B, D, F) regarding 1-year, 3-year and 5-year CSS. Decision curve analysis of the best model for the training set (G, I, K) and testing set (H, J, L) regarding 1-year, 3-year and 5-year CSS. Kaplan-Meier survival curves for the training (M) and testing set (N). CSS: cancer specific survival.

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**Figure 7.** Model validation in the external set. Receiver operating characteristic curves for the OS (A) and CSS (B) at 1, 3 and 5 years. Decision curve analysis for the OS (C-E) and CSS (F-H) at 1, 3 and 5 years. Kaplan-Meier survival curves for the OS (I) and CSS (J). OS: overall survival; CSS: cancer specific survival.

gastrectomy can increase survival rates, especially in individuals younger than 70 years old with a single metastatic site [33-35]. A meta-analysis by Sun et al. reported significantly longer survival time for metastatic gastric cancer patients who underwent palliative surgery compared to those who did not [36]. However, the National Comprehensive Cancer Network and three European guidelines do not recommend palliative gastrectomy [37, 38]. In our study, GCLM patients who underwent surgery for the primary site had a lower risk of death compared to those who did not. This suggests that combining surgery with chemotherapy may significantly improve patient survival. Additionally, we found that patients with N3 stage and Grade III tumors have the highest risk of death. Moreover, compared to adenocarcinoma, patients with certain pathological types, other than signet ring cell carcinoma and mucinous adenocarcinoma, such as non-small cell carcinoma and stromal tumors, had poorer prognoses.

Therefore, we constructed 5 ML models using these features, and found that XGBoost had the best predictive ability. To our knowledge, there were no previous study applied ML models to evaluate the prognosis of GCLM patients. Compared with Dong et al.'s [33] nomogram, the AUC of our model was nearly 0.9. Meanwhile, brier score and DCA also showed higher accuracy and clinical predictive value of the model. We believed the model could provide more accurate OS. This is not only conducive to the doctor-patient relationship, but also helpful for formulating the optimal treatment plan for patients. Therefore, we believe that the web calculator (<https://hbwszhaoqun.shinyapps.io/xgboost-model/>) can provide greater convenience for the users.

Although we successfully identified the risk factors affecting patient prognosis and constructed two models with satisfied performance to predict the OS and CSS of GCLM patients, our models also have deficiencies. First of all, the data in the SEER database, including chemotherapy method and course of treatment, as well as surgical methods, were incomplete, which may lead to the deviation of prediction. Secondly, the database did not provide hematology-related indicators, including leukocytes, neutrophils and other information. If we added

this information to construct the models, our prediction model may perform better. In addition, there are geographical limitations to external validation of our model, and our model has not been verified by large samples, so a multi-center, large-sample prospective study is needed to verify the accuracy of the model.

### Conclusion

Using different algorithms, we identified the risk factors affecting patient prognosis and successfully constructed two models to predict OS and CSS. Compared to existing models, our models demonstrated superior predictive performance. We showcased their potential in helping clinicians and patients predict survival time and choose optimal treatment plans.

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### Disclosure of conflict of interest

None.

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