

## Original Article

# Lipopolysaccharide induces CCL2 through TLR4 signaling and promotes esophageal squamous cell carcinoma cell proliferation

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**Abstract:** Poor oral health is an independent risk factor for upper-aerodigestive tract cancers, including esophageal squamous cell carcinoma (ESCC). Our previous findings suggest that high expression of toll-like receptor (TLR) 4, which recognizes lipopolysaccharide (LPS) released from periodontal pathogens, correlates with a poor prognosis after esophagectomy for ESCC. We therefore hypothesized that LPS influences cancer cell proliferation and disease progression in ESCC. We used 8 ESCC cell lines to investigate how LPS affects ESCC cell proliferation and migration activity. We also assessed mRNA and protein expression to determine how LPS affects cytokine production and whether blocking TLR4 signaling attenuates that effect. We also used a mouse xenograft model to investigate whether LPS upregulates ESCC tumor progression in vivo. We then determined whether C-C motif chemokine ligand 2 (CCL2) expression in clinical samples correlates with 5-year overall survival (OS) and disease-specific survival (DSS) in ESCC patients after esophagectomy. LPS significantly upregulated cell proliferation and migration in all ESCC lines. It also upregulated CCL2 production. In vivo, subcutaneous LPS administration significantly increased ESCC tumor volume in mice. In clinical samples, high CCL2 expression significantly correlated with 5-year OS and DSS. There was also a significant correlation between CCL2 and TLR4 expression status, suggesting the involvement of an LPS-TLR4-CCL2 cascade in clinical settings. LPS significantly upregulates cell proliferation and tumor progression through an LPS-TLR4-CCL2 cascade and influences prognosis after esophagectomy for ESCC. This suggests improving the oral environment has the potential to improve the prognosis of ESCC patients after esophagectomy.

**Keywords:** Toll-like receptor 4, C-C motif chemokine ligand 2, esophageal cancer, esophageal squamous cell carcinoma

## Introduction

Esophageal cancer is the ninth most common cancer in the world, with 604,000 new cases and 544,000 deaths reported annually, and was ranked sixth in overall mortality rate in 2020 [1]. There are two subtypes of esophageal cancer, esophageal adenocarcinoma (EAC) and ESCC, and there are regional disparities in the incidences of each as well as differences in the factors contributing to their occurrence. EAC is more prevalent in Europe, North America, and Western countries, including Australia, while ESCC is more prevalent in Asia, Central South America and Africa [2, 3]. Previous studies have revealed that risk factors

for ESCC include external etiological factors such as smoking, alcohol intake, and a poor lifestyle in which the diet contains few fruits and vegetables. Several endogenous factors, including inactivation of alcohol dehydrogenase-1B (ADH1B) and aldehyde dehydrogenase-2 (ALDH2) have also been shown to increase ESCC risk [4, 5]. In addition, recent reports suggest a poor oral environment related to tooth loss, the frequency of tooth brushing, and specific periodontal disease bacteria can impact the prognosis of ESCC patients [6-9].

Toll-like receptors (TLRs) are transmembrane proteins expressed in various cells and consti-

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tute a family of pattern recognition receptors that recognize pathogen-associated molecular patterns released by pathogens such as bacteria, viruses, fungi, yeast, and parasites [10, 11]. These TLRs play essential roles in mediating innate immunity and pathogen-specific adaptive immunity. Among those, TLR4 is known to recognize LPS in the cell wall of Gram-negative bacteria [10, 11]. Because most bacteria that contribute to periodontal disease are Gram-negative, it is thought that a poor oral environment continuously stimulates TLR4 signaling [12, 13]. Notably, we previously reported that ESCC patients expressing high levels of TLR4 had a significantly poorer prognosis than those expressing lower levels of the receptor [14]. This finding suggests there may be a relationship between LPS/TLR4 signaling and ESCC growth. However, little is known about the effect of LPS on ESCC growth or the potential mechanism underlying that growth.

In this study, we assessed the effect of LPS on ESCC cell proliferation and migration in vitro and we also examined the effect of LPS on progression of ESCC progression in a mouse xenograft model. The results suggested that LPS may enhance the production of C-C motif chemokine ligand 2 (CCL2) and promotes the progression of ESCC. CCL2 is known to activate tumor cell growth and proliferation through various mechanisms and implicated in the progression and prognosis of various cancers [15]. We therefore investigated whether there is a correlation between the expression status of CCL2 in clinical samples from ESCC patients and their 5-year OS and DSS.

### Materials and methods

#### Cell lines

KYSE series (KYSE-150, KYSE-190, KYSE-220, KYSE-270, KYSE-410, KYSE-450) and TE series (TE-8, TE-10) ESCC lines were purchased from the Health Science Research Resources Bank (Osaka, Japan) and RIKEN Cell Bank (Tsukuba, Japan). All cell lines were cultured in RPMI1640 medium (Sigma-Aldrich, St Louis, MO) supplemented with 10% FBS (GIBCO, Grand Island, NY) and antibiotics (penicillin G/streptomycin/amphotericin B, GIBCO) under 5% CO<sub>2</sub> in a humidified incubator at 37°C.

#### Cell proliferation assays

We evaluated the effect of LPS on ESCC cell proliferation using cells incubated with or without 10 µg/ml of LPS (Invivogen, CA). ESCC cells were seeded into 96-well plates at a density of  $1 \times 10^3$  cells/well and incubated first for 24 hours in 100 µL of RPMI1640 with 10% FBS and then for an additional 48 hours in 100 µL of RPMI1640 with or without 10 µg/ml of LPS. A CellTiter-Glo Luminescent Cell Viability Assay kit (Promega, WI) was then used to assess cell numbers. Cells incubated without LPS served as the control, and the average number of control wells was defined as 100% and compared with the LPS group. Each sample was analyzed in 8 wells, and this evaluation was performed twice.

#### RNA isolation, reverse transcription, and quantitative real time PCR

ESCC lines were seeded into 6-well plates with or without 10 µg/ml LPS. After reaching subconfluence, total RNA was extracted using Trizole® (Invitrogen, CA), and the quantity and purity of the RNA was evaluated using a NanoDrop 2000® spectrophotometer (Thermo Fisher Scientific, MA). cDNA was synthesized using a Transcriptor First Strand cDNA synthesis kit® (Roche Applied Science, Germany).

Quantitative real-time PCR was carried out using a LightCycler 480® Real-Time PCR System (Roche Applied Science). The amplification was carried out in a total volume of 10 µL containing 1 µL of cDNA sample derived from 50 ng of total RNA, 0.4 µM each primer, 0.2 µM Universal ProbeLibrary probe, 5 µL of 2X Probes Master (Roche Applied Science) and 3.4 µL of H<sub>2</sub>O. The cycling protocol entailed an initial denaturation at 95°C for 5 minutes, followed by 45 cycles of denaturation at 95°C for 10 seconds and annealing and extension at 60°C for 30 seconds. PCR amplification efficiency and CT values were calculated using LightCycler 480 Software Version 1.5, after which the ddCT method was used to compare mRNA expression levels between samples [16]. Each assay, including samples, standard curves, positive controls and reagent controls (reagents without cDNA and H<sub>2</sub>O only) were performed in triplicate and the average data analyzed as the results. All results were normalized to the level

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of  $\beta$ 2 microglobulin (B2M). The primer sequences and probes used for real-time PCR are summarized in [Table S1](#).

### *Enzyme-linked immunosorbent assay*

After growing ESCC cells to subconfluence in 6-well plates, the cells were incubated for 6 hours with or without LPS. The culture supernatants were then collected, and levels of expression of CCL2 were compared using an ELISA kit (Proteintech, Japan) [17].

### *Wound-healing assays*

For wound healing assays, KYSE-150 and KYSE-450 cells were grown to a confluent monolayer in 6-well plates, after which the cell monolayer was scratched using a 200- $\mu$ L pipette tip. After washing twice with PBC, culture medium with or without LPS was added. Photographs were then taken after 0 and 48 hours of incubation, and the sizes of the scratch areas were compared using Image J software.

### *Mouse xenograft model*

All animal experiments conformed to the Guide for the Care and Use of Laboratory Animals published by the US National Institutes of Health (NIH Publication No. 85-23, revised 1996) [18]. Animal experiments on mice were conducted with the approval (#a-1-0467) of the Akita University Ethics Committee. KYSE-150 and KYSE-450 cells ( $5 \times 10^6$  cells/mouse in 100  $\mu$ L of PBS) were subcutaneously injected into the backs of 5-week-old female nude BALB/c mice (six per group) obtained from CLEA Japan. Treatments were given every other day for a total of 14 days (7 treatments) starting 21 days after tumor implantation. The LPS group received an intratumoral injection of 400  $\mu$ g/kg LPS in 100  $\mu$ L of PBS, while the control group received a similar injection of 100  $\mu$ L of PBS. Tumor volume was measured every 2 days using the formula  $1/2 \times \text{length} \times \text{width} \times \text{width}$ . Nude mice were sacrificed by cervical dislocation at 2 days after the last treatment, and tumors were harvested and evaluated [19]. Humanitarian endpoints were defined as a decrease of >20% weight loss compared to controls, a decrease of >25% weight loss over 7 days, or cachexia [20].

### *Patients and procedure*

This study was approved (#2324) by the Ethics Committee of Akita University School of Medicine on November 20, 2019, and all experiments were conducted in accordance with the Declaration of Helsinki. Written informed consent was obtained from all study participants. Patients with pT2-pT4 thoracic ESCC who underwent curative esophagectomy without preoperative treatment at Akita University Hospital between January 2001 and December 2011 were enrolled in this study [21]. Our standard operative procedure was right thoracoscopic or robot-assisted thoracoscopic esophagectomy with extended three-field (mediastinal, abdominal and cervical fields) lymph node dissection. The clinical stage and treatment strategy for these patients was discussed and determined by a committee consisting of gastroenterologists, surgeons, radiologists, and oncologists. The pathological stage was diagnosed according to the UICC International Union Against Cancer Tumor-Node Metastasis (TNM) Classification of Malignant Tumors (8<sup>th</sup> edition).

### *ESCC tissue microarray and immunohistochemical (IHC) staining*

An ESCC tissue microarray was constructed at the Institute of Pathology in Toyama, Japan using 177 paraffin-embedded blocks of primary tumor taken from enrolled patients, as previously described [16, 22, 23]. However, two samples fell off the microarray during processing, so these cases were excluded. The evaluation was therefore based on 175 cases.

IHC staining was performed using 4- $\mu$ m sections from the tissue microarray to evaluate the protein expression of CCL2 in primary tumors from ESCC patients. Briefly, paraffin-embedded sections were deparaffinized with xylene and hydrated with ethanol. For antigen retrieval, the sections were incubated in citrate buffer (pH 6.0) at 105°C for 10 minutes in an autoclave. Endogenous peroxidase activity was inactivated by incubating the sections with 0.3% hydrogen peroxide for 30 minutes. Thereafter, the sections were incubated first with rabbit anti-CCL2 polyclonal antibody (bs-1101R, Bioss Antibodies, MA) at a dilution of 1:100 at 4°C overnight and then with horseradish peroxi-

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dase (HRP)-conjugated goat anti-rabbit immunoglobulin for 30 minutes. Finally, the sections were stained with DAB and hematoxylin according to the manufacturer's instructions.

A NanoZoomer Digital Pathology C9600 slide scanner (Hamamatsu Photonics, Japan) and Virtual Slide Viewer software (NDP.view2 version 2.9.29) (Hamamatsu Photonics, Japan) were used to image the stained samples. Staining scores were then assigned by three physicians blinded to the clinical data. We employed the HER2 scoring system (ASCO and CAP guidelines) [24]. A sample was assigned an IHC score of 3+ if there was intense CCL2 staining in the cytoplasm or nuclei in more than 30% cells, 2+ if there was moderate staining of the cytoplasm or nuclei in >10% of cells, and 1+ if there was weak staining. High expression was defined as an IHC score of 3+ or 2+; low expression was defined as a score of 1+. The score that was assigned the most among the three physicians was used. There were only two samples where scores differed among the three of us, but those two were reconsidered as high expression.

### Statistical analysis

Kruskal-Wallis test was used to evaluate differences in luminescence or in relative mRNA expression levels between wells treated with or without LPS. The mean, standard deviation and frequency were used to summarize the characteristics of the patients in the CCL2-1+, 2+ and 3+ groups. The Wilcoxon test (for continuous variables) or  $\chi^2$  and Fisher's exact tests (for categorical variables) were used to evaluate the differences between these groups. OS was calculated as the date from the surgery to death regardless of any cause, and DSS was calculated as the time from the surgery to death from ESCC. Kaplan-Meier method was applied to depict overall survival (OS) between CCL2 expression status by using the log-rank test. Cox's proportional hazards regression model was used for univariate and multivariate analyses. To identify independent prognostic factors affecting OS, we applied a Cox proportional hazard model to calculate the hazard ratios (HRs) and 95% confidence intervals (CIs). Statistical analyses were performed using JMP Pro 17 (SAS Institute, NC). Values of  $P \leq 0.05$  (two-sided) were considered significant.

## Results

### Effect of LPS on proliferation in ESCC lines

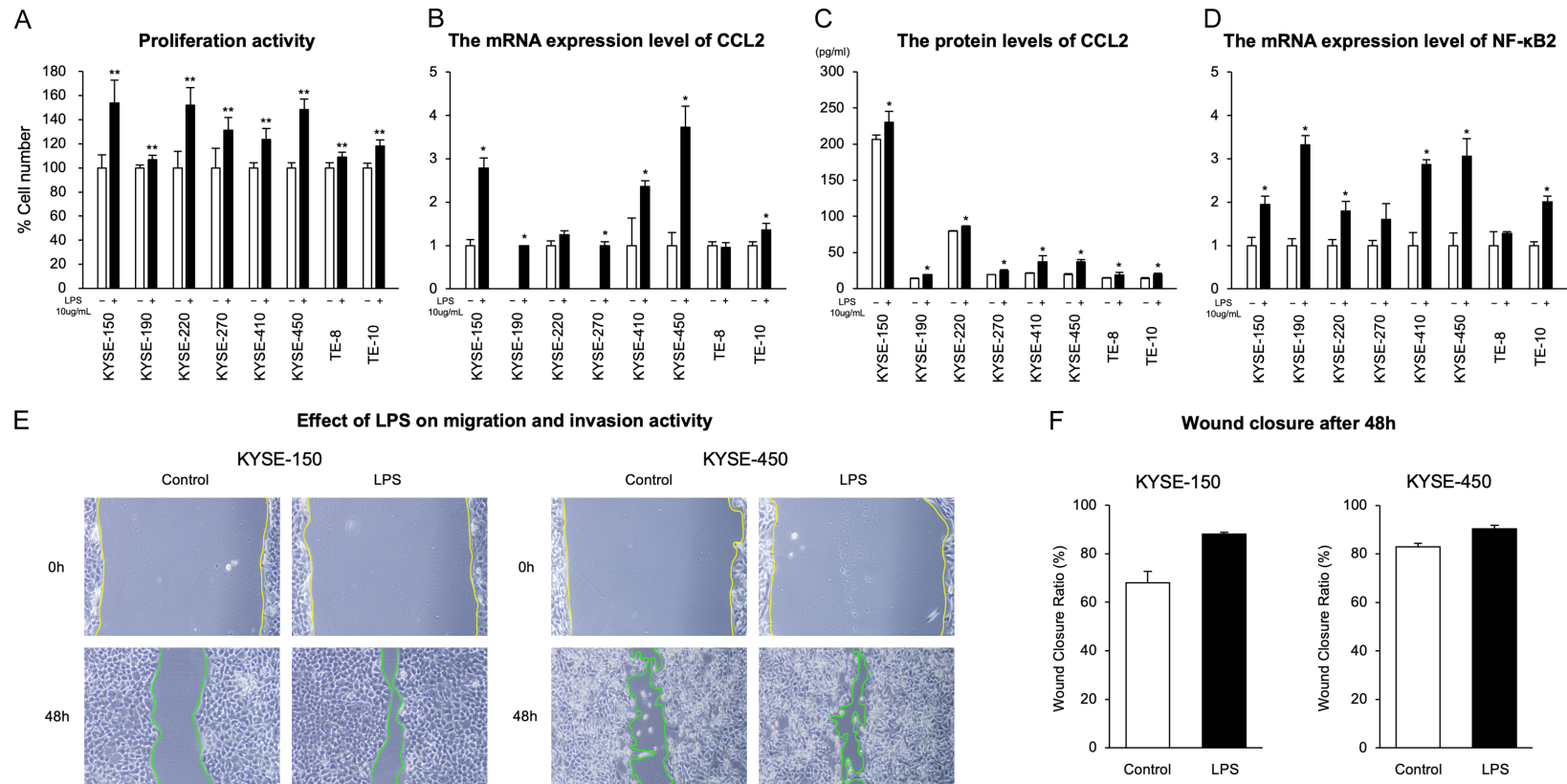
The effect of LPS (10  $\mu\text{g}/\text{mL}$ ) on ESCC cell proliferation was investigated in 8 cell lines. Cell proliferation assays revealed that LPS stimulation for 48 hours significantly increased cell proliferation to 110-150% of control in all 8 cell lines (**Figure 1A**).

### LPS promotes ESCC cell proliferation by activating CCL2 through NF- $\kappa$ B2

Quantitative RT-PCR was performed to investigate expression of mediators and cytokines potentially involved in the LPS-induced promotion of cell proliferation. We found that the mRNA expression of CCL2 was significantly increased as compared to control in LPS-treated KYSE-150, KYSE-190, KYSE-270, KYSE-410, KYSE-450 and TE-10 cells (**Figure 1B**). Indeed, no expression of CCL2 was detected in untreated KYSE-190 and KYSE-270 cells; only after LPS treatment was CCL2 detected. Strong expression of CCL2 was also detected in LPS-treated KYSE-220 cells, but the level was not significantly greater than control. And in TE-8 cells, levels of CCL2 expression were higher in control than LPS-treated cells, though the difference was not statistically significant. Moreover, ELISAs revealed that expression CCL2 protein was significantly increased in all LPS-treated cells (**Figure 1C**). Notably, levels of NF- $\kappa$ B2 mRNA expression were increased by LPS treatment in all cell lines, and the increases were significant in KYSE-150, KYSE-190, KYSE-220, KYSE-410, KYSE-450 and TE-10 cells (**Figure 1D**). This suggests NF- $\kappa$ B2 may mediate the LPS-induced increase in CCL2 expression in ESCC cells.

We also investigated the possibility that factors other than CCL2 are involved in the proliferation of ESCC. The expression levels of IL-1 $\beta$  and IL-8 mRNA were significantly increased in LPS-stimulated ESCC cells (**Figure S1A** and **S1C**). The mRNA expression of IL-1 $\beta$  was significantly enhanced in all cell lines except TE-8, while mRNA expression of IL-8 was significantly enhanced in 5 of 8 cell lines. IL-1 $\beta$  protein was significantly higher in LPS-treated cells than control cells in all cell lines except KY-270 (**Figure S1E**). IL-8 protein was significantly higher in LPS-treated cells than control cells in all

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**Figure 1.** LPS promotes ESCC cell proliferation and migration by activating CCL2 through NF- $\kappa$ B2. (A) Effect of LPS (10  $\mu$ g/ml) on proliferation of cells of the indicated ESCC lines. Control cells grown in the absence of LPS were assigned a value of 100. (B, C) Comparison between CCL2 mRNA expression (B) and protein (C) in ESCC cell lines treated with 10  $\mu$ g/ml LPS and untreated controls. (D) Levels of NF- $\kappa$ B2 mRNA expression in ESCC lines. (E) Wound healing assays showing the effect of LPS on migration of KYSE-150 and KYSE-450 cells. (F) Wound closure observed after 48 hours. \* $P < 0.05$ ; \*\* $P < 0.001$ .

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cell lines except TE-8 and TE-10 (Figure S1G). The mRNA expression of IL-6 was detected in 6 cell lines, and there was a tendency for 5 of these cells to be higher with higher mRNA expression in LPS (Figure S1B). IL-6 protein was significantly higher in LPS-treated cells than control cells in all cell lines except KYSE-220 and TE-10 (Figure S1F).

### *LPS promotes ESCC migration*

To assess the effect of LPS on ESCC cell migration activity, we next conducted wound healing assays with KYSE-150 and KYSE-450 cells, which exhibited increased proliferation and enhanced CCL2 expression when treated with LPS. In both cells lines, LPS treatment led to increased cell migration as compared to the control cells (Figure 1E and 1F).

### *Blocking TLR4 downregulated ESCC cell proliferation*

To further confirm that LPS promotes ESCC cell proliferation by activating CCL2, we tested the effect of the TLR4 blocker TAK242. Cell numbers were assessed after culturing ESCC cells in medium without or with LPS (10 µg/ml) + TAK242 (10 µg/ml). Blocking TLR4 suppressed ESCC cell proliferation by 2-13% as compared to control in 6 cell lines (not KYSE-190 or KYSE-410 cells), even in the presence of LPS (Figure 2A). Likewise, TAK242 blocked the LPS-induced enhancement of cell migration in wound healing assays (Figure 2B and 2C). In addition, ELISAs showed that levels of CCL2 protein were significantly decreased as compared to control in 5 of 8 lines treated with LPS + TAK242 (Figure 2D). This suggests that signals downstream of TLR4 are involved in mediating ESCC cell proliferation and that LPS may promote cell proliferation by upregulating those signals. In addition, blocking TLR4 significantly suppressed the LPS-induced IL-1β protein production in 5 of 8 lines (Figure S1E). Similarly, blocking TLR4 significantly reduced LPS-induced IL-6 and IL-8 protein production in 7 of 8 lines (Figure S1F and S1G).

### *LPS promotes ESCC cell proliferation in vivo*

To examine the effects of LPS on ESCC in vivo, KYSE-150 and KYSE-450 cells were subcutaneously transplanted into nude mice. These cell lines exhibited large increases in cell prolifer-

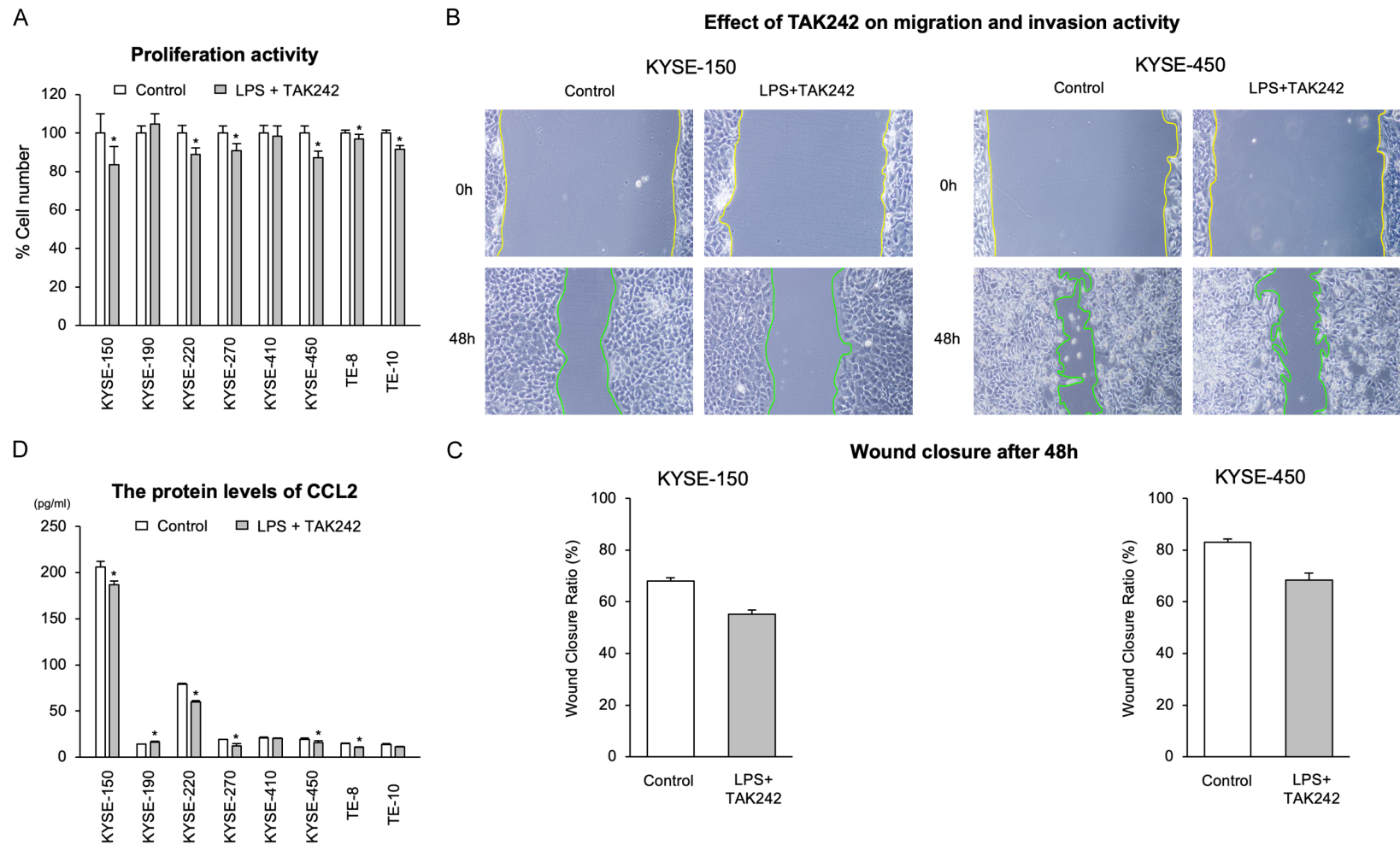
ation activity as well as expression of both CCL2 mRNA and protein in vitro. About  $5 \times 10^6$  tumor cells were subcutaneously injected into the backs of nude mice, after which the engrafted tumors were allowed to grow. Following the protocol illustrated in Figure 3A, we injected PBS or LPS intratumorally and evaluated the increase in tumor size (Figure 3B and 3C). Notably, LPS significantly enhanced ESCC xenograft tumor growth in vivo.

### *CCL2 expression correlates with prognosis of ESCC patients*

To investigate the relationship between CCL2 protein expression and prognosis in ESCC patients, we performed IHC staining for CCL2 using a tissue microarray containing samples from 175 primary tumors collected from ESCC patients who underwent curative esophagectomy without preoperative treatment (Figure 4A). Representative images assigned IHC scores of 3+, 2+, and 1+ are shown in Figure 4B. The clinicopathological characteristics of the 3+, 2+, and 1+ groups are summarized in Table 1. The 3+ group included significantly more patients with T4a, poorly differentiated tumors and were more likely to experience ESCC recurrence and death due to ESCC (Table 1; Figure 4E and 4F). Although no significant differences were observed between groups, there was a tendency for CCL2 scores to be higher with higher pStage and pN (Figure 4G and 4H). Kaplan-Meier curve analysis showed that the 5-year OS and DSS among ESCC patients significantly declined with increasing CCL2 scores, and CCL2 scores correlated with the prognosis of ESCC patients (Figure 4C and 4D). When univariate analysis was performed after defining scores of 3+ and 2+ as high CCL2 expression and 1+ as low expression, CCL2 expression, lymph node metastasis, pathological stage, and tumor differentiation were found to be significantly associated with 5-year OS of the ESCC patients (Table 2A). Importantly, multivariate analysis showed that CCL2 expression level was an independent prognostic factor in every combination with age, sex, depth of invasion, lymph node metastasis status, pathological stage, and tumor differentiation (Table 2B). Thus, high CCL2 expression was found to correlate with a poor prognosis in ESCC patients.

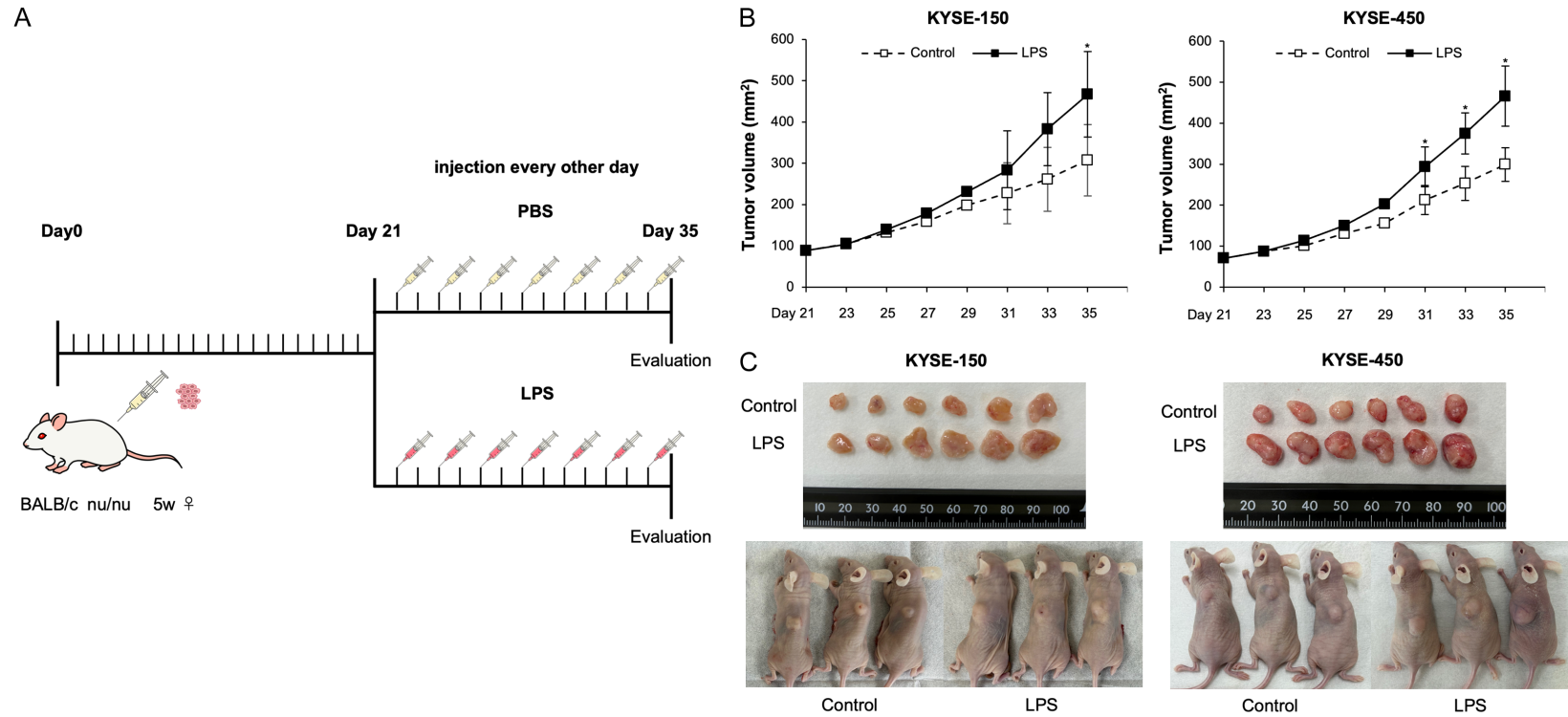
Having previously shown that high TLR4 expression correlates with a poor prognosis in ESCC

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**Figure 2.** Blocking TLR4 in ESCC cells reduces CCL2 production and cell proliferation. A. Effect of TLR4 blockade with TAK242 (10  $\mu$ g/ml) on proliferation in ESCC lines treated with 10  $\mu$ g/ml LPS. Untreated control cells were assigned a value of 100. B. Wound healing assays showing the effect of LPS and TAK242 on migration of KYSE-150 and KYSE-450 cells. C. Wound closure observed after 48 hours. D. Level of CCL2 protein in KYSE-150 and KYSE-450 cell supernatants after treatment with LPS and TAK242. \* $P < 0.05$ .

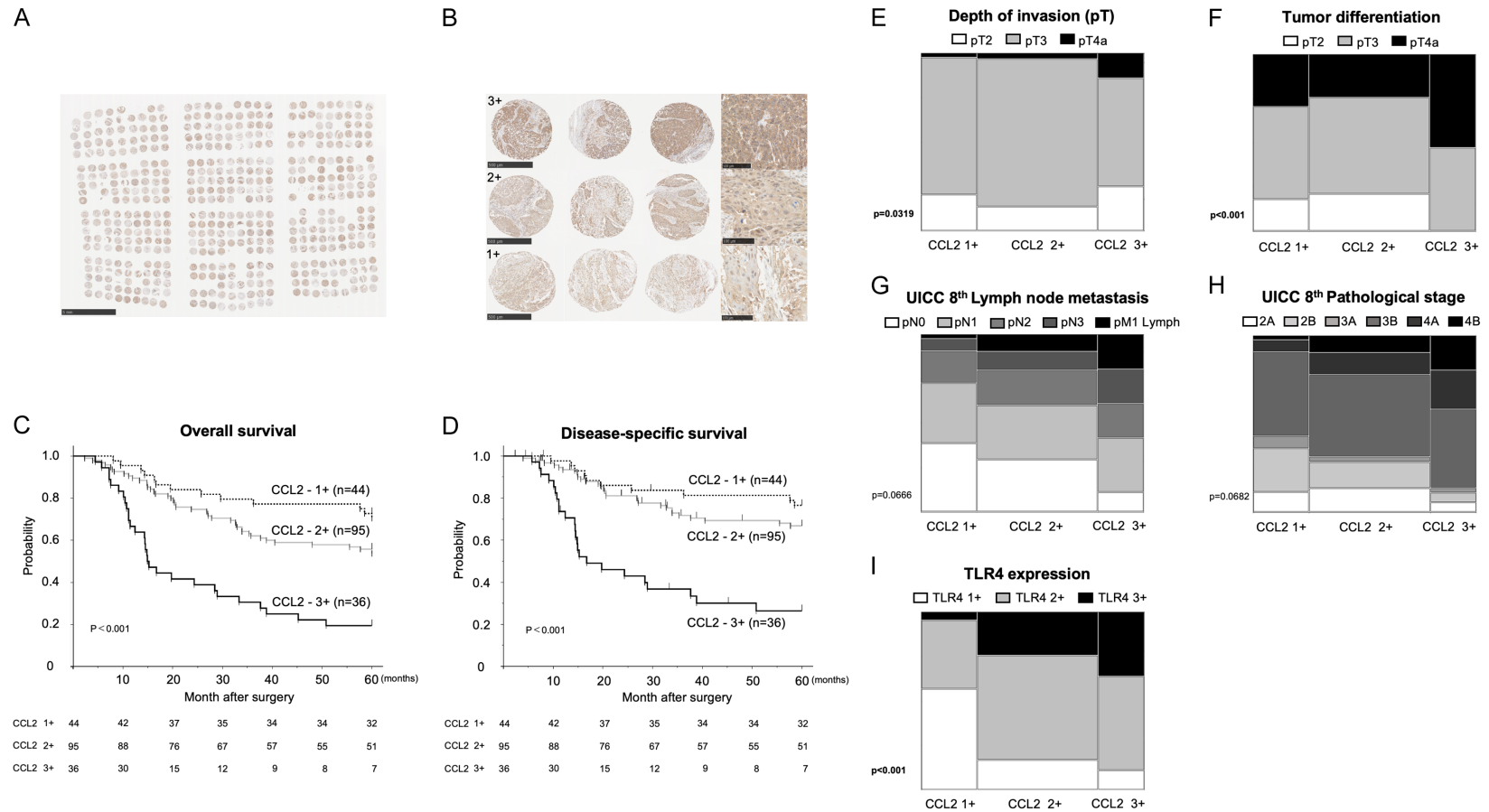
# LPS produces CCL2 through TLR4 signaling in ESCC



**Figure 3.** Effect of LPS on ESCC tumor growth in vivo. A. Treatment protocol. KYSE-150 and KYSE-450 cells were subcutaneously implanted into nude mice, after which PBS (N=6) or LPS (N=6) was subcutaneously injected at the indicated times. B. Graph of tumor volume for each group. The treatment start date is set as the measurement start date. C. Photo of the nude mice and excised xenograft tumors after treatment. \*P<0.05.



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**Figure 4.** CCL2 expression assayed in a tissue microarray and corresponding survival data for 175 ESCC patients. (A) Whole images of CCL2 staining in the tissue microarray of samples from the 175 ESCC patients. (B) Representative images assigned IHC scores of 3+, 2+, and 1+. The triplicate cores are shown at 100 $\times$  magnification (scale bar: 500  $\mu$ m), along with high-magnification (400 $\times$ ) images on the right (scale bar: 100  $\mu$ m). (C, D) Kaplan-Meier survival curves illustrating the association between CCL2 expression status (3+ or 2+ or 1+) and 5-year OS (C) and DSS (D) in ESCC patients after curative esophagectomy. The log-rank test was used to compare differences between the three groups ( $P < 0.001$ ). (E) CCL2 expression status and depth of invasion (pT). (F) CCL2 expression status and tumor differentiation. (G) CCL2 expression status and UICC 8<sup>th</sup> lymph node metastasis (pN). (H) CCL2 expression status and UICC 8<sup>th</sup> pathological stage. (I) CCL2 expression status and TLR4 expression.

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**Table 1.** The clinicopathological characteristics of 175 ESCC patients

Characteristics	CCL2-1+ n=44 (25.1%)	CCL2-2+ n=95 (54.3%)	CCL2-3+ n=36 (20.6%)	P value
Sex				0.0886
Female	3 (12.5%)	18 (81.0%)	3 (8.3%)	
Male	41 (27.7%)	77 (19.0%)	33 (91.7%)	
Age at surgery	67 (38-78)	64 (38-78)	68 (50-82)	0.0795
Habitual smoking				0.689
Current	29 (65.9%)	51 (53.6%)	19 (52.8%)	
Past	8 (18.2%)	22 (23.2%)	8 (22.2%)	
Never	7 (15.9%)	22 (23.2%)	9 (25.0%)	
Habitual alcohol consumption				0.806
Current	34 (77.2%)	73 (76.8%)	24 (66.6%)	
Past	5 (11.4%)	11 (11.6%)	6 (16.7%)	
Never	5 (11.4%)	11 (11.6%)	6 (16.7%)	
Tumor location				0.300
Upper	3 (6.8%)	3 (3.2%)	0	
Middle	27 (61.4%)	60 (63.1%)	28 (77.8%)	
Lower	14 (31.8%)	32 (33.7%)	8 (22.2%)	
Depth of invasion (pT)				0.0319*
pT2	9 (20.4%)	13 (13.7%)	9 (25.0%)	
pT3	34 (77.3%)	79 (83.2%)	22 (61.1%)	
pT4a	1 (2.3%)	3 (3.1%)	5 (13.9%)	
Lymph node metastasis (pN UICC)				0.0666
pN0	17 (38.6%)	28 (29.5%)	4 (11.2%)	
pN1	15 (34.1%)	29 (30.5%)	11 (30.6%)	
pN2	8 (18.2%)	19 (20.0%)	7 (19.4%)	
pN3	3 (6.8%)	10 (10.5%)	7 (19.4%)	
M1 Lymph (supraclavicular)	1 (2.3%)	9 (9.5%)	7 (19.4%)	
Number of dissected LN	53 (24-96)	63 (12-131)	62 (9-124)	0.2074
Pathological stage				0.0682
pStage IIA	5 (11.4%)	13 (13.7%)	2 (5.6%)	
pStage IIB	11 (25.0%)	14 (14.7%)	2 (5.6%)	
pStage IIIA	3 (6.8%)	3 (3.2%)	1 (2.8%)	
pStage IIIB	21 (47.7%)	44 (46.3%)	16 (44.4%)	
pStage IVA	3 (6.8%)	12 (12.6%)	8 (22.2%)	
pStage IVB (M1 Lymph)	1 (2.3%)	9 (9.5%)	7 (19.4%)	
Tumor differentiation				<0.001*
Well	8 (18.2%)	20 (21.1%)	0	
Moderate	23 (52.3%)	52 (54.7%)	17 (47.2%)	
Poor	13 (29.5%)	23 (24.2%)	19 (52.8%)	
Adjuvant chemotherapy				0.018*
Positive	25 (56.8%)	65 (68.4%)	15 (41.7%)	
Negative	19 (43.2%)	30 (31.6%)	21 (58.3%)	
Recurrence of ESCC				0.0026*
Positive	20 (45.4%)	34 (35.8%)	25 (69.4%)	
Negative	24 (54.6%)	61 (64.2%)	11 (30.6%)	

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Prognosis				0.0027*
Alive	24 (54.5%)	46 (48.4%)	6 (16.7%)	
Deceased from ESCC	12 (27.3%)	30 (31.6%)	24 (66.6%)	
Deceased from other cancer	0	4 (4.2%)	1 (2.8%)	
Deceased from other diseases	8 (18.2%)	15 (15.8%)	5 (13.9%)	
TLR4 expression				<0.001*
TLR4 1+	25 (56.8%)	16 (16.8%)	4 (11.1%)	
TLR4 2+	17 (38.6%)	56 (59.0%)	19 (52.8%)	
TLR4 3+	2 (4.6%)	23 (24.2%)	13 (36.1%)	

\*p<0.05.

**Table 2.** Univariate (A) and Multivariate (B) analysis of the hazard ratios for 5-year OS in the tissue microarray cohort

<b>A.</b>				
Variables	P value	HR	95% CI	
CCL2 expression: high (n=131) vs. low (n=44)	0.004*	2.362	1.309-4.265	
Age: 70 and older (n=66) vs. younger (n=109)	0.059	1.508	0.985-2.308	
Sex: Male (n=151) vs. Female (n=24)	0.118	1.788	0.863-3.701	
Depth of invasion: T3-4 (n=144) vs. T1-2 (n=31)	0.479	1.230	0.693-2.181	
Lymph node metastasis: N+ (n=126) vs. N0 (n=49)	<0.001*	5.670	2.735-11.76	
Pathological stage: III-IV (n=128) vs. I-II (n=47)	<0.001*	5.287	2.550-10.96	
Tumor differentiation: poorly (n=55) vs. not poorly (n=120)	0.007*	1.815	1.176-2.800	
<b>B.</b>				
Variables	P value	HR	95% CI	
Crude (CCL2 expression)	0.004*	2.362	1.309-4.265	
Adjusted for age and sex	0.002*	2.579	1.423-4.673	
Adjusted for age, sex, depth of invasion, lymph node metastasis, pathological stage and tumor differentiation	0.002*	2.596	1.419-4.750	

\*p<0.05.

patients [14], we also tested whether CCL2 expression is related to TLR4 expression in these 175 ESCC tissue samples. We found that IHC scores for TLR4 correlated significantly with the CCL2 scores (**Figure 4I**). This suggests that CCL2 signals mediated by LPS/TLR4 are an important factor associated with prognosis in ESCC patients.

### Discussion

In this study, we demonstrated that LPS promotes cell proliferation and migration of ESCC cells in vitro by enhancing the production of CCL2 through TLR4 signaling. We also showed for the first time that LPS enhances the progression of ESCC tumors in vivo in a mouse model. Moreover, we investigated CCL2 in patients who underwent curative esophagectomy and found that those exhibiting high CCL2 expression had significantly poorer 5-year OS and DSS than those with low CCL2 expression. Multivariate analysis demonstrated that high

CCL2 expression was an independent prognostic factor affecting 5-year OS. In addition, TLR4 expression significantly correlated with CCL2 expression in these patients, which suggests LPS seems to induce CCL2 via TLR4 signaling.

These findings in the present study are consistent with those of Zoo et al., who reported that LPS stimulation in ESCC is involved in cancer cell proliferation via the LPS-TLR4 signaling pathway [25], as well as those of Penn et al., who reported that in addition to cell proliferation, LPS is involved in ESCC cell migration, and that these effects are mediated by increases in NF- $\kappa$ B [26].

CCL2 is a chemokine known to promote progression of various types of cancer by increasing cell migration, suppressing cancer immunity, and promoting angiogenesis [27, 28]. In ESCC, Yang et al. reported that high CCL2 expression leads to tumor growth by inducing macrophage differentiation to the M2 pheno-

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type and suppressing cancer immunity [29]. Yue et al. reported that CCL2 induces regulatory T cells and suppresses cancer immunity [30]. CCL2 thus appears to be closely associated with cancer immunity in ESCC and may be involved in tumor growth. As we demonstrated in this study, high CCL2 expression levels are significantly associated with increased pT and poorer 5-year OS and DSS, which may reflect the ability of CCL2 to suppress local cancer immune responses.

We also demonstrated changes in the expression of other cytokines after LPS stimulation. It has been reported that IL-1 $\beta$  contributes to ESCC progression by promoting epithelial-mesenchymal transition and that IL-8 promotes ESCC cell proliferation in vitro and is correlated with ESCC prognosis, though the mechanism is unknown [31, 32]. This suggests LPS may stimulate ESCC cell proliferation via several factors. Blocking TLR4 reduces levels of IL-1 $\beta$  as well as CCL2, suppressing ESCC cell proliferation. This suggests that IL-1 $\beta$  may also be involved in promoting cell proliferation activity in ESCC, though only CCL2 was investigated using clinical specimens. LPS also reportedly affects other types of cancer. In gastric cancer, for example, LPS was shown to promote cell proliferation, migration, and adhesion, and to be associated with peritoneal metastasis [33, 34]. LPS also reportedly promotes liver metastasis in colorectal cancer [35]. We believe that blocking TLR4 signaling has great potential for future treatment of several kinds of cancer including ESCC.

On the other hand, LPS may exert antitumor effects. Hirota et al. reported that the combined use of cyclophosphamide and LPS inhalation resulted in significantly greater lung tumor reduction than saline inhalation [36]. The proposed mechanism is that LPS stimulation increases IL-12 production, which has an antitumor effect, and induces macrophage differentiation into the M1 phenotype, thereby increasing cancer immunity. It therefore appears that the effect of LPS on tumors may differ depending on the organ. In fact, reports indicate LPS has an inhibitory effect on cancers of the lung and brain [37-40]. There are also reports of LPS suppressing cancers of the liver and pancreas [41, 42]. However, there have been no reports of LPS suppressing cancers of the esophagus or stomach, which

are directly connected to the oral cavity. Unlike the esophagus, which is continuously exposed to the oral flora, the lung has little exposure and is therefore not subjected to chronic exposure to LPS. This suggests, an antitumor immune response may have occurred upon exposure to LPS in the lung but is absent in the esophagus. Furthermore, although LPS-induced increases in IL-1 $\beta$  reportedly reduces cell proliferation in lung cancer [37], we found that IL-1 $\beta$  increases ESCC cell proliferation, which is consistent with earlier reports that IL-1 $\beta$  promotes cancer growth and is associated with a poor prognosis in ESCC [31, 43].

Several reports have suggested a link between esophageal cancer and a poor oral environment characterized by tooth loss, low frequency of tooth brushing, and the presence of periodontal disease-causing bacteria [6-8], but there have been no reports of a link between LPS released by periodontal disease-causing bacteria and esophageal cancer. As mentioned above, we previously reported that high TLR4 expression contributes to a poorer prognosis of ESCC patients [14]. Moreover, our present findings provide important evidence that periodontal bacterial LPS seems to promote ESCC cell proliferation and tumor growth. This suggests that a poor oral environment may directly promote tumor growth in ESCC patients, which is consistent with our earlier finding that a poor oral environment adversely affects prognosis in ESCC patients [6-8]. It is well known that periodontal disease is involved in the development of other diseases, including obesity, diabetes, cerebral infarction, and myocardial infarction [44, 45]. Together, our findings and those of others suggest LPS-TLR4 signaling acts to promote gastrointestinal cancers, including esophageal, gastric and colorectal cancer [33-35]. These results may thus have important implications not only for esophageal cancer but also for other cancers in the gastrointestinal tract.

By contrast, we recently demonstrated that among patients who underwent curative esophagectomy for ESCC, those with high TLR6 expression had significantly better 5-year OS and DSS than those with low TLR6 expression [22]. TLR6 recognizes the peptidoglycan released from Gram-positive bacteria [11], and Gram-positive bacteria include so-called "beneficial bacteria" such as *Lactobacillus* and

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*Streptococcus mitis*, which are constituents of normal oral flora [46, 47]. This suggests TLR6 signals from “beneficial bacteria” may have a suppressive effect against cancer. In fact, *Lactobacillus*-induced TLR6 signaling reportedly reduces tumor burden in inflammation-induced colorectal cancer [48]. A meta-analysis also found that frequent tooth brushing reduces the risk of esophageal cancer [49]. We therefore suggest that changing the oral flora from Gram-negative periodontal disease-causing bacteria to Gram-positive beneficial bacteria may improve ESCC prognosis.

This study has several limitations. First, the mice used in this study were nude mice. Our results showed that LPS promotes ESCC growth by increasing CCL2 production. Because CCL2 commonly suppresses cancer immunoreactivity, resulting in tumor growth, experiments in nude mice may not adequately assess cancer immunosuppression. Nude mice were used in this study to facilitate tumor engraftment, but T cell immunity was not taken into consideration, so the actual effect on the human body may differ. A second limitation is the lack of data on the oral environment and oral flora of the patients whose tissue samples were used for the tissue microarray. Those patients were treated between 2001 and 2011. However, preoperative evaluation of the oral environment by dentists did not begin until 2009, making it impossible to investigate the relationship between oral conditions, oral bacterial flora and CCL2 scores in these patients. Consequently, we cannot be certain that the reported CCL2 scores reflect the influence of LPS from periodontal bacteria. Moreover, while CCL2 was shown to contribute significantly to cell proliferation, the main point we would like to address this time is that LPS stemming from a poor oral environment affects the progression of ESCC. That said, the role of CCL2 in the progression of ESCC is a topic we would like to address in detail in a future study.

In conclusion, we demonstrated that LPS promotes ESCC cell proliferation and migration in vitro by enhancing CCL2 production via TLR4 signaling. We also revealed for the first time that LPS enhances ESCC tumor progression in vivo. Moreover, we showed that high CCL2 expression is an independent factor adversely affecting 5-year OS. These results suggest that

changing the oral flora from Gram-negative periodontal disease-causing bacteria to Gram-positive beneficial bacteria seems to improve the prognosis of ESCC patients.

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### Disclosure of conflict of interest

None.

### Abbreviations

LPS, Lipopolysaccharide; CCL2, C-C motif chemokine ligand 2; TLR, Toll-like receptor; ESCC, esophageal squamous cell carcinoma; EAC, esophageal adenocarcinoma.

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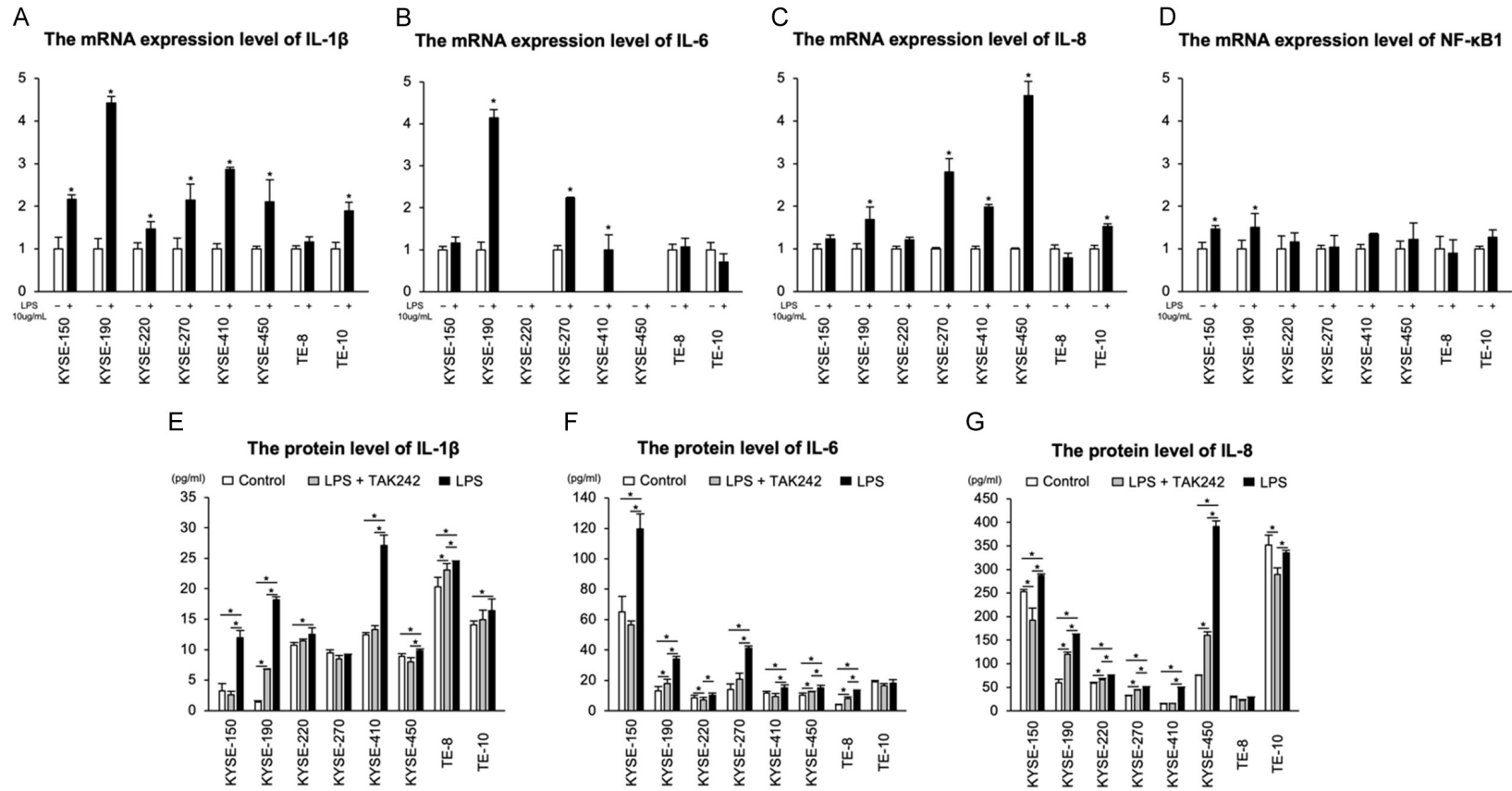


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**Table S1.** Sequences of primer and Universal Probe Library

Gene	ID	Forward primer	Reverse primer	Universal Probe Library
B2M	NM_004048.2	TTCTGGCCTGGAGGCTATC	TCAGGAAATTTGACTTTCCATTC	CATCCAGC
NFkB1	NM_003998.2	ACCCTGACCTTGCCATTTG	AGCTCTTTTTCCCGATCTCC	AGGTGGAG
NFkB2	NM_001077494.1	ACACCGTTGTACAAAGATACGC	GCCCGGCTCTGTCTAGTG	GGAAGCAG
IL-1B	NM_000576.2	TACCTGTCCTGCGTGTGAA	TCTTTGGTAATTTTGGGATCT	AGCTGGAG
IL-6	NM_000600.3	GATGAGTACAAAAGTCCTGATCCA	CTGCAGCCACTGGTTCTGT	CAGCAGGC
IL-8	NM_000584.2	AGACAGCAGAGCACACAAGC	ATGGTTCCTCCGGTGGT	GCCAGGAA
CCL2	NM_002982.3	AGTCTCTGCCGCCCTTCT	GTGACTGGGGCATTGATTG	GCCTGCTG

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**Figure S1.** (A-D) IL-1 $\beta$  (A), IL-6 (B), IL-8 (C) and NF- $\kappa$ B1 (D) mRNA expressions in ESCC lines treated with 10  $\mu$ g/ml LPS. (E-G) IL-1 $\beta$  (E), IL-6 (F) and IL-8 (G) protein levels in ESCC lines treated with 10  $\mu$ g/ml LPS.