

Review Article

The treatment and outcome prediction analysis of pediatric acquired severe aplastic anemia

Yi-Xian Li¹, Yun Chen², Jun-Bin Huang¹, Xiao-Yun Chen³, Hong-Man Xue¹, Yu-Cai Cheng¹, Chun Chen¹

¹Division of Hematology/Oncology, Department of Pediatrics, The Seventh Affiliated Hospital of Sun Yat-Sen University, Shenzhen, Guangdong, PR China; ²Research Center, The Seventh Affiliated Hospital of Sun Yat-Sen University, Shenzhen, Guangdong, PR China; ³Outpatient Clinic of Pediatrics, The Seventh Affiliated Hospital of Sun Yat-Sen University, Shenzhen, Guangdong, PR China

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Abstract: Pediatric acquired severe aplastic anemia (SAA), a prevalent non-malignant hematological disorder, presents significant therapeutic challenges and carries considerable risks. Despite substantial progress in immunosuppressive therapy (IST) and allogeneic hematopoietic stem cell transplantation (allo-HSCT) in recent years, the protracted treatment duration, substantial costs, and significant disparities in long-term survival outcomes among patients remain problematic. Identifying predictors of treatment response before therapy initiation is crucial for optimal clinical decision-making and complication prevention. Recent studies have pinpointed predictive factors for IST and haploidentical hematopoietic stem cell transplantation (haplo-HSCT) efficacy in SAA, fostering the development and utilization of transplantation-based scoring systems for prognosis evaluation. This review summarizes advancements in treating pediatric SAA and discusses key elements that influence the outcomes of IST and haplo-HSCT, aiming to support clinical decision-making in diverse clinical scenarios.

Keywords: Severe aplastic anemia, pediatric, immunosuppressive therapy, allogeneic hematopoietic stem cell transplantation

Introduction

Aplastic anemia (AA), a bone marrow failure (BMF) syndrome, is characterized by pancytopenia and bone marrow hypoplasia. It is classified into congenital and acquired forms, with idiopathic acquired AA comprising 70% to 80% of cases [1]. Immune-mediated BMF, driven by hyperactive T-cell function, underlies the disease. AA's incidence displays a biphasic distribution, peaking in individuals aged 10-25 and over 60 years, without significant gender disparity. In Europe, the incidence is 2-3 per million, contrasting with East Asia's higher rates, such as China's 0.74 per 100,000 [2]. Such as in China, where the annual incidence is 0.74 per 100,000 [3]. SAA constitutes approximately 56% of pediatric AA cases [4]. Although SAA is non-malignant, its significant reduction in blood cell production can lead to frequent infections, bleeding, and anemia, necessitating long-term blood transfusions for survival.

Severe, uncontrolled infections or hemorrhage can lead to multi-organ failure, impacting vital organs such as the heart, lungs, and kidneys, and pose significant health risks. Additionally, there is a progression risk in some patients toward malignant conditions, including myelodysplastic syndromes (MDS) or acute myeloid leukemia (AML), which can complicate the therapeutic approach and elevate mortality rates.

For treating SAA, allo-HSCT stands as one of the most efficacious therapeutic approaches. The cornerstone of allo-HSCT lies in the successful engraftment of healthy hematopoietic stem cells and the establishment of immune tolerance, thereby averting rejection and immune attacks. Precise medication protocols are imperative both pre- and post-transplantation. This includes the administration of chemotherapy and immunosuppressants during the preconditioning phase to facilitate engraftment and prevent rejection. Furthermore, continuous

immunosuppression post-transplantation is crucial to prevent graft-versus-host disease (GVHD). Identical sibling donors, with perfect Human Leukocyte Antigen (HLA) matching, significantly diminish the risks of graft rejection and GVHD, allowing for reduced use of toxic preconditioning drugs and optimizing treatment outcomes. Matched sibling donor hematopoietic stem cell transplantation (MSD-HSCT) is widely considered the first-line treatment option for pediatric SAA due to its profound efficacy and low risks of long-term relapse and clonal disease transformation. However, less than 30% of patients can find an HLA-matched sibling donor, and due to the limited availability of fully matched donors, many patients are unable to receive this treatment promptly.

In their absence, the 2018 UK AA guidelines recommend immunosuppressive therapy (IST) with anti-thymocyte globulin (ATG) and cyclosporine A (CsA) as the initial preferred therapy [1, 3]. IST aids bone marrow recovery by inhibiting the hyperactive T-cell function, alleviating symptoms. However, approximately one-third of SAA patients fail to achieve complete remission through IST, experiencing recurrent infections and iron overload from long-term blood transfusion support.

Recently, the rapid advancement of haplo-HSCT for treating SAA in China has led to new therapeutic recommendations by the expert panel of the Chinese Medical Doctor Association. For newly diagnosed pediatric SAA, a family haploidentical donor is now considered a viable first-line treatment [5, 6]. Haplo-HSCT extends treatment possibilities to a broader patient base by overcoming donor availability limitations. However, it remains challenged by high transplant-related mortality rates due to GVHD and infections associated with delayed immune reconstitution.

In summary, the primary therapeutic options for SAA include MSD-HSCT, IST, and haplo-HSCT, each presenting unique benefits and challenges, along with common issues like extended treatment durations, high costs, and substantial inter-individual efficacy variations. A critical focus of clinical research is the accurate assessment of treatment responses and risks in pediatric SAA patients prior to therapy, aiming to develop tailored treatment plans to minimize failures and complications.

This study reviews recent advancements in treating pediatric SAA, focusing on the efficacy and predictive factors of IST and haplo-HSCT. By analyzing research outcomes and identifying key factors affecting treatment strategies, we aim to equip clinicians with more scientific and rational decision-making tools for managing pediatric SAA under various clinical scenarios, ultimately aiming to optimize treatment strategies and improve patients' quality of life (QoL) and long-term prognosis.

Common therapeutic approaches for pediatric acquired SAA

MSD-HSCT

MSD-HSCT, an established therapeutic strategy, involves eradicating a patient's endogenous hematopoietic and immune systems and reconstituting them with hematopoietic stem cells from a fully HLA-matched sibling donor. This approach, characterized by easy engraftment into the patient's body, minimal rejection reactions, and a low incidence of complications, offers remarkable long-term survival rates above 90% for pediatric SAA patients [7]. However, with decreasing family sizes in China, over 70% of patients lack access to a matched sibling donor, highlighting the urgent need for alternative strategies and donor sources.

IST

The typical IST regimen for SAA includes the potent immunosuppressive agents ATG and CsA. ATG, a robust immunosuppressant, inhibits T lymphocyte function, while CsA prevents their proliferation and activation. Together, these agents promptly control abnormal immune responses while preserving residual hematopoietic function. The regimen involves administering rabbit ATG at 2.5-3.5 mg·kg⁻¹·d⁻¹ or pig ALG at 20-30 mg·kg⁻¹·d⁻¹ for five consecutive days, with cycles repeated every three months. CsA is administered concurrently, orally at 3-5 mg·kg⁻¹·d⁻¹, aiming for a target concentration of 100-150 µg/L, and continued for at least three months [3]. However, due to the scarcity and dysfunctionality of residual hematopoietic stem cells, recovery is slow, with significant responses typically seen after six months and an efficacy rate of 55%-60% [4]. During this period, patients often require multiple hospital admissions for blood transfusions and anti-

infection treatments, which increases the complexity and burden of treatment. Additionally, the 10-year incidence of clonal evolution reaches 5%-6%, with a relapse rate of 15%, challenging long-term prognosis [8].

In recent years, the application of thrombopoietin (TPO) receptor agonists in AA treatment, particularly eltrombopag (EPAG), has attracted considerable attention. Multiple clinical trials have demonstrated its capacity to enhance platelet production and improve hematopoietic function. A phase II non-randomized trial by the National Institutes of Health (NIH) revealed that, after 12 weeks of EPAG treatment, 44% of patients with IST-resistant AA achieved a hematological response in at least one cell lineage, with some patients achieving transfusion independence and a gradual normalization of trilineage hematopoiesis [9]. This finding opens new possibilities for SAA treatment. Further research, including a multicenter, prospective, phase III randomized clinical trial organized by the European Society for Blood and Marrow Transplantation (EBMT), have confirmed the efficacy and safety of EPAG in combination with IST for treating SAA or very severe aplastic anemia (VSAA). Compared to standard IST, adding EPAG improved the complete remission rates, the response rates at 3 and 6 months, and the median time to first response, without significantly increasing the incidence of severe adverse effects [10]. Another prospective study indicated better outcomes with EPAG+IST compared to standard IST in pediatric SAA patients aged 2-18 years, excluding those with VSAA [11]. Collectively, these studies suggest that EPAG combined with IST can increase the response rate to 80% and complete remission rate to 50% in SAA, maintaining efficacy after discontinuing EPAG [8, 10, 12, 13]. Given these significant therapeutic benefits, in 2018, the FDA approved the combination of EPAG and IST as a first-line treatment for SAA patients, though vigilance for post-IST relapse and clonal evolution remains critical [12]. Future clinical practice must continue to explore and optimize treatment protocols to enhance therapeutic outcomes and the QoL for patients.

Alternative donor transplantation

For patients with SAA lacking a fully matched sibling donor and unresponsive to IST or experi-

encing relapse, current guidelines recommend alternative donor transplantation, including from HLA-matched unrelated donors, haplo-identical donors, or umbilical cord blood stem cells [1, 3].

Mismatched unrelated donor hematopoietic stem cell transplantation (MUD-HSCT): MUD-HSCT involves selecting an unrelated donor who is a perfect HLA match from public bone marrow banks or stem cell donation centers, aiming to restore the recipient's hematopoietic and immune systems. Over the past two decades, MUD-HSCT has achieved significant progress in treating SAA, with studies demonstrating similar transplant outcomes between high-HLA-matched unrelated donors and matched sibling donors (MSD) [14]. For instance, a controlled study by Dufour et al. for pediatric SAA patients, divided into MUD-HSCT (n=29), MSD-HSCT (n=87), and IST groups (n=58), showed comparable 2-year overall survival (OS) and event-free survival (EFS) rates between MUD-HSCT and MSD-HSCT, both significantly superior to IST. Additionally, these rates were more favorable than those for salvage MUD-HSCT after IST failure [15]. Owing to its proven efficacy, advanced technology, and high safety profile, the 2015 British guidelines for AA now recommend MUD-HSCT as a first-line therapy for SAA [16].

Despite the advantages of MUD-HSCT, challenges include a low success rate of matching in bone marrow banks, long wait times, and risks of GVHD, graft rejection, treatment-related mortality, and infertility. Moreover, for those experiencing poor engraftment or transplant failure, securing donor cells for subsequent cellular therapies is often not feasible.

Haplo-HSCT: For patients without MSD or MUD, haplo-HSCT presents significant advantages in donor availability, ethical considerations, and high compliance, enabling flexible secondary collections and providing additional treatment options. However, due to the critical role of HLA in the human immune system, haplo-identical transplants can induce severe rejection reactions, necessitating innovative preconditioning and immune modulation strategies beyond those used in fully matched transplants. Prominent among these are the "Beijing Protocol" and the "Baltimore Protocol".

The “Beijing Protocol”, a preconditioning regimen incorporating granulocyte colony-stimulating factor (G-CSF), busulfan, and cyclophosphamide, was developed by Professor Huang Xiaojun’s team at Peking University in 2001. The key to this protocol is the use of G-CSF, which reduces T-cell reactivity and fosters immune tolerance, proving crucial in conjunction with ATG and graft components (peripheral blood and bone marrow) for transplant success. After an Italian team validated it in 2011, the “Beijing Protocol” received widespread international recognition. Inspired by this, American researchers proposed the “Baltimore Protocol” in 2005, focusing on the use of post-transplant cyclophosphamide (PTCy) to manage T-cells cost-effectively, thereby achieving effective GVHD prevention and treatment in haploidentical transplantation for refractory AA. A meta-analysis by ElGohary et al. indicates that both protocols deliver comparable results in terms of OS and FFS, though they differ in primary graft failure (PGF) and GVHD rates [17], prompting ongoing efforts to integrate and optimize these methodologies across multiple centers.

In 2017, Peking University People’s Hospital achieved encouraging outcomes by adopting the “Beijing Protocol” to perform haplo-HSCT on 52 pediatric patients diagnosed with SAA. The treatment resulted in a 3-year OS rate of (84.5±5.0)% and a 3-year FFS rate of (82.7±5.2)% [18]. Additionally, the incidences of grade II-IV and grade III-IV acute GVHD were recorded as (39.2±0.5)% and (13.7±0.2)%, respectively, with a chronic GVHD rate of (34.2±0.5)% [18]. In 2022, a multi-institutional retrospective study conducted in China explored the long-term outcomes of using haplo-HSCT and MSD-HSCT as primary therapies for SAA, revealing indistinguishable 9-year OS and FFS rates [19]. A 2023 meta-analysis examining the effectiveness and safety of allogeneic HSCT for SAA demonstrated similar 1-, 2-, and 3-year OS, FFS, and engraftment rates between Haplo-HSCT and MSD-HSCT. However, Haplo-HSCT was associated with a heightened occurrence of grade II-IV acute GVHD, chronic GVHD, and cytomegalovirus infections. Comparative assessments showed no discrepancies in 3- and 5-year OS, 3-year FFS, platelet engraftment, graft failure (GF), and acute GVHD rates between Haplo-HSCT and MUD-HSCT, yet Haplo-HSCT exhibit-

ed a reduced rate of chronic GVHD. Relative to IST, Haplo-HSCT offered better 3-year FFS and more rapid response rates [20, 21]. Additionally, a multicenter prospective study assessing the longitudinal QoL of SAA patients undergoing haplo-HSCT pre- and post-transplant confirmed satisfactory post-transplant QoL [22].

In summary, multiple clinical studies affirm haplo-HSCT as an effective and safe therapeutic option for SAA patients lacking fully matched donors, representing a viable alternative treatment [18, 19, 23, 24]. Future research should focus on optimizing preconditioning regimens, enhancing engraftment success, reducing GVHD incidence, and improving the rate of immune reconstitution, aiming for more precise and personalized treatment strategies.

Dual hematopoietic stem cell transplantation based on haplo-HSCT: Researchers have proposed a dual hematopoietic stem cell transplantation protocol, also known as third-party hematopoietic stem cell co-infusion, for the prevention of GVHD following haplo-HSCT. Umbilical cord blood (UCB) harbors a high concentration of hematopoietic stem/progenitor cells and various low-immunogenic immunomodulatory cells, which are tolerant to HLA mismatch and can decrease the incidence of GVHD [25]. Lei et al. reported on a multicenter retrospective study comparing SAA patients treated with haplo-HSCT combined with a single umbilical cord blood infusion to those receiving haplo-HSCT alone. The dual transplantation approach resulted in a higher five-year OS rate (84.0% vs 72.6%), higher GVHD-free and failure-free survival rate (72.4% vs 65.4%), and lower transplant-related mortality (16.4% vs 27.4%) [26]. Yao et al. found that children with SAA undergoing dual transplantation of haplo-HSCT and UCB experienced lower incidences of grade II-IV acute GVHD (16.1% vs 46.9%) and moderate to severe chronic GVHD (25.6% vs 51.3%) [27]. These findings suggest that UCB co-infusion may enhance survival rates in SAA patients undergoing haplo-HSCT, mitigate the incidence and severity of GVHD, and improve overall outcomes. The prospects for dual transplantation are promising, however, verification through large-scale randomized trials is needed, alongside further research into graft processing, stem cell engraftment, and interactions.

Autologous umbilical cord blood stem cell transplantation (ACBT): Since 2004, there have been reports of successful ACBT in treating childhood SAA, indicating that ACBT does not trigger rejection reactions. This method requires fewer cells compared to traditional HSCT, yet achieves stable hematopoietic reconstitution [28]. The therapy is safe, with no risk of GVHD associated with allo-HSCT, and patients typically experience a high QoL post-reconstruction. For children with AA who have stored their autologous cord blood, and where congenital BMF syndromes are ruled out, ACBT offers a safe and effective definitive treatment.

Choosing between IST and allo-HSCT

MSD-HSCT is considered the preferred treatment for SAA, known for its mature techniques, comprehensive efficacy, minimal complications, and high safety. However, the scarcity of donor sources is a challenge that also extends to MUD-HSCT, which additionally suffers from lengthy durations. For SAA patients lacking MSD and MUD, both IST and haplo-HSCT serve as conventional treatment options, though the choice between them requires consideration of multiple factors.

The British Committee for Standards in Haematology (BCSH) [16] and the Chinese Guidelines for Diagnosis and Treatment of AA (2022 Edition) [3] identify favorable prognostic factors for IST: (1) Including younger age with better hematopoietic reserve; (2) Less severe disease, non-very severe type; (3) Absolute reticulocyte count $>25 \times 10^9/L$ and an absolute lymphocyte count $>1.0 \times 10^9/L$, indicating robust residual hematopoietic function and a low infection risk; (4) Chromosomal abnormalities such as +8 or del(13q); (5) Presence of PIGA gene mutations or small paroxysmal nocturnal hemoglobinuria (PNH) clones, suggesting immune abnormalities; (6) Long telomeres; (7) Mutations in BCOR and BCORL1 genes. Furthermore, the absence of class I HLA alleles has been identified as an independent predictor of clonal evolution from acquired AA to MDS/AML [29].

Clonal hematopoiesis is prevalent in AA, with approximately one-third of patients exhibiting somatic mutations in myeloid malignancy candidate genes. Specific mutations, such as in DNMT3A, ASXL1, TP53, RUNX1, and CSMD1,

generally predict poor responses to IST, shorter survival, and higher risk of progression to MDS/AML [30]. SAA patients with large PNH clones (clone size $>50\%$) are prone to hemolytic episodes, and IST is ineffective [16]. Patients with shortened telomeres experience high rates of recurrence, clonal evolution, and low overall survival post-IST [31]. Patients with abnormalities in chromosome 7 often face poor prognosis and an increased risk of progression to MDS/AML [32]. Li Y et al. found that VSAA patients with an $ANC \leq 0.05 \times 10^9/L$ had high early mortality rates, poor hematologic response, and low OS and EFS rates following first-line IST, likely due to extremely severe disease and uncontrollable infections [33]. Two multicenter studies evaluating the efficacy of allo-HSCT in patients with active infections and SAA patients without active infections demonstrated no statistical difference in OS and FFS between the groups. This finding supports the use of salvage allo-HSCT as a viable treatment option for refractory SAA patients with active infections who respond to existing antimicrobial therapy [34, 35]. In summary, poor prognostic factors for IST include adverse genetic mutations or chromosomal abnormalities, shortened telomeres, extremely severe disease, and concurrent uncontrollable active infections.

For achieving long-term disease-free survival and a high quality of life, SAA children with multiple favorable IST prognostic factors should primarily receive IST combined with EPAG, whereas those with multiple adverse factors should consider allo-HSCT when feasible [3].

Multiple factors impact the HSCT prognosis, such as the severity of the recipient's condition, the donor-recipient HLA match, the recipient's tolerance, and the source of the donor. SAA patients often have a history of multiple blood transfusions, leading to the production of donor-specific antibodies (DSA) and elevated serum ferritin (SF). A prospective study investigating the correlation between DSA and PGF revealed a significantly higher incidence of PGF in DSA-positive patients ($MFI \geq 2000$) compared to DSA-negative patients ($MFI < 2000$) (27.3% vs 1.9%, $P=0.003$) [36]. Multivariate analysis indicates that an $MFI > 5000$ in DSA is an independent risk factor for engraftment failure ($P=0.006$) [4]. Research has shown that iron overload ($SF > 2000$ ng/mL) can lead to the

accumulation of reactive oxygen species (ROS) in cells, which inhibits the differentiation of the bone marrow microenvironment and human CD34+ cells, thereby suppressing hematopoiesis and serving as a risk factor for PGF [37]. Moreover, SAA patients with persistent, uncontrollable active infections or poor physical condition (ECOG \geq 3) prior to transplantation have lower success rates [34]. The intensity of pre-conditioning before transplantation for SAA patients is closely associated with the complications of Haplo-HSCT, such as GVHD and PGF.

In summary, the outcome of allo-HSCT is influenced by multiple variables, including the patient, donor, and the transplant center's experience level. Due to the high incidence of complications such as poor engraftment, GVHD, infection, and organ damage, the treatment-related mortality (TRM) of haplo-HSCT remains high, making pre-transplant risk assessment crucial.

Commonly used risk prediction models for HSCT patients prior to transplantation include the Hematopoietic Cell Transplantation-specific Comorbidity Index (HCT-CI), Karnofsky Performance Scale, EBMT Score, and Disease Risk Index-Comorbidity Index (DRCI). However, these models are used primarily for the assessment of hematologic malignancies and are unsuitable for SAA patients undergoing haplo-HSCT. In 2021, the Huang Xiaojun team developed a TRM prediction model for SAA patients receiving haplo-HSCT, which incorporates disease duration (representing disease-related factors), ECOG performance status score, and HCT-CI score to predict post-transplant TRM [38]. Time to transplantation after AA diagnosis, number of transfusions of red blood cells before transplant, ECOG performance status before HSCT, HCT-CI score before HSCT, and HLA difference are some of the potential predictors of three-year TRM that have been established using univariate analysis. Factors such as maternal grafts and ABO blood type incompatibility were found to be nonsignificant. Owing to incomplete data on pre-transplant red blood cell transfusion volume, this variable was excluded from the multivariate analysis. Ultimately, three independent predictors were found to be significantly associated with TRM, namely a prolonged interval from AA diagnosis to transplantation (\geq 12 months), reduced physical

performance (ECOG score 2-3), and increased comorbidity burden (HCT-CI score \geq 1). These factors contributed to the development of a TRM prediction model for haplo-HSCT, which could guide adjustments in preconditioning protocols for high-risk groups. However, due to the retrospective nature and single-center scope of this study, further validation and optimization with multi-center data are required.

Conclusion

Advancements in treatment technologies have diversified therapeutic options for pediatric acquired SAA, yielding significant improvements in outcomes. However, the main strategies, IST and haplo-HSCT, face limitations in efficacy. IST suffers from a relatively low overall survival rate, with substantial risks of relapse and clonal evolution. Meanwhile, haplo-HSCT, the predominant form of allo-HSCT in clinical practice, requires enhancements to boost engraftment success rates and reduce GVHD incidence [39]. The retrospective nature of most studies, combined with selection and recall biases, limited follow-up, and variable treatment standards across centers, complicates the determination of the more suitable first-line therapy between IST and allo-HSCT for pediatric SAA. This underscores the urgent need for more randomized and prospective studies to assess the efficacy and side effects of these modalities, and to better understand predictive factors for IST outcomes and HSCT models. A thorough evaluation of treatment options, accompanied by extended follow-up of treatment-related complications, is vital for improving success rates, especially in SAA patients without fully matched donors.

Furthermore, breakthroughs in basic research have opened new possibilities for SAA treatment. Wang H et al.'s research, which involved knocking out the *Ythdf2* gene in mouse models or human umbilical cord blood (hUCB) cells, significantly enhanced the expression of transcription factors and increased the quantity of hematopoietic stem cells (HSCs) [40] without altering derived cell types or causing hematological malignancies. If successfully applied clinically, this gene knockout technique could markedly improve the limitations of current umbilical cord blood stem cell transplantation and potentially extend to other HSC-based ther-

apies. Envisioning the early-stage extraction and ex vivo expansion of autologous HSCs during the initial stages of AA, this strategy reserves valuable resources for salvage therapy following the failure of IST treatment, presenting immense clinical application prospects. We eagerly await the development of more innovative SAA treatment strategies and their prompt benefits for patients.

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Disclosure of conflict of interest

None.

Address correspondence to: Drs. Chun Chen, Yu-Cai Cheng and Hong-Man Xue, Department of Pediatrics, The Seventh Affiliated Hospital of Sun Yat-Sen University, No. 628 Zhenyuan Road, Guangming District, Shenzhen 518107, Guangdong, PR China. Tel: +86-0755-81206750; E-mail: chenchun@mail.sysu.edu.cn (CC); Tel: +86-13480201651; E-mail: chengyucai@sysush.com (YCC); Tel: +86-18938926545; E-mail: xuehongman@sysush.com (HMX)

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