### Original Article Inspiratory muscle training attenuates irradiation-induced diaphragm dysfunction

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Abstract: Because radiotherapy (RT) can induce diaphragm dysfunction, this study investigated the protective effect of inspiratory muscle training (IMT) on RT-induced diaphragm damage in patients with esophageal cancer during concurrent chemoradiotherapy (CCRT) in a preclinical setting, and an animal model was designed to confirm and explore the underlying mechanism. Six subjects who underwent CCRT were randomly enrolled in the control or concurrent-IMT group (n=3 per group). The training intensity was set to 30% maximal effort. The diaphragmatic function and functional exercise capacity were assessed weekly during the course of CCRT. Furthermore, Sprague-Dawley (SD) rats were randomly assigned to receive IMT using the tracheal banding method over a 1-week period (n=6) or the sham group (n=6). After training was completed, 5-Gy RT was applied to the diaphragm. All the rats were sacrificed 24 h following RT, and their diaphragms were removed and examined for contractile function, antioxidant capacity, and oxidative injury. In patients receiving IMT, the diaphragm activation efficiency and fatigability and the functional exercise capacity were improved during the CCRT course. The animals belonging to the training group demonstrated significantly higher peak twitch (P<0.01) and tetanus tension (P<0.001), less fatigue (P=0.04). lower protein carbonyl levels (P<0.01) and higher Cu/Zn-SOD and Mn-SOD mRNA expression levels (both P<0.05) compared with those belonging to the control group. Preclinical human and animal models show that the IMTconditioned diaphragm exhibits better resistance to off-target irradiation damage, but studies with a larger patient sample size are warranted to confirm the applicability of this concept in clinical practice.

Keywords: Inspiratory muscle training (IMT), diaphragm, antioxidant, irradiation, contractile function

#### Introduction

Radiation therapy (RT) is a common treatment for patients with cancer. During this treatment, normal tissues surrounding the targeted treatment area are exposed to low but significant doses of radiation, which increases the risk for the development of normal tissue toxicity [1]. Irradiation not only damages deoxyribonucleic acid (DNA) directly but also increases oxidative stress, which can result in oxidative damage to proteins, lipids, and DNA [2]. Skeletal muscle is generally considered resistant to radiation due to its postmitotic state [3]. However, Caiozzo and colleagues showed that a radiation dose of 5 Gy can cause significant damage to myogenic stem cells in skeletal muscle [4]. Recently, Hsieh et al. showed that off-target low-dose irradiation can induce acute contractile dys-function of the diaphragm in a rodent model [5].

The diaphragm is the primary muscle of the inspiratory pump and accounts for 70% of alveolar ventilation [6], and impaired diaphragm function can thus lead to severe ventilatory compromise. Previous studies have shown that the preoperative respiratory muscle strength among patients with cancer is an important indicator for predicting the prevalence of postoperative pulmonary complications [7]. Inspiratory muscle training can increase the pressuregenerating capacity and improve the fatigueresistance capacity of the inspiratory pump [8]. Tracheal banding has been used in ani-



Figure 1. Flowchart of the patient selection process in this study. Abbreviations: CCRT, concurrent chemoradiation therapy; IMT, inspiratory muscle training; MIP, maximal inspiratory pressure.

mal models to evaluate the effect of resistive training on diaphragm function, and the results have shown that this training significantly increases the percentage of type I fibers [9]. Additionally, tracheal banding training could resemble the IMT training used for patients in the preoperative phase [10, 11].

Anticancer therapy is often associated with unique and varying degrees of adverse effects on normal tissue function. Previous studies have shown that the forced expiratory volume in 1 s (FEV,) and the diffusion capacity of the lung for carbon monoxide (Dlco) decreased significantly after preoperative CCRT in patients with esophageal cancer [12, 13]. Two previous studies have shown that IMT at the preoperative phase could increase the preoperative respiratory muscle strength of patients undergoing esophageal resection, but the effect on reducing postoperative pulmonary complications remains inconclusive [14, 15]. The adverse effect of anticancer therapy on respiratory function might occur simultaneously during therapy, and thus, to examine the protective effect of IMT, the timing of the training is of critical importance. Both previous studies have started IMT at the recovering phase after CCRT, and whether starting IMT simultaneously with CCRT would better preserve or improve diaphragm function and thereby prevent the imbalance between ventilator demand and ventilatory capacity, which would result in a reduction in postoperative pulmonary complications, remained to be determined.

The purpose of the present study was to examine whether IMT concurrent with CCRT for patients with esophageal cancer could reduce RT-induced diaphragm contractile dysfunction. Additionally, the effect of IMT training on the contractile function of the diaphragm after RT challenge was further tested in an animal model.

### Materials and methods

### Patient characteristics

Six patients with esophageal cancer who underwent CCRT at a single medical center from March 2015 to May 2016 were enrolled. None of the patients had a history of disease recurrence or had previously received radiotherapy with or without concurrent chemotherapy. All the patients provided written informed consent before participating in the study (trial registration: NCT03099629). A flow diagram summarizing the processes used in the present study is shown in **Figure 1**.

### Inspiratory muscle function

Maximum static inspiratory (MIP) and pulmonary function tests were performed to assess the global inspiratory function according to the recommendations from the American Thoracic Society [16-18]. The MIP was measured using a manometer (Inspiratory Force Meter, Model



Figure 2. The surface EMG signal of the diaphragm was detected using a pair of Ag/AgCl electrodes (Kendall<sup>™</sup> 100 Foam Electrodes, Conductive Adhesive Hydrogel 31118733, Mansfield, MA, USA), which were placed at an inter-electrode distance of 2 cm in the 7<sup>th</sup> or 8<sup>th</sup> intercostal spaces on the right side of the body between the mid-clavicular line and the anterior axillary line.

4103; Boehringer, Norristown, PA, USA). Spirometry was performed using a MicroLab® spirometer (CareFusion, Basingstoke, UK), and the  $FEV_1$  was determined. The normal predicted values were derived using the equations developed by Kundson [19].

### Functional exercise capacity

The functional exercise capacity was assessed through a 6-min walk test (6MWT), which was conducted using a standardized protocol [16]. The distance covered (6MWD) was expressed in absolute and percentage predicted values. The percent predicted 6MWD was calculated based on the reference equations developed by Troosters et al. [17].

### Surface electromyography (EMG) recordings for the diaphragm

The surface EMG signal of the diaphragm was detected using a pair of Ag/AgCl electrodes (Kendall<sup>™</sup> 100 Foam Electrodes, Conductive Adhesive Hydrogel 31118733, Mansfield, MA, USA), which were placed at an inter-electrode

distance of 2 cm in the 7<sup>th</sup> or 8<sup>th</sup> intercostal spaces on the right side of the body between the mid-clavicular line and the anterior axillary line (**Figure 2**) [18]. The EMG signals from the modular amplifiers were recorded at a sampling frequency of 1000 Hz by a data acquisition system (MP150, Biopac Systems), filtered through a bandpass from 10 to 500 Hz, displayed and stored in a computer for future analysis. MATLAB (v. R2010a, Natick, MA, USA) software was used for all analyses of the EMG amplitude and frequency characteristics.

The patients were instructed to breathe from their residual volume to their total lung capacity in order to assess their maximal voluntary muscle activation. The data were analyzed offline. The EMG signal was analyzed in the time domain as the root mean square (RMS) amplitude with a time constant of 25 ms. Computeraided analysis was performed over a 1.5-s window initiated at the point of peak pressure during the maximal inspiratory effort (EMG<sub>max</sub>). The diaphragm activation (expressed as %EMG<sub>max</sub>) was calculated using the mean RMS values from 10 IMT breaths and normalized to the EMG<sub>MIP</sub> [18]. During window periods within each diaphragm EMG burst while performing IMT, the power spectral density (PSD) of the EMG signal was calculated using fast Fourier transform, and the median frequency (f<sub>m</sub>) was computed [20].

### Animals and sample preparation

A total of 12 Sprague-Dawley (SD) rats weighing between 250 and 350 g were utilized in this study (Bio-LASCO Taiwan Co., Taiwan). The animals were maintained in an environmentally controlled room (25±1°C; 12-h light/dark cycle) at the Laboratory Animal Center at Mackay Memorial Hospital (Taipei, Taiwan) and were fed standard rat chow and water ad libitum. The study was approved by the Institutional Animal Care and Use Committee at Mackay Memorial Hospital, Taiwan (MMH-A-S-102-02), and was performed in accordance with the procedures outlined in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health.

### Experimental protocol

The rats were randomly assigned to the tracheal banding training group or the sham training group. All the rats were first administered an injection of atropine (0.1 mg/kg) to reduce bronchospasm due to tracheal manipulation and were then anesthetized with Zoletil 50 (20 mg/kg BW) and Rompun (5 mg/kg BW). Tracheal banding was then performed using sterile techniques as previously described [9]. A band (a 3-mm-long, 2.5-mm-ID piece of plastic tubing) was secured with a 4-0 silk suture around the trachea, and the incision wound was closed. The wound in the rats belonging to the control group was closed immediately after the midline ventral cervical incision was made and the trachea was exposed.

After surgical management, the rats received a single radiation dose of 5 Gy to the hemi-diaphragm [5]. The rats in both groups were sacrificed 24 h after irradiation (or sham irradiation), and the diaphragms were removed en bloc with their rib cage origin intact as previously described [5]. Diaphragm strips measuring approximately 2 mm in width were dissected from the anterolateral portion of the diaphragm parallel to the long axis of the muscle fibers, and the attachments to the central tendon and rib cage were left intact. The remaining diaphragms were fixed in formalin for subsequent immunohistochemical analysis or frozen in liquid nitrogen and stored at -80°C for subsequent biochemical analysis.

### Irradiation field

The rats were anesthetized and immobilized on a board before undergoing computed tomography. The field was applied according to a previous study design [5]. Briefly, considering the respiratory motion, the craniocaudal margin of irradiation was set to 1.5 cm above and below the dome of the diaphragm. The width of the irradiation field was opened to the right and left thoracic cage with a 5-mm bilateral expansion. Radiation was delivered using a conventional radiotherapy technique to the anterior-posterior (AP) and posterior-anterior (PA) fields, and 6-MV X-ray beams were delivered at 600 MU/ min for a total dose of 5 Gy using a Varian 600CD linear accelerator (Varian Medical Systems, Palo Alto, CA, USA).

### Assessment of diaphragm strip contractility

The contractile function of the diaphragm was assessed as previously reported [21]. Briefly,

intact diaphragm strips were dissected from the left costal diaphragm and mounted vertically in water-jacketed organ baths (37°C, bubble 95% O<sub>2</sub>/5% CO<sub>2</sub>) containing Tyrode solution (137 mM NaCl, 4 mM KCl, 0.5 mM MgCl, 0.5 mM NaH<sub>2</sub>PO<sub>4</sub>, 11.9 mM NaHCO<sub>3</sub>, 5.6 mM glucose, and 2.7 mM CaCl<sub>2</sub>). The rib end of the strips was attached to the bottom of the bath using silk ties, and the central tendon end was tied to a force transducer (XDFT200, Diagnostic & Research Instruments Co., Taiwan). Platinum field electrodes were placed around the strips and connected to a Grass S88 stimulator (Grass Technologies, Warwick, RI, USA). All the data were recorded and analyzed using an XctionView II Data Acquisition System recorder (Diagnostic & Research Instruments Co., Taiwan).

The twitch tension (Pt) was obtained using 1-ms supramaximal square wave pulses, and the tetanic tension (Po) was obtained by applying a train of supramaximal stimuli for 400 ms at optimal length. A force-frequency curve was constructed by stimulating the strips with trains of supramaximal stimuli at 1, 15, 30, 50, 80, 100 and 120 Hz with a 1-min rest period between adjacent stimulus trains. The fatigue characteristics were subsequently measured by giving the muscle a series of 300-ms tetanic stimulations every 3 s at a frequency that was adjusted to produce 50% of Po for 10 min.

### Measurement of protein carbonyls

Several methods have been developed to evaluate the expression of protein carbonyl groups, and these include commercial enzyme-linked immunoassay (ELISA) and mass spectrophotometric and western blot methods [22]. Augustyniak compared these methods and found that western blotting is less quantitative than the other methods, the LC-MS/MS method does not provide quantitative information but does identify carbonylated proteins, and ELISA has a greater degree of robustness in the determination of protein carbonyl groups [22]. As mentioned above, the concentration of protein carbonyl groups in the diaphragm was assessed using ELISA and a protein carbonyl content assay kit (Abcam<sup>®</sup>, Cambridge, UK) according the manufacturer's instructions. The absorbance was determined at 375 nm, and the results are expressed as nmol carbonyl per mg protein.

### Primer design for quantitative real-time reverse transcription-polymerase chain reaction (RT-PCR) and sequence analysis

Oligonucleotide primers specific for the hamster (Mesocricetus auratus) Cu/Zn-SOD, Mn-SOD, and glyceraldehyde-3-phosphate dehydrogenase (GAPDH, used as an endogenous control) mRNA sequences were designed based on sequences in GenBank [23]. The primer sequences are as follows: Cu/Zn-SOD, forward: (5'-AGGACCTCATTTTAATCCTCACTCT-3'), reverse (5'-TTGTACTTTCTTCATTTCCACCTTT-3'): Mn-SOD, forward (5'-CCGAGGAGAAGTACCACGAG-3'), reverse (5'-GCTTGATAGCCTCCAGCAAC-3'); and GAPDH, forward (5'-AGAAGACTGTGGATG-GCCCC-3'), reverse (5'-TGACCTTGCCCACAGCC-TT-3'). The PCR products were confirmed after cloning into in-house constructed T-vectors and sequencing using the respective Cy5labeled gene-specific primers (Applied Biosystems, Foster City, CA, USA) with the MegaBA-CE™ 1000 DNA Analysis System (Pharmacia, Piscataway, NJ, USA). The DNA sequences were assembled and analyzed using BioEdit software (http://www.mbio.ncsu.edu/BioEdit). The BLAST network service was used to search the nucleotide and protein database maintained by the National Center for Biotechnology Information (http://www.ncbi.nlm.nih.gov./BLASTn or BLASTX).

## Preparation of RNA from the diaphragms of rats with and without tracheal banding

Total RNA was isolated from the diaphragms of SD rats with and without tracheal banding training using a commercial kit in accordance with the manufacturer's recommended protocol [23]. Approximately 150 mg of diaphragm was rapidly dissected and dipped into TRIzol (Invitrogen, Carlsbad, CA, USA). Total RNA was treated with 5 units of DNase (Promega, Madison, WI, USA) and 119 units of ribonuclease inhibitor (Promega) in a buffer containing 400 mM Tris-HCl, 100 mM NaCl, 60 mM MgCl<sub>2</sub>, and 20 mM dithiothreitol at pH 7.5. Total RNA was extracted with phenol/chloroform, precipitated with ethanol, and dissolved in RNase-free water. Total RNA (3 µg) was reverse-transcribed into cDNA using Oligo (dT) 15 primers (Promega) following the suggested protocol for transcription by Moloney murine leukemia virus reverse transcriptase (Promega). The resulting cDNA was used for real-time RT-PCR analysis.

### SYBR green real-time RT-PCR analysis

Real-time RT-PCR for Cu/Zn-SOD, Mn-SOD, and endogenous control GAPDH mRNA expression was performed using a SYBR green assay. The PCR cycling conditions were as follows: 95°C for 10 min followed by 40 cycles of 95°C for 1 min, 55°C for 1 min, and 72°C for 1 min and 72°C for 10 min. During each cycle, the accumulated PCR products were detected by monitoring the increase in fluorescence of the reporter dye obtained from the binding of dsDNA to SYBR green. All the data were analyzed using Rotor Gene 5 software (Corbett Research, Sydney, New South Wales, Australia). Validation experiments were performed in triplicate. The relative expression levels of Cu/Zn-SOD, Mn-SOD, and GAPDH mRNA were calculated using the comparative cycle threshold method, as described previously [24]. The values for Cu/ Zn-SOD and Mn-SOD were normalized to the levels of the GAPDH gene.

### Data and statistical analyses

Statistical analyses were performed using SPSS version 17.0 (IBM Corporation, Armonk, NY, USA). The results are presented as the means  $\pm$  standard errors (SEs) of the means. Differences between continuous variables (i.e., specific twitch and tetanic tension, TPT,  $\frac{1}{2}$ RT, fatigue index and level of protein carbonyl groups) were tested using Student's *t* test. The generalized estimating equation (GEE) regression model was used with an exchangeable correlation matrix to consider the repeated measurements of tension during these tests. A *p* value of <0.05 was considered to indicate statistical significance.

### Results

### Concurrent IMT for patients with esophageal cancer undergoing CCRT preserves diaphragm function

The clinical characteristics of the patient population are shown in **Table 1**. The mean ages of the patients in the control and training groups were 63.3 and 64.8 years, respectively. The patients in both groups were all male, and their histological type was squamous cell carcinoma. At the conclusion of CCRT, the MIP of the patients in the training group had increased 32% from baseline, whereas almost no change

	Control	Training
	n=3	n=3
Gender		
Male	3 (100)	3 (100)
Female	0 (0)	0 (0)
Age (mean ± SD)	57.7±9.5	48.7±5.7
Body weight (mean ± SD)	63.3±11.2	64.8±7.4
Body mass index (kg/m²)	21.9±4.3	23.2±2.9
Tumor histology (%)		
Adenocarcinoma	0 (0)	0 (0)
Squamous cell carcinoma	3 (100.0)	3 (100.0)
Clinical stage (%)		
IB	0 (0)	0 (0)
IIB	1 (33.3)	0 (0)
IIIA	0 (0)	0 (0)
IIIB	1 (33.3)	1 (33.3)
IIIC	0 (0)	2 (66.7)
IV	1 (33.3)	0 (0)
Smoking history		
Current or former smoker	3 (100.0)	3 (100.0)
Never smoked	0 (0)	0 (0)

 Table 1. Baseline characteristics of the patients

was observed in the control group (Figure 3A). The FEV, decreased in both groups after 1 week of CCRT and slowly recovered during the rest of the CCRT course in the training group but not the control group (Figure 3B). At the end of the CCRT course, the diaphragm activation (%EMG<sub>max</sub>) of the control patients increased from 61% at baseline to 71%, whereas the training group exhibited a 19% decrease (from 73% down to 54%) (Figure 4A). The f\_ decreased in both groups after 1 week of CCRT and slowly recovered during the rest of the CCRT course in the training group but continued to decrease in the control group (Figure 4B). The distance covered in the 6MWT decreased from 405 m at baseline to 363 m (-42 m) and increased from 385 m to 457 m (+72 m) at the conclusion of CCRT in the control and training groups, respectively (Table 2). The above-mentioned data were tested using the GEE regression model.

# Resistive breathing training overcomes the damage to the diaphragm caused by low-dose irradiation

The animals in the training group weighed an average of  $296.7\pm3.3$  g and  $318.3\pm8.3$  g at baseline and after 1 week of tracheal banding training, respectively, and the animals in the



**Figure 3.** Maximum static inspiratory (MIP) and pulmonary function tests were performed to assess the global inspiratory function in accordance to the recommendations provided by the American Thoracic Society. The MIP was measured using a manometer (Inspiratory Force Meter, Model 4103; Boehringer, Norristown, PA, USA). Spirometry was performed using a MicroLab® spirometer (CareFusion, Basingstoke, UK), and the forced expiratory volume in 1 s (FEV<sub>1</sub>) was determined. Comparison of the (A) MIP and (B) FEV<sub>1</sub> at baseline and weekly over the course of concurrent chemoradiation therapy (CCRT) in the control (- $\bullet$ -) and training groups (- $\blacksquare$ -).

control group weighed an average of  $295\pm5$  g and  $332.5\pm6.3$  g at baseline and after one week of no training, respectively. The groups showed o significant differences in body weight at either time point, as demonstrated using Student's *t* test.

The effects of low-dose radiation on diaphragm contractile properties are shown in **Figure 5A**. After 5-Gy single-dose irradiation, the peak twitch (training:  $8.7\pm0.8$  N/cm<sup>2</sup> vs. control:  $6.7\pm0.7$ , P<0.01) and tetanus tension (training:  $38.5\pm3.7$  N/cm<sup>2</sup> vs. control:  $24.7\pm3.4$ , P< 0.001) were significantly higher in the training group than in the control group, as demonstrated using Student's *t* test. The Pt/Po ratios of the two groups were similar (P=0.3).

Compared with the control group, the TPT (training:  $26.2\pm0.9$  N/cm<sup>2</sup> vs. control:  $31.4\pm0.8$ )



Figure 4. Patients were instructed to breathe from their residual volume to their total lung capacity for assessment of their maximal voluntary muscle activation. The surface EMG signal of the diaphragm was detected using a pair of Ag/AgCl electrodes (Kendall™ 100 Foam Electrodes, Conductive Adhesive Hydrogel 31118733, Mansfield, MA, USA). Comparison of (A) diaphragm activation based on the %EMG<sub>max</sub> and (B) f<sub>m</sub> during inspiratory muscle training (IMT) breaths at baseline and weekly over the course of concurrent chemoradiation therapy (CCRT) in the control (- $\bullet$ -) and training groups (- $\blacksquare$ -).

and  $\frac{1}{2}$ RT (training: 18.8±0.6 N/cm<sup>2</sup> vs. control: 21.8±0.5, P<0.01) were significantly shorter in the training group (both P<0.001) after 5-Gy irradiation (**Figure 5B**). The mean Pt/TPT ratio was significantly lower in the control group than in the training group (P<0.01). These data were tested using Student's *t* test.

The obtained force-frequency curves are presented in **Figure 6A**. Compared with the control group, the force-frequency curve for the training group was significantly upwardly shifted, i.e., there was more diaphragmatic force with increased frequency in the training group than in the control group after irradiation challenge. At stimulation frequencies of 30 Hz and above (all P<0.05, Student's *t* test), specific tensions in the training group were significantly higher than those in the control group (**Figure 6A**).

The relative force (force as a percentage of its initial value)-over-time curves for repetitive

fatiguing electrical stimulation trials performed using the diaphragm strips from both groups are displayed in **Figure 6B**. The relative forceover-time curve of the training group showed a slower rate of decrease in the force over time, which indicated that the training group exhibited increased resistance to fatigue. The fatigue index of the training group (61.7±5.2) was significantly higher than that of the control group (53.7±6.5; P=0.04, Student's *t* test).

According to our previous study [5], the protein carbonyl concentration of the irradiated group was three-fold higher than that of the control group 24 h after the radiation procedure. In the current study, the protein carbonyl concentration was significantly lower in the training group (1.4±0.2 nmol/mg) than in the control group (2.4±0.1 nmol/mg, P<0.01) 24 h after 5-Gy irradiation. Tracheal banding training did not induce alterations in Cu/Zn-SOD mRNA expression but significantly enhanced Mn-SOD mRNA expression (P=0.03, Student's t test). Compared with the control group, the expression levels of Cu/Zn-SOD mRNA and Mn-SOD mRNA were significantly higher in the training group 24 h after 5-Gy irradiation (Figure 7A and 7B).

### Discussion

The diaphragm is the most important muscle for ventilation, and diaphragm contractile dysfunction is associated with the progression of respiratory failure. Human and animal studies have shown that ventilator-induced diaphragmatic dysfunction can lead to prolonged mechanical ventilator use and progressive respiratory failure [25]. Chemotherapy and radiotherapy have been confirmed to cause diaphragm contractile dysfunction in rodent models [5, 26], and doxorubicin could induce diaphragm weakness in C57BL/6 mice [26]. Additionally, low-dose irradiation also damages the diaphragm and causes contractile dysfunction in Sprague-Dawley rats [5]. A human study showed that CCRT decreases pulmonary function in patients with esophageal cancer; specifically, the carbon monoxide diffusion capacity and total lung capacity were significantly reduced at a median of 15.5 days after CCRT [12], and the vital capacity and FEV, were reduced after the conclusion of CCRT in these patients [13]. Similarly, the present study showed that the FEV, started to decline after 1 week of CCRT, and no significant recovery of the FEV, was observed throughout the rest of the CCRT

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	Control group (n=3)	Training group (n=3)	
Six-minute walk distance (6MWD, m)			
Baseline (pre-CCRT)	405.0±17.7	385.3±36.1	
First week	378.0±24.7	384.1±76.9	
Second week	417.5±15.2	390.3±45.4	
Third week	388.0±5.7	449.9±21.6	
After CCRT	363.0±0.0	457.4±25.4	

**Table 2.** Results from the 6-min walking test forthe control and training groups

The data are expressed as the means  $\pm$  SDs (n=3).



**Figure 5.** Expression of twitch and tetanic tension  $(N/cm^2)$ , time to peak tension (TPT) and half-relaxation time ( $\frac{1}{2}$ RT) of diaphragm strips in the rats belonging to the control and training groups 24 h after irradiation. The twitch tension (Pt) was obtained using 1-ms supramaximal square wave pulses, and the tetanic tension (Po) was obtained by applying a train of supramaximal stimuli for 400 ms at optimal length. A. Specific twitch and tetanic tension (N/cm<sup>2</sup>) of diaphragm strips. B. Time to peak tension (TPT) and half-relaxation time ( $\frac{1}{2}$ RT) of diaphragm strips in the control (black bars) and training (white bars) groups 24 h after irradiation. The data are presented as the means ± SEs. \*indicates a significant difference between the two groups (P<0.05).

course in the nontraining group. However, the impact of CCRT on diaphragm function in hu-

mans had not been previously studied. The adverse effect of CCRT on the pressure-generating capacity of the inspiratory muscle was not obvious in the current study. Nevertheless, in another study (n=33), we found an average reduction of 11% in the IMP in patients with esophageal cancer after CCRT (data not shown). Therefore, the prevention of diaphragm dysfunction caused by anticancer therapy is an important issue.

The effects of IMT on general respiratory performance have been extensively studied in both healthy and diseased populations. A recent meta-analysis showed that respiratory muscle training improves respiratory muscle endurance in a non-athlete population [27]. Additionally, IMT could improve the MIP by 18% and the respiratory resistance to fatigue during exhaustive exercise in healthy subjects [28]. Moreover, preoperative IMT for an average of 25.4 days significantly increases the median MIP by 32% in patients with esophageal cancer [14]. Similarly, at the end of the CCRT course, the MIP was increased 32% from baseline in patients with esophageal cancer receiving concurrent IMT during CCRT (Figure 3A). Additionally, the animal experiment performed in this study revealed that the diaphragm contractility and its force production efficiency (Pt/TPT ratio, data not shown) were significantly higher in the tracheal banding training group compared with the control group. Furthermore, the preconditioned diaphragm group showed significantly improved contractility and fatigueresisted properties after irradiation challenge.

Increased diaphragm activation during quiet breathing has been observed in patients with severe COPD using an esophageal electrode [29, 30]. However, the diaphragm activation during loaded breathing conditions (e.g., threshold loading) has not yet been examined in patient populations. Notably, under the same loaded breathing condition (30% MIP), we noted that the diaphragm activation was increased 10% and decreased 19% in the control and training groups, respectively. The results demonstrate that the diaphragm has to work increasingly harder to accomplish the same work demand during the course of CCRT and is able to perform the same work with less effort if IMT is applied concurrent to CCRT. As mentioned previously, the current study indicates that diaphragm activation could be impaired



**Figure 6.** A force-frequency curve was constructed by stimulating the rats' strips with trains of supramaximal stimuli at 1, 15, 30, 50, 80, 100 and 120 Hz with a 1-min rest period between adjacent stimulus trains. The fatigue characteristics were measured by giving the muscle a series of 300-ms tetanic stimulations every 3 s at a frequency that was adjusted to produce 50% of Po for 10 min. (A) Diaphragmatic force (N/cm<sup>2</sup>)-frequency (Hz) curves and (B) mean changes in peak tetanic tension during the repetitive stimulation of diaphragmatic strips of the control (- $\bullet$ -) and training (- $\bullet$ -) groups 24 h after irradiation. The data are presented as the means  $\pm$  SEs. \*indicates a significant difference between the two groups (P<0.05).

by CCRT, and this detrimental side effect could be reversed by the application of IMT concurrent with CCRT. However, it is also important to note that in a healthy population, the diaphragm activation ranges from 29% to 33% while breathing at 40% MIP [31, 32]. In contrast, in our study, the baseline diaphragm activation in patients with esophageal cancer ranged from 61% to 73% while breathing at 30% MIP; therefore, whether the commonly prescribed training intensity for IMT (30% MIP) requires modification for this patient population warrants further investigation.

Anticancer therapy is also known to impair physical function [33] and causes fatigue [34].



**Figure 7.** Cu/Zn-SOD and Mn-SOD mRNA expression in rat diaphragms. Real-time RT-PCR for Cu/Zn-SOD, Mn-SOD, and endogenous control GAPDH mRNA expression was performed using a SYBR green assay. All the data were analyzed using Rotor Gene 5 software (Corbett Research, Sydney, New South Wales, Australia). The values for Cu/Zn-SOD and Mn-SOD were normalized to the levels of the GAPDH gene. Diaphragm samples were assayed for Cu/Zn-SOD and Mn-SOD mRNA levels by (A) western blot analysis and (B) real-time quantitative PCR analysis (n=6 in each group). The bars represent the means ± SEs, and \*indicates significance at the P<0.05 level compared with the control group.

Interestingly, compared with the baseline, both the respiratory function and the functional exercise capacity and fatigue were improved in the patients receiving concurrent IMT during CCRT. The 6MWD increased 19% (from 385.3 m to 457.4 m) in the IMT group but declined 10% (from 405.0 m to 363.0 m) in the control group. Moreover, the measurement of fatigue using the EORTC questionnaire showed that the fatigue score from baseline to CCRT completion remained unchanged in the patients receiving IMT and increased an average of 33 points in the patients not administered IMT (data not shown). This finding indicates that IMT for esophageal cancer patients who undergo CCRT not only preserves respiratory function but also improves or maintains the functional exercise capacity and quality of life at optimal levels during anticancer treatment.

Immunohistochemistry for yH2AX foci can be used to identify the number, location and repair deficiencies of double-strand breaks (DSBs) [35]. In our previous study, the number of nuclei in diaphragm cells in the irradiation group that stained positive for yH2AX at 24 h was 30% higher than that found in the control group, which suggests that exposure to radiation results in a high degree of DSB repair deficiency in the diaphragm [5]. Mn-SOD overexpression in the mitochondria plays a critical role in protecting HeLa cells against ionizing radiation (5.5 Gy) [36]. Moreover, preconditioning skeletal muscle through exercise training enhances the response of antioxidant and mitochondrial enzymes to radiation [37]. Additionally, wholebody aerobic training can enhance the antioxidant capacity in the diaphragm [38, 39]. However, whether targeting training for the inspiratory muscle protects the diaphragm against anticancer therapies is less understood. In the rodent model used in the present study, the expression of Mn-SOD in the diaphragm was upregulated after resistive breathing training.

By increasing the generation of reactive oxygen species (ROS), muscle contraction is known to activate the transcription factor nuclear factor kappa B (NF-κB) to enhance the transcription of genes encoding antioxidative enzymes [40]. Moreover, mitochondria are the main source of ROS production during skeletal muscle contraction [41]. These observations might explain the upregulation of Mn-SOD mRNA but not Cu/ Zn-SOD mRNA after resistive respiratory training. In other words, using an animal model, we demonstrated that diaphragm Mn-SOD mRNA expression was enhanced after resistive breathing training and explained the superior resistance of the preconditioned diaphragm to radiation challenge. In addition, the impaired function of the diaphragm caused by CCRT could be reversed by the administration of IMT concurrent with CCRT, in agreement with the results obtained in the preclinical human study.

To apply the results of this study to clinical settings, several factors should be considered. First, the IMT method used in our animal model represents a continuous chronic training mode that is different from the interval training mode used in human studies. However, the human data support the positive impacts of concur-

rent IMT to preserve diaphragm and pulmonary function in patients with esophageal cancers during CCRT. Second, animal models have the advantage of allowing the direct assessment of diaphragm contractile function. In patients, the effects of IMT on diaphragm function can only be indirectly assessed, and patient motivation might interfere with their performance in these tests. Third, the current study shows the benefit provided by IMT against a single dose of a low level of irradiation. However, patients with esophageal carcinoma are continuously exposed to off-target doses during radiotherapy in daily practice. Finally, the timing for the application of IMT differed between the human and animal studies. In the clinical setting, the time from cancer diagnosis to anticancer therapy initiation is usually very short; therefore, it is difficult to precondition the diaphragm, and adjustment of the timing of IMT application is thus needed. In addition, although a positive effect of concurrent IMT with CCRT was observed in this study, the limited sample size of patients makes any statistical conclusions very preliminary.

### Conclusion

In patients with esophageal cancer, concurrent IMT during CCRT shows beneficial effects on diaphragm function, functional exercise capacity, and fatigue. Moreover, in a rodent model, preconditioning of the diaphragm though training can upregulate the antioxidant capacity and protect the diaphragm from oxidative damage caused by low-dose off-target irradiation. These data warrant further evaluation of the application of IMT in clinical settings for patients under CCRT through a prospective study with a larger sample size.

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### Disclosure of conflict of interest

None.

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