

Original Article

Empirical assessment of allele frequencies of genome wide association study variants associated with obstructive sleep apnea

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Abstract: Objective: Obstructive Sleep Apnea (OSA) is a heterogeneous disorder with a complex interplay of genetic and environmental factors. Over the years, with advancement in genotyping and sequencing techniques, various loci have shown an association with OSA. It is pertinent to understand the status of these associated variants in different ethnic groups. The aim of the study was to assess the genetic affinity among different population groups by evaluating the risk allele frequencies of variants associated with OSA. Method: The variants associated with OSA were obtained from the GWAS catalog with a significant p value of $<5 \times 10^{-7}$; 95 variants were obtained (www.ebi.ac.uk/gwas). Further, the variants were narrowed down on the basis of risk allele frequencies ($>5\%$). The f_{st} was calculated to assess the genetic affinity between super population groups and among the sub-population groups present in the 1000 genome project. Result: The f_{st} values observed indicated all super populations were genetically related (SAS, AMR, EAS and EUR) except in the African (AFR) population group. Further, the closely related super population i.e., SAS, AMR, EAS and EUR when bifurcated on the basis of sub-population groups shows population stratification and SAS population groups form separate clusters on the MDS plot. Conclusion: The study highlights genetic heterogeneity among different population groups that gets diluted and results are biased when the samples are pooled irrespective of their endogamous groups. Our results provide insight to researchers to target specific endogamous groups for future studies on OSA.

Keywords: Obstructive sleep apnea, genetic affinity, genome-wide association studies, genetic variants, ethnicities, geographic origin

Introduction

OSA is a serious respiratory sleep disorder that is associated with systemic and cardiovascular, respiratory, and neurological dysfunction [1]. The condition is diagnosed with repetition in full or partial episodes of collapsing of the upper airway while sleeping, resulting in reduced airflow. Untreated OSA leads to health consequences in the long term [2]. A recent epidemiological study predicts that the frequency of OSA in middle-aged men and women is higher [3]. In India, a new community-based investigation found the prevalence of OSA to be 9.3% [4]. This prevalence rate is parallel to the west-

ern population [5]. Moreover, the prevalence of OSA is higher in patients with cardiovascular and metabolic disorders [6]. OSA has several classic signs and symptoms; the most prevalent are repetitive episodes of complete or fractional upper airway obstruction during sleep with snoring and daytime sleepiness. Intermittent hypoxia and nocturnal hypertension have also been reported as self-determining risk factors in these patients [7].

OSA patients suffer from daytime fatigue, excessive drowsiness, insomnia, and increased risk of road mishaps [8]. Risk factors of OSA include narrowed airway, diabetes, arterial hy-

pertension, stroke, systemic inflammation, cognitive impairment, depression, and metabolic diseases [6]. The etiology is multifaceted and is not confined to airway obstruction, but is the result of several interconnected consequences and supportive risk factors of which the most common factors for developing OSA are excess weight, neck circumference, age, and gender [8, 9]. These factors raise the prevalence of OSA as we are observing the global obesity pandemic and also due to the ageing of societies. The growing prevalence of the disorder is a challenge for diagnosis especially in developing countries as the diagnostic criteria requires overnight monitoring of sleep breathing at high cost [10].

Presently, the most widely used diagnostic tool is polysomnography, which diagnoses sleep disorder by observing sleep quality and sleep patterns. These patterns are measured by apnea-hypopnea index (AHI), when the apnea-hypopnea index (AHI) goes beyond a certain threshold, these parameters are often considered “severity of disease” [11, 12]. There are a number of treatments available (reversible and irreversible) depending on the patient’s symptoms/severity and specific pathologic character. The most common treatment comprises the use of continuous positive airway pressure (CPAP) or a mandibular advancement device (MAD); depending on the severity of the disease, medical devices are used accordingly [13]. Behavioral therapies include weight loss and several lifestyle changes. Irreversible treatments include a variety of surgeries [14]. However, today, surgical choices are less in demand. In their place, the CPAP and MAD are treatment choices provided by clinicians. The gold standard treatment option is CPAP, nevertheless, acceptance and compliance are low, and oral device therapy (OA) has been found to be more pertinent than CPAP [15]. Likewise, intervention-based studies depicted favorable effects of MAD in improving AHI scores by reducing the rate of obstruction [16-18].

The connection between physiologic sleep and its genetic association was first conveyed in the 1930s which concluded that genetic factors under certain situations can develop OSA [19]. Additionally, a family-based twin study put forward that there is a noteworthy association

between OSA and inherited craniofacial disorder [20].

Certain gene and molecular biomarkers affect the sleep cycle and other crucial body functions [21]. The divergent etiology of OSA is associated with various factors such as age, sex, neck circumference, fat accumulation, and genes [22, 23]. Detecting the involved genetic factors contemplate as a superlative approach to conduct the study to acquire more about the pathogenesis of the OSA. However, very limited genomic studies have been accomplished on OSA to date [24]. Therefore, the Genome Wide Association (GWA) and candidate gene association study approach are useful for OSA to explore the association of genetic traits and related complications. Still, most of the genetic studies were conducted in Europeans, East Asians and Hispanics whereas such studies are lacking in other ethnicities. Therefore, considering ethnicity as one of the prominent aspects associated with the genetic predisposition of OSA, we aim to evaluate the genetic affinity of effective alleles associated with OSA among global populations.

Methodology

Data collection and statistical analysis

The variants specific to OSA were obtained from the GWAS catalog with a significant p -value of $P < 5 \times 10^{-7}$; 95 variants were obtained (www.ebi.ac.uk/gwas) [25]. The variants were further narrowed down for the study with criteria to include the variants with frequency less than 5% in South Asian population groups. The reason to select variants with more than 5% minor allele frequency was to determine the common variants in South Asians. Additionally, significant expressions in the tissues associated with OSA were also considered and the expression of the genes was obtained by the Genotype-Tissue Expression (GTEx) (<https://gtexportal.org/>) [26]. The variants with NES (positive as well as negative) and significant p -value determined the functional relevance of the variant with respect to tissues that were associated with OSA and traits associated with OSA. Following these criteria, 21 variants were narrowed down (**Table 1**) and 74 variants were excluded from the study. The allele frequencies of the risk allele (GWAS; **Table 1**) were docu-

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Table 1. List of selected GWAS variants with risk allele and their putative expression in human tissues

SNP ID	Risk Allele	Chromo-Location	GWAS p value	Region	Gene/Locus	Tissue expression in GtexPoratal (p value; NES)
rs1156250	G	Chr 2:204453088	8×10^{-6}	regulatory region variant	MPPED2	Tissue-Muscle Skeletal ($4.2e-21$; 0.294)
rs394452	A	Chr 2:219055315	4×10^{-6}	synonymous variant	IHH	Tissue-Adipose Subcutaneous ($4.2e-4$; 0.237)
rs158258	G	Chr 6:156880797	6×10^{-6}	intron variant	ARID1B	Tissue-Heart Appendage (0.5; 0.0476)
rs322818	A	Chr 7:128096993	9×10^{-6}	intergenic variant	SND1	Tissue-Esophagus Mucosa ($8.8e-4$; 0.0696)
rs10767859	T	Chr 11:30549800	6×10^{-6}	intron variant	MPPED2	Tissue-Muscle Skeletal ($4.2e-21$; 0.294)
rs1793596	A	Chr 11:131143730	6×10^{-6}	intergenic variant	RN7SL167P	---
rs1793821	T	Chr 11:131149976	5×10^{-6}	intergenic variant	RN7SL167P	---
rs2573647	A	Chr 15:99933493	5×10^{-6}	intergenic variant	AC084855.1, AC090825.1	---
rs2581360	A	Chr 15:99951677	9×10^{-6}	regulatory region variant	AC084855.1, AC090825.1	---
rs6117669	A	Chr 20:7189144	2×10^{-7}	intron variant	LINC01428	Tissue-Testis (0.000030; -1.0)
rs5755038	T	Chr 22:34415848	7×10^{-6}	intergenic variant	LINC01643	Tissue-Testis ($2.2e-8$; 0.21)
rs11588454	T	Chr 1:191832003	3×10^{-6}	intron variant	LINC02770	---
rs999944	A	Chr 2:64822719	2×10^{-7}	intergenic variant	RN7SL341P	---
rs4849682	T	Chr 2:118185307	5×10^{-7}	intron variant	THORLNC	---
rs116791765	T	Chr 11:94347083	2×10^{-8}	intergenic variant	GPR83	Tissue-Heart left ventricle (0.6; 0.0344)
rs11897825	A	Chr 2:21471579	5×10^{-7}	intron variant	AC011752.1, AC067959.1	Tissue-Adipose Subcutaneous ($1.4e-32$; 0.470)
rs111942351	A	Chr 5:143693985	4×10^{-7}	intron variant	CTB-57H20.1	No significant tissue type found
rs11074782	T	Chr 16:26493690	2×10^{-6}	intergenic variant	AC130464.1	No significant tissue type found
rs2743173	T	Chr 20:8264646	2×10^{-7}	intron variant	PLCB1	Tissue-Adipose Subcutaneous (0.2; 0.0417)
rs72699765	A	Chr 15:25584050	5×10^{-7}	intergenic variant	ATP10A	Tissue-Esophagus Mucosa ($9.3e-5$; 0.0208)
rs2033354	T	Chr 2:15076492	4×10^{-7}	intergenic variant	NBAS	Tissue-Heart Appendage ($1.8e-40$; 0.457)

mented for further analysis from the 1000 genome database (<http://www.internationalgenome.org>) [27].

The populations selected for analyzing pooled and stratified analyses frequencies were: Africa (AFR), South Asia (SAS), Admixed American (AMR), East Asia (EAS) and Europe (EUR), SAS {(Sri Lankan Tamil from UK (STU), Bengali from Bangladesh (BEB), Gujarati Indian from Houston (GIH), Indian Telugu from UK (ITU), Punjabi from Lahore Pakistan (PJL))}, EAS {(Southern Han Chinese (CHS), Chinese Dai in Xishuangbanna, China (CDX))}, and EUR {(Finnish (FIN), Japanese in Tokyo (JPT), British in England and Scotland (GBR))}. Pooled allele frequencies in five super populations and stratified frequencies in sub-populations were

obtained from a 1000 Genome database. Further statistical analysis was performed on the basis of the allele frequencies. *FST* was calculated using Arlequin version.3.5.2.2 using 10,000 permutations to avoid the false discovery rate [28]. Slatkin's linearized *FST*'s model was used to calculate the average number of pairwise differences within and between populations. The *fst* values determine the genetic distance among the different population groups and are a key component in studying population structure. The heatmap and frequencies were plotted using R packages [29]. Multi Dimensional Scaling was performed to visualize the similarities among the selected population groups using the *fst* matrix. The detailed strategy adopted for the study is presented in **Figure 1**.

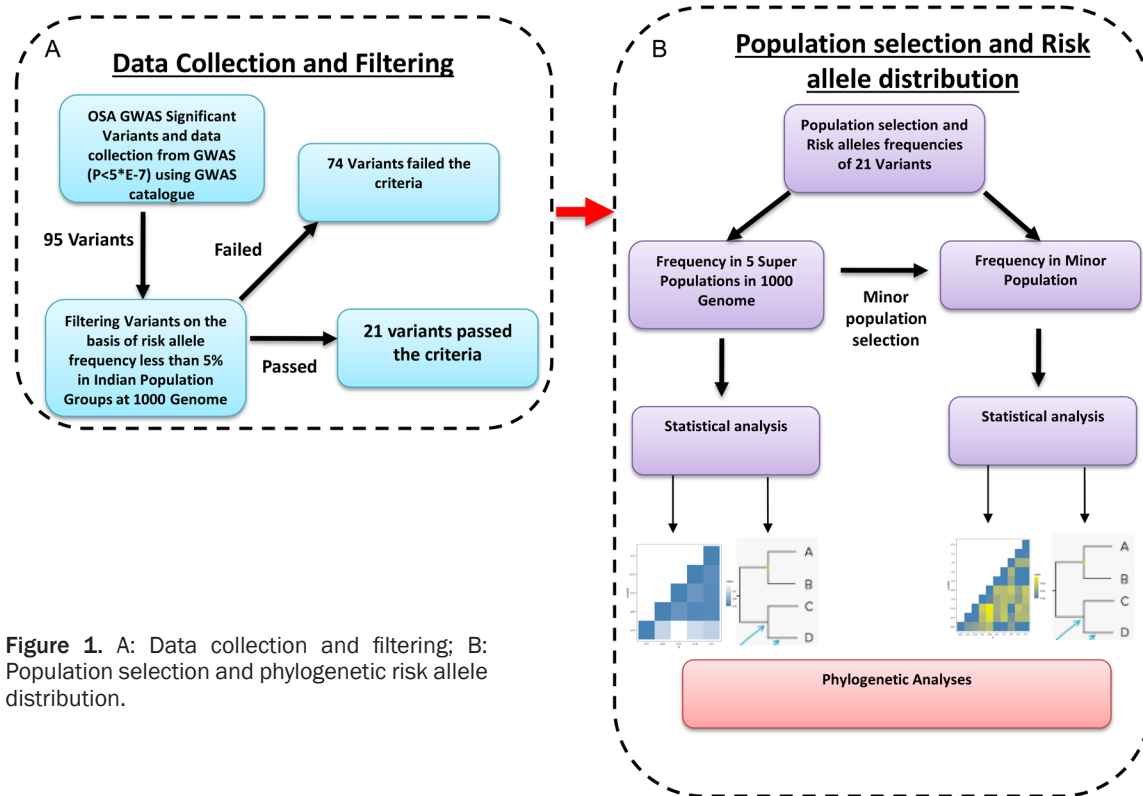


Figure 1. A: Data collection and filtering; B: Population selection and phylogenetic risk allele distribution.

Results

With the increasing incidence and sighting role of genetics in OSA [30], it is pertinent to evaluate the established candidate genes in the study population group. In order to estimate the risk, population based case-control association studies play a vital role [30]. Further, replication of variants identified by GWAS in different ethnic groups is also an essential step in establishing that the associations revealed by initial GWAS are genuine. Failure to replicate is usually interpreted as false-positive interactions and warrants further validation by independent replication studies [31]. It has been observed that many studies of different complex disorders showed redundant results when replicated in other ethnicities, especially in Indian population groups [32-34].

The genetic heterogeneity of candidate gene variants of complex disorders always raises an alarm as the variants observed to be associated with the trait provide redundant results when replicated in other ethnic groups. For instance, variant rs1137101 of LEPR is observed to be significantly associated with OSA

and its associated traits like increased sleeping efficiency, less wakefulness after sleep, etc. in European Americans. In spite of the evidence, the same variant was not associated with OSA in Asians [35]. Additionally, when we observe the variant frequency in the database for the single nucleotide polymorphisms, there is heterogeneity among the allele frequency of alternate allele and reference allele in different population groups (https://www.ncbi.nlm.nih.gov/snp/rs1137101?horizontal_tab=true).

Further, another variant rs1409986 of *PTGR* was significantly associated with OSA in Europeans [36], whereas it provides contrary results in a study conducted on Mycode biobank participants [37]. To validate this varying frequency we plotted the selected variants and observed that there is shifting of allele frequencies within and among global super populations and in the South Asian population.

The most plausible reason for the genetic heterogeneity and its implication for the genetic studies is a difference in allele frequency that could be an outcome of ancient admixture, or founder effect due to strict endogamous prac-

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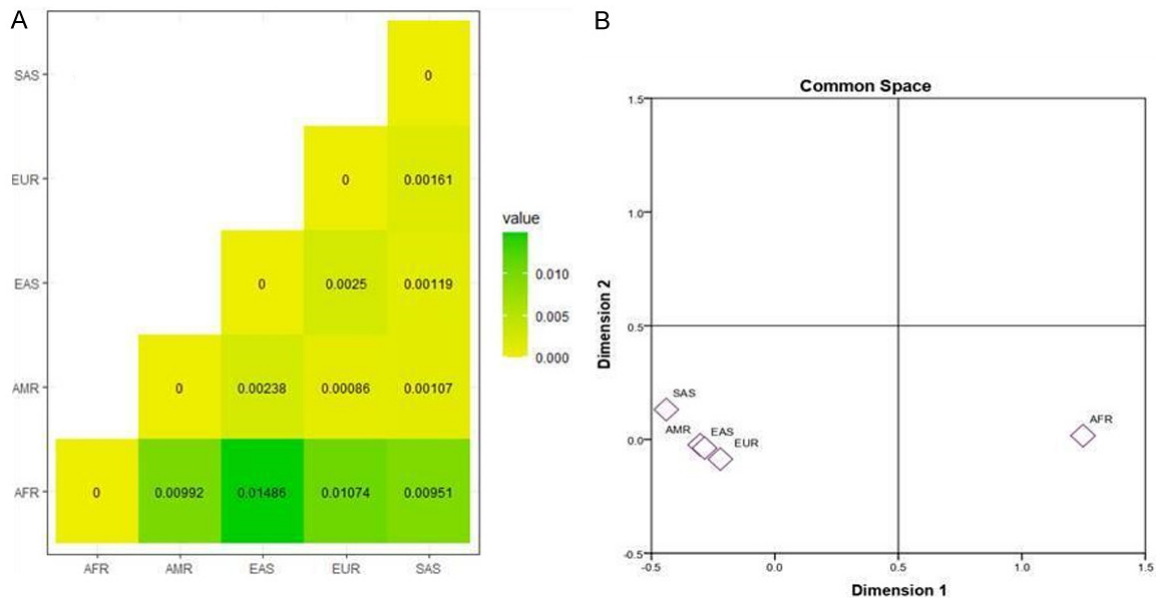


Figure 2. (A) Heat map of pairwise F_{st} and (B) Multi-Dimensional Scaling calculated from the risk variants of GWAS of OSA indicates that the genetic affinity of super populations except Africa is the same when analyzed together.

tices or due to modern human migrations that took place in the past [38, 39].

The frequency distribution of effective variants in super population and other population groups obtained from 1000 genomes is given in [Supplementary Figures 1](#) and [2](#), respectively. The present study's strategy may aid in the evaluation of genetic differences in population groups with respect to risk alleles in OSA.

In order to visualize the genetic affinity of the variants among the selected population groups, genetic distance matrices were generated on the basis of allele frequencies of risk variants (**Figures 1** and **2**). Using these matrices Multi Dimensional Scaling (MDS) was plotted using F_{st} values [40]. The pairwise F_{st} values were generated for five super populations were Africa (AFR), South Asia (SAS), Admixed from the Americas (AMR), East Asia (EAS) and Europe (EUR), where the four population groups have closer affinity SAS, AMR, EAS and EUR indicating that the variants have an alike effect on the population sets, whereas AFR population set diverged out in the plot indicating genetic differentiation from the other population sets (**Figure 2**).

To have a clearer idea about the genetic affinity in sub-population groups like Sri Lankan Tamil from UK (STU), Bengali from Bangladesh (BEB),

Gujarati Indian from Houston (GIH), Indian Telugu from UK (ITU), Punjabi from Lahore Pakistan (PIL), Southern Han Chinese (CHS), Chinese Dai in Xishuangbanna, China (CDX), Japanese in Tokyo (JPT), Finnish (FIN), British in England and Scotland (GBR), when plotted with genetic distances clearly showed differentiation of GIH, ITU, BEB and PJB sub-population groups having different genetic affinity from the rest of the population subsets (**Figure 3**).

This highlights genetic heterogeneity among different population groups which gets diluted, and results are biased when the samples are pooled irrespective of their endogamous groups.

Discussion

To have a better understanding of the susceptibility of a particular variant in the population group it is necessary to carry out association studies in individual endogamous groups irrespective of the linguistic group and geographic origin. Since our population is heterogeneous and is stratified, the results will vary when combined population groups are targeted [32].

The results signify that in order to perform a genetic study on OSA, it is required to evaluate a particular population group independently rather than pooling samples on the basis of

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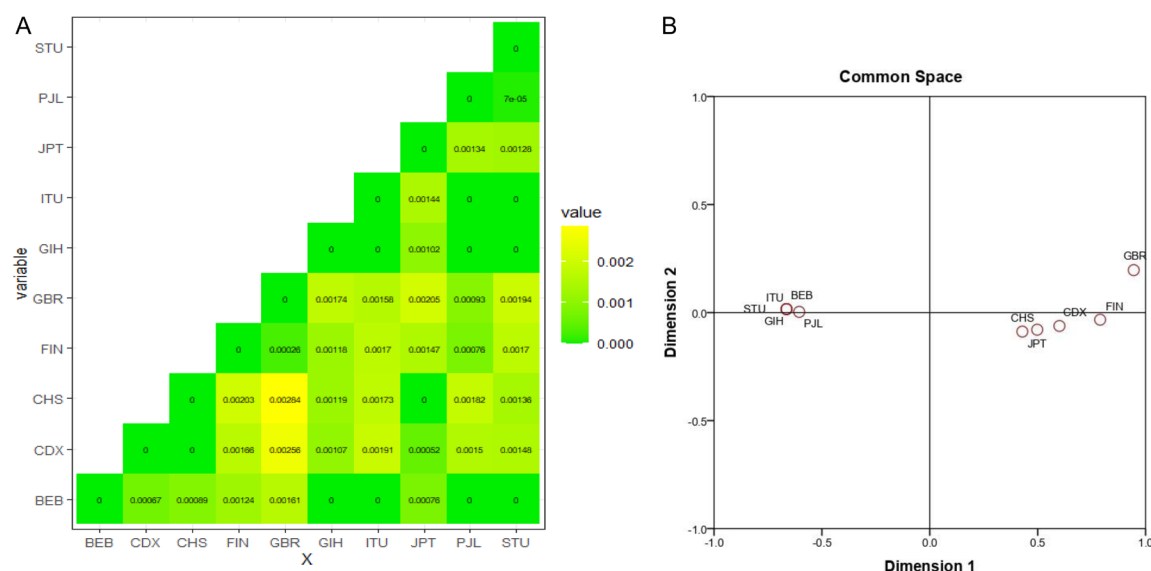


Figure 3. (A) Heat map of pairwise F_{st} and (B) MultiDimensional Scaling of ten sub-population groups confirm the population stratification when analyzed independently.

geography or linguistic groups as each population possesses its own selection and adaptation towards any trait (OSA). Keeping in view the heterogeneity of different ethnic population groups and results observed, it is pertinent to evaluate the risk-causing variants in specific endogamous groups without grouping the samples, and this is a model for future studies on OSA.

We are trying to highlight how the future studies or the studies being conducted on different population groups need to be carried out regarding OSA to have a list of concrete markers affecting certain population groups. This will help in the long run to have a better understanding of the disease and treatment in the future. Further, it is anticipated that there is a dire need for a genetic biobank of South Asian population groups to show the missing heritability of complex disorders including OSA.

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Disclosure of conflict of interest

None.

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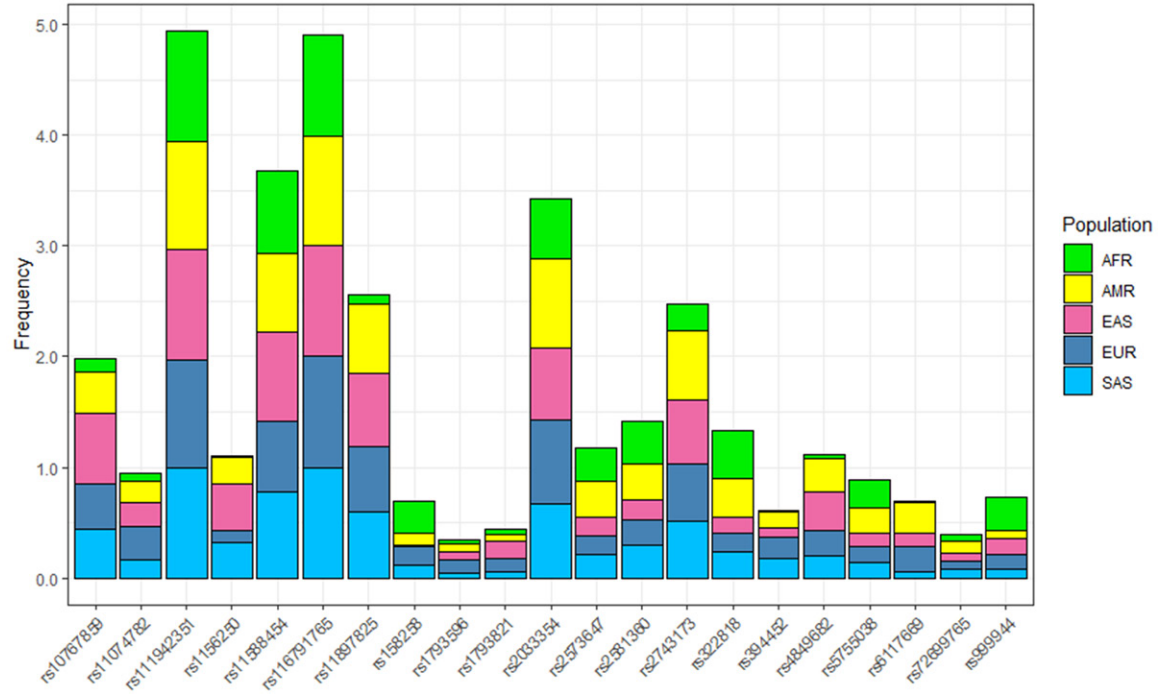
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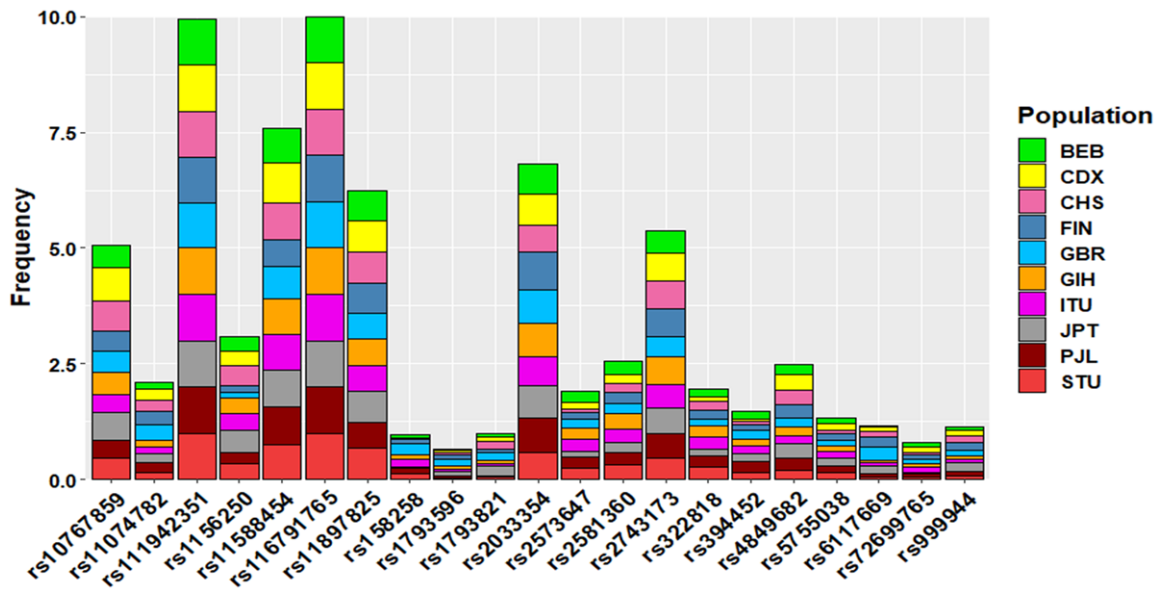
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Allele frequency assessment of variants associated with OSA



Supplementary Figure 1. Allele frequency for effective variants in super populations obtained from 1000 genome database. AFR: African, AMR: Admixed American, EAS: East Asians, EUR: European, SAS: South Asians.



Supplementary Figure 2. Allele frequency for effective variants obtained for 1000 genome database for different population groups. BEB: Bengali from Bangladesh, CDX: Chinese Dai in Xishuangbanna, CHS: Southern Han Chinese, FIN: Finnish, GBR: British in England and Scotland, GIH: Gujarati Indian from Houston, ITU: Indian Telugu from UK, JPT: Japanese in Tokyo, PJI: Punjabi from Lahore Pakistan, STU: Sri Lankan Tamil from UK.