

## Review Article

# Link between respiratory microbiota and asthma: an emerging therapeutic approach

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**Abstract:** The respiratory microbiota significantly influence the onset and progression of asthma, as underscored by recent studies revealing discernible differences between asthma patients and healthy individuals. This review delves into the relationship between respiratory microbiota and asthma, with a particular emphasis on possible therapeutic targets and emerging treatments. Existing research is thoroughly synthesized, illuminating the association between microbial communities and the incidence of asthma. In addition to antibiotic therapy, attention is directed towards modulating the immune balance within the respiratory microbiota as a promising therapeutic approach. Specifically, the role of immunomodulators targeting key immune pathways, such as interleukins and cytokines implicated in asthma pathogenesis, is examined. Furthermore, the regulation of the gut-lung axis is explored, highlighting the significance of the gut microbiota in shaping systemic immune responses and respiratory health. Moreover, the potential of immune cell modulation as a therapeutic avenue is explored, focusing on targeting specific immune cell populations involved in asthma pathophysiology. Future research directions and challenges are also addressed, underscoring the need for a deeper understanding of the intricate interplay between respiratory microbiota and the pathogenesis of asthma.

**Keywords:** Respiratory microbiota, asthma, therapeutic targets, immune modulation

## Introduction

Asthma is a common chronic inflammatory disease that profoundly affects the quality of life and health of millions of people worldwide. Despite decades of research, the pathogenesis of asthma remains complex and not fully understood [1]. In recent years, with advances in scientific technology, particularly in the study of respiratory microbiota, there is growing recognition of the critical role of respiratory microbiota in the onset and progression of asthma [2, 3].

Respiratory microbiota refers to the microbial communities present in the respiratory tract, including bacteria, viruses, fungi, and other microorganisms [4]. The interaction between these microorganisms and the host immune system has significant implications for respiratory health and disease. Certain bacteria, such

as *Staphylococcus aureus* [5] and *Haemophilus influenzae* [6-8], may trigger asthma attacks by producing toxins or stimulating the immune response. These microorganisms may further exacerbate airway inflammation and hyperreactivity by increasing the release of inflammatory cytokines, such as interleukins (IL-4, IL-5, and IL-13), leading to asthma exacerbations [9, 10].

In terms of treatment, current medications mainly include corticosteroids and  $\beta_2$ -agonists, used to alleviate asthma symptoms and control inflammation [11, 12]. However, these medications do not cure asthma and may be associated with a range of side effects with long-term use [13]. Therefore, finding new therapeutic targets and approaches is imperative. Therapeutic strategies targeting respiratory microbiota have garnered significant attention in recent years. By modulating the balance of respiratory microbiota, it is possible to alter the status of the

host immune system, thereby reducing the frequency of inflammatory reactions and asthma exacerbation. For example, some studies suggest that probiotics and prebiotics can modulate the gut microbiota, thereby affecting respiratory microbiota and alleviating asthma symptoms [14, 15].

Considering the significant impact of respiratory microbiota on asthma pathogenesis and the urgent need for effective therapeutic interventions, this review explores the intricate relationship between respiratory microbiota and asthma. By examining recent research progress and emerging therapeutic strategies targeting respiratory microbiota, we seek to provide comprehensive insights into the mechanisms underlying asthma exacerbations and potential avenues for improved management. Through this review, we aim to facilitate a deeper understanding of the respiratory microbiota-asthma relationship and guide future research toward enhancing asthma treatment outcomes.

### **The relationship between respiratory microbiota and asthma pathogenesis**

#### *Respiratory microbiota disparity between healthy and asthmatic individuals*

The relationship between respiratory microbiota and asthma has garnered significant attention. Recent studies indicate distinct differences in the respiratory microbiota of asthma patients compared to healthy individuals, suggesting potential implications for asthma onset and severity [16, 17]. For example, Li *et al* analyzed the bacterial microbiota profiles in induced sputum from 31 asthma patients and 12 healthy individuals and confirmed that the airway microbiota was associated with small airway function in asthma patients [18].

Asthma patients exhibit differences in their respiratory microbiota compared to healthy individuals. Some studies have found notable disparities in the diversity and abundance of respiratory microbiota communities between asthma patients and healthy individuals [16, 19, 20]. Additionally, specific microbial species may inhabit the respiratory tract of asthma patients, including *Haemophilus influenzae* [7, 21], *Streptococcus pneumoniae* [22], and *Moraxella catarrhalis* [7, 23, 24]. Several other respiratory microbiotas have been associated

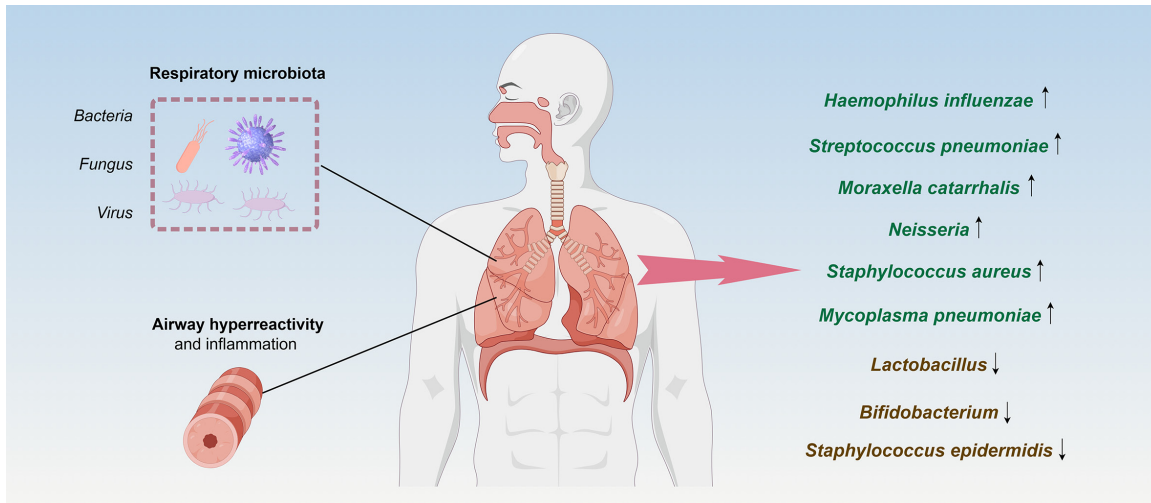
with an elevated risk of asthma. *Neisseria* species [25], along with *Staphylococcus aureus* [5, 26] and *Mycoplasma pneumoniae* [27, 28], are among the microbial populations found in higher abundance in the respiratory tract of individuals with asthma. *Neisseria* species have been identified as potential contributors to asthma pathogenesis, while *Staphylococcus aureus* has been linked to airway inflammation and exacerbations of asthma symptoms [5, 25, 29]. *Mycoplasma pneumoniae*, known primarily for causing respiratory infections, has also been associated with increased asthma severity and exacerbations [30]. The presence of these microorganisms in the respiratory tract may disrupt immune system balance and trigger airway inflammation, thereby heightening the risk of asthma development and exacerbations. In contrast, the respiratory microbiota of healthy individuals may include beneficial bacteria such as *Lactobacillus*, *Bifidobacterium*, and *Staphylococcus epidermidis*. The presence of these normal communities can inhibit the survival of pathogenic bacteria [31]. Furthermore, the correlation between respiratory microbiota and asthma onset has been further investigated. Some studies suggest a close association between the respiratory microbiota of asthma patients and the incidence and severity of asthma [32]. The presence of microbes may be correlated with the frequency and severity of asthma exacerbations, thus influencing the pathophysiology of asthma (**Figure 1**) [33].

#### *Respiratory microbiota alteration and asthma induction mechanisms*

Respiratory microbiota, comprising various microorganisms such as bacteria, fungi, and viruses, colonize the respiratory tract and play a crucial role in regulating the host immune system [34]. The interactions between these microorganisms and the host are pivotal for the onset and development of asthma. Common bacteria include *Staphylococcus*, *Haemophilus*, *Streptococcus*, and *Moraxella*, while fungi such as *Candida* and *Aspergillus* are also prevalent [35].

These microorganisms can influence the pathogenesis and pathologic processes of asthma through multiple mechanisms. First, they may directly stimulate the host immune system, trig-

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**Figure 1.** Respiratory microbiota disparities between healthy and asthmatic individuals.

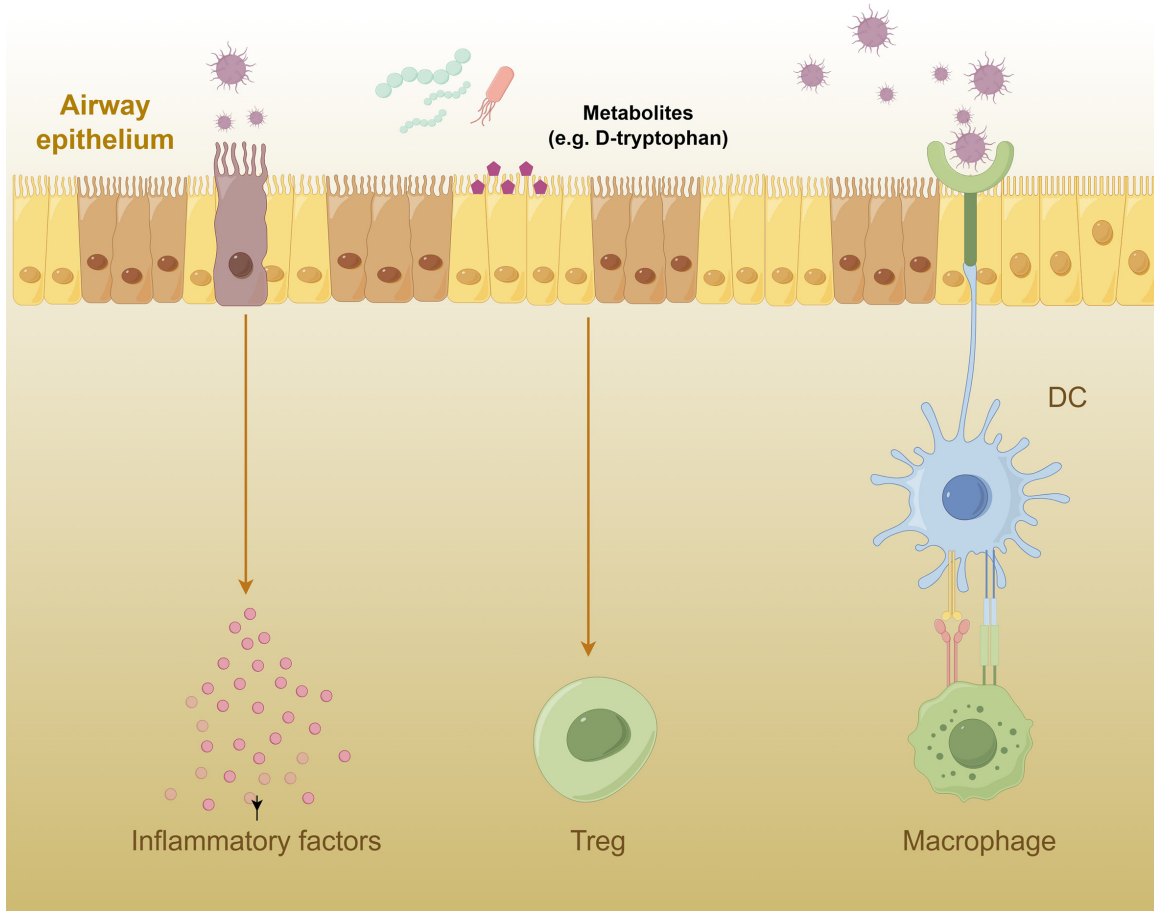
gering inflammatory responses and exacerbating asthma attacks [36-38]. For instance, Zheng *et al* investigated the role of respiratory microbiota changes in asthma progression [39]. Using an ovalbumin-induced chronic asthma mouse model, the study identified distinct microbiota profiles at various stages of the disease. *Pseudomonas* predominated during the acute inflammatory phase, while *Staphylococcus* and *Cupriavidus* were more prevalent during the airway remodeling stage. These findings indicated that dynamic shifts in respiratory microbiota were closely associated with the transition from acute inflammation to airway remodeling in chronic asthma. Furthermore, the concentration of IL-17 in bronchoalveolar lavage fluid from asthmatic patients was markedly elevated compared to that in healthy individuals and exhibited a significant positive correlation with *Proteobacteria* [40]. Infection with *Haemophilus influenzae* can exacerbate airway neutrophilic inflammation in a mouse model of ovalbumin-induced asthma via Th17 immune response mechanisms [41]. Additionally, *Moraxella catarrhalis* infection significantly enhanced neutrophil infiltration and increased levels of inflammatory cytokines such as IL-6, TNF- $\alpha$ , IFN- $\gamma$ , and IL-17 within the airways of mice [23].

Secondly, microbial metabolites significantly contribute to the pathogenesis of asthma. Certain microorganisms may release specific molecules such as endotoxins and bacterial metabolites, which can activate immune cells to produce inflammatory mediators, worsening

asthma symptoms [42-44]. Kepert *et al* investigated the potential of probiotics to prevent allergic airway diseases like asthma. Researchers screened probiotic supernatants for immunoactive properties and identified D-tryptophan as a bioactive metabolite. Unlike its L-tryptophan counterpart, D-tryptophan was found to be effective in increasing regulatory T cells in the lungs and gut, reducing allergic airway inflammation. These findings suggested that D-tryptophan, a product of probiotic bacteria, could be developed into a novel preventative strategy for chronic immune diseases such as asthma [45]. In addition, the microbial metabolite butyrate even directly regulates the function of type 2 innate lymphoid cell (ILC2), inhibits ILC2 production of IL-13 and IL-5, and improves ILC2-mediated airway hyperreactivity and airway inflammation [46].

Moreover, respiratory microbiota may disrupt the balance of the host immune system, leading to immune dysregulation and an increased risk of asthma onset [47]. Respiratory microbiota may indirectly affect the onset of asthma by activating specific immune cells such as alveolar macrophages and dendritic cells [48-50]. For example, Hou *et al* investigated the role of macrophage nuclear receptor corepressor 1 (NCOR1) in the development of asthma [51]. By using ovalbumin to induce asthma in mice deficient in macrophage NCOR1, the research demonstrated that NCOR1 deficiency significantly increased allergic airway inflammation and enhanced M2 macrophage polarization.

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**Figure 2.** Mechanism of respiratory microbiota in asthma.

Mechanistic studies revealed that NCOR1 regulated macrophage polarization through interaction with peroxisome proliferator-activated receptor  $\gamma$  (PPAR $\gamma$ ), thereby contributing to the pathogenesis of asthma. These findings suggest that targeting macrophage NCOR1 may represent a strategy for treating asthma. These immune cells, upon sensing the presence of microorganisms, release inflammatory mediators such as cytokines and chemokines, initiating immune-inflammatory responses. Moreover, respiratory microbiota may interact with other parts of the host immune system, such as the gut microbiota, thereby influencing systemic immune status and further impacting the onset and pathologic processes of asthma (Figure 2) [15, 16, 52].

### Therapeutic targets of respiratory microbiota regulation in asthma

Asthma is a chronic airway disease characterized by airway inflammation, airway hyperre-

sponsiveness (AHR), airflow limitation, and reversible airway obstruction. The goal of asthma treatment is to achieve long-term control by controlling symptoms, improving lung function, reducing exacerbations, and enhancing quality of life [53]. Commonly used asthma medications include inhaled short-acting  $\beta$ 2-agonists (SABAs), inhaled corticosteroids (ICS), long-acting  $\beta$ 2-agonists (LABAs), leukotriene receptor antagonists (LTRAs), anticholinergic agents, biologics, and oral corticosteroids [54, 55]. These medications exert their effects through various mechanisms, including bronchodilation, reducing airway inflammation, inhibiting the release of inflammatory mediators, and modulating immune responses. Depending on the severity of asthma and individual characteristics, healthcare providers can tailor treatment plans to achieve optimal disease control and improve quality of life.

With an improved understanding of asthma pathogenesis, researchers are exploring more

targeted treatment approaches. Immunomodulators, as a novel treatment approach, may positively impact asthma treatment by targeting immune pathways associated with asthma pathology [56, 57]. Furthermore, increasing evidence suggests a close relationship between the gut and lung microbiota, with the gut-lung axis playing a significant role in asthma pathogenesis [58, 59]. Additionally, modulation of immune cell function, such as alveolar macrophages and dendritic cells, may also be a therapeutic approach for treating asthma [50, 60]. These novel treatment approaches offer hope for asthma patients and provide new insights and strategies for improving asthma management. The following will be elaborated upon from the perspectives of traditional treatment targets and their limitations, novel immunomodulators, regulation of the gut-lung axis, and immune cell function. **Table 1** presents an overview of asthma treatment modalities and novel therapeutic approaches.

### *Application of immunomodulators: targeting immune pathways associated with asthma pathology*

Immunomodulators represent a pharmacological class of drugs that exert influence over the development and pathological processes of asthma by modulating the activity and function of the immune system. This category encompasses catecholamines, anti-IgE antibodies (e.g., Omalizumab), montelukast, among others [61]. Catecholamines, functioning as antibodies, mitigate airway inflammation and alleviate asthma symptoms by inhibiting the release of inflammatory mediators, such as leukotrienes, from immune cells [62]. Anti-IgE antibodies mitigate allergic reactions and airway inflammation by binding to and neutralizing immunoglobulin E (IgE) in the bloodstream, thus diminishing the affinity of allergens for IgE [63, 64]. Montelukast contributes to the reduction of airway inflammation and asthma symptoms by impeding the binding of leukotriene receptors and diminishing the release of inflammatory mediators [65].

Immunomodulators employ diverse mechanisms to target the immune system, thereby mitigating airway inflammation and allergic reactions, ultimately enhancing asthma symptomatology, and controlling disease progression. For instance, certain immunomodulators

attenuate airway inflammation and allergic responses by suppressing the activity of Th2 cells, thereby diminishing the secretion of interleukins such as IL-4, IL-5, and IL-13 [66]. Alternatively, other immunomodulators bolster the functionality of regulatory T cells (Tregs), fostering immune tolerance and consequently reducing the immune system's reactivity to allergens [67, 68]. Moreover, some immunomodulators enhance airway inflammation relief by modulating the respiratory microbiota colonization [69].

In essence, the application of immunomodulators is geared towards reinstating immune system equilibrium, alleviating airway inflammation and allergic reactions, and thereby ameliorating asthma symptoms and managing disease advancement. The use of these therapeutic agents offers a promising approach that specifically targets the pathogenesis of asthma, providing renewed optimism for patients who have inadequately responded to conventional treatment or need more efficacious therapy.

### *Regulation and significance of the gut-lung axis*

The gut-lung axis refers to the close relationship and mutual influence between the gut and the lungs. This concept highlights the interaction between the gut microbiota and the immune system of the respiratory tract, which is significant in the pathogenesis of asthma [52, 70]. In essence, the gut-lung axis emphasizes the connection between gut health and respiratory health, recognizing that changes in gut microbiota may affect the immune status and inflammatory responses of the respiratory tract, thereby influencing the development and symptomatology of asthma [71].

On this axis, there exist intricate interactions between the gut and respiratory tract, where the microbiota plays a crucial role in regulating the immune system, maintaining tissue homeostasis, and influencing disease progression. Some specific aspects of gut-lung axis regulation and significance include:

**Immune system modulation:** Gut microbiota influence respiratory immune responses by modulating the activity and quantity of immune cells such as T cells, B cells, macrophages,

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**Table 1.** Overview of asthma treatment modalities and novel therapeutic approaches

Category	Common Medications	Mechanism of Action
Common Asthma Medications	Inhaled Short-Acting $\beta$ 2-Agonists (SABAs)	Dilate airway smooth muscles, rapidly relieve asthma symptoms.
	Inhaled Corticosteroids (ICS)	Reduce airway inflammation, improve lung function.
	Long-Acting $\beta$ 2-Agonists (LABAs)	Sustain airway dilation, control asthma symptoms.
	Leukotriene Receptor Antagonists (LTRAs)	Block leukotriene receptors, reduce the release of inflammatory mediators.
	Anticholinergic Agents	Block acetylcholine receptors, reduce airway constriction.
	Biologics	Target specific immune pathways, reduce immune system activity.
	Oral Corticosteroids	Reduce systemic inflammation, control severe asthma symptoms.
Novel Treatment Approaches	Omalizumab	Anti-IgE antibody, decrease allergic reactions.
	Mepolizumab	Anti-IL-5 monoclonal antibody, reduce eosinophils, lower asthma exacerbation frequency.
	Reslizumab	Anti-IL-5 monoclonal antibody, improve asthma symptoms.
	Gut-lung axis	Improve gut microbiota, maintain immune system stability:
Immune Cell Function Modulation	T Cell	Modulate Th2 cell activity, reduce secretion of IL-4, IL-5, IL-13.
	Tregs	Enhance immune tolerance, reduce response to allergens.
	Macrophage	Reduce release of inflammatory mediators, suppress inflammation.
	Dendritic Cell	Alter antigen presentation function, influence immune response.

and dendritic cells [72]. Certain microbiota can promote immune tolerance [73], reducing excessive reactions to allergens and thereby decreasing the frequency and severity of asthma attacks [74, 75].

**Metabolite effects:** Changes in gut microbiota composition may lead to alterations in metabolites. These metabolites can affect the stability and immune function of the respiratory microbiota through circulation or the nervous system [71]. For instance, metabolites like short-chain fatty acids may possess anti-inflammatory and immune-modulatory effects, affecting respiratory inflammation and asthma development [76, 77].

**Signaling molecule communication:** Various signaling molecules may facilitate communication between the gut and respiratory tract, influencing immune system activity and inflammatory responses. These molecules include cytokines (such as IL-4, IL-5, IL-13), chemokines, and intercellular signaling molecules. They can convey immune information between the two systems, modulating the activity and function of immune cells, and thus affecting asthma symptoms and severity [59, 78].

**Immune cell migration:** Migration of immune cells between the gut and respiratory tract is possible. Immune cells like T cells, macrophages, and others may migrate to the respiratory tract through blood circulation or the lymphatic system, participating in immune responses and inflammation regulation [79, 80]. This cell migration may be influenced by the gut microbiota, thereby impacting the immune status of the respiratory tract and the development of asthma.

**Modulation of the gut microbiome:** Recent studies show that changes in gut microbiota can impact asthma development and progression. For example, specific bacteria such as *Lactobacillus* and *Bifidobacterium* have been shown to reduce airway inflammation and enhance immune regulation in asthma models [81]. These beneficial bacteria can modulate systemic immune responses, potentially lowering the incidence and severity of respiratory conditions. Conversely, an imbalance in gut microbiota, or dysbiosis, has been associated with increased inflammatory responses and worsening asthma symptoms. For instance, a

reduction in microbial diversity and an overgrowth of pathogenic bacteria in the gut can lead to heightened inflammation and immune dysregulation that exacerbates respiratory issues [82].

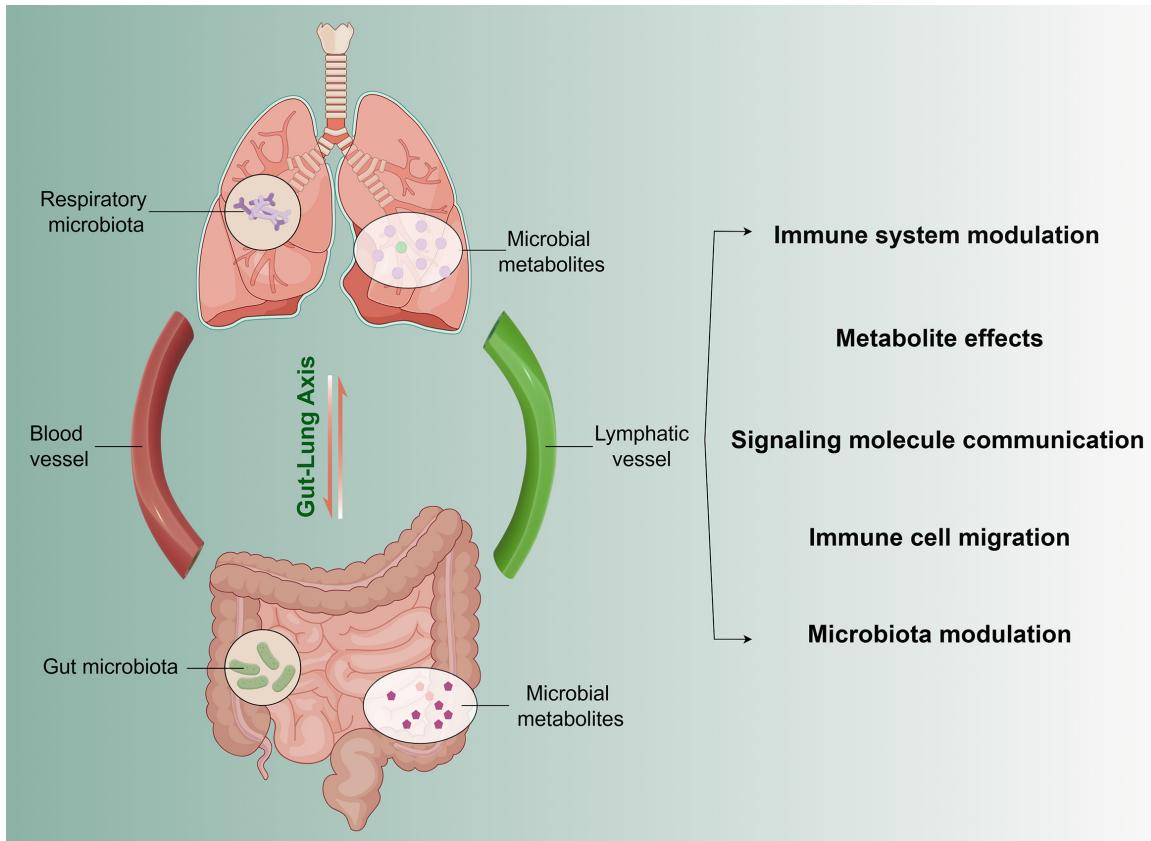
Interventions aimed at modulating the gut microbiome, such as probiotic supplementation and dietary adjustments, have demonstrated potential benefits. Probiotics can restore a balanced gut microbiota, enhance regulatory T cells, and decrease inflammatory cytokines, thereby improving lung health. These findings suggest that targeting the gut microbiome through such interventions may offer novel therapeutic strategies for managing asthma and other respiratory diseases (**Figure 3**).

### *Immune cell modulation as a therapeutic approach*

Asthma is characterized by chronic inflammation of the airways, which involves various immune cells such as T cells, B cells, eosinophils, mast cells, and macrophages [83]. The rationale behind immune cell modulation in asthma therapy lies in the understanding that these cells play crucial roles in the initiation and perpetuation of airway inflammation and hyperreactivity. Murphy *et al* investigated the mechanisms underlying indirect AHR in asthma, with a particular focus on exercise-induced bronchoconstriction (EIB). RNA sequencing of epithelial brushings from individuals with and without EIB identified 120 differentially expressed genes. Notable signaling pathways involving IL-33, IL-18, and IFN- $\gamma$  were highlighted. The expressions of IL1RL1, IL18R1, and IFNG were found to correlate with the densities of mast cells and eosinophils. *Ex vivo* studies demonstrated that airway epithelial cells foster type 2 inflammation and enhance T2 gene expression in response to IL-33 [84]. These findings underscore the critical role of epithelial interactions with mast cells and eosinophils in indirect AHR. By modulating the activity, function, or numbers of specific immune cells, it is possible to intervene in the inflammatory cascade and mitigate asthma symptoms. Several approaches are being explored in immune cell modulation for asthma treatment.

Biological therapies are an emerging frontier in asthma treatment, focusing on targeting specific immune cell types or cytokines involved in

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**Figure 3.** Role of the Gut-lung axis regulation in asthma.

the disease process. Monoclonal antibodies against interleukins such as IL-4, IL-5, and IL-13 have been developed to counter eosinophilic inflammation, a hallmark of allergic asthma [85, 86]. Examples include omalizumab, which targets IgE [87], and mepolizumab [88, 89], targeting IL-5 [90], both demonstrating efficacy in reducing exacerbations and improving asthma control. Additionally, cellular therapies complement these approaches, involving the manipulation of immune cells *ex vivo* and their reintroduction to modulate immune responses [91]. Regulatory T cells (Tregs) have emerged as a promising candidate for suppressing airway inflammation and promoting immune tolerance [92]. Clinical trials exploring Treg adoptive transfer have shown promise in reducing AHR and inflammation in asthma [93]. Meanwhile, small molecule modulators offer a unique avenue by selectively targeting immune cell signaling pathways [94]. For instance, Janus kinase (JAK) inhibitors like tofacitinib aim to modulate inflammatory responses [95]. Although still under investigation, they hold potential for regu-

lating immune cell activation and cytokine production to alleviate inflammation and improve asthma control [96]. Immunotherapy, particularly allergen-specific immunotherapy, remains a cornerstone in allergic asthma management [97]. By gradually exposing patients to specific allergens, it induces immune tolerance and reduces allergic inflammation. Subcutaneous and sublingual immunotherapy have shown effectiveness in reducing asthma symptoms and medication use, especially in patients with identifiable allergic triggers [98, 99].

In essence, immune cell modulation represents a promising direction for asthma therapy, offering targeted interventions tailored to the underlying immune dysregulation. With ongoing advancements in biological agents, cellular therapies, small molecule modulators, and immunotherapy, the future of asthma treatment holds the promise of more personalized and effective approaches, addressing the diverse immune profiles and disease phenotypes of individual patients [100].



### Discussion

The intricate interplay between respiratory microbiota and asthma represents a multifaceted relationship, influenced by a myriad of factors spanning genetic predisposition, environmental exposures, and lifestyle influences. Genetic variations within individuals can significantly affect the composition and function of the respiratory microbiota, thereby influencing susceptibility to asthma and the severity of its manifestations. Environmental factors, including allergen exposure, air pollution, and dietary habits, further shape the respiratory microbiota composition and immune responses, contributing to the complex pathogenesis of asthma.

Despite advancements in our understanding, the precise mechanisms underlying the microbiota-asthma relationship remain incompletely understood, necessitating comprehensive research endeavors to elucidate these intricate interactions. Studies exploring the dynamics of microbial communities in asthmatic individuals have revealed alterations in microbial diversity, abundance, and composition compared to healthy controls [101]. However, the causal relationships between specific microbial taxa and asthma development or exacerbation require further investigation to decipher the underlying mechanisms driving these associations.

Microbiota modulation holds considerable promise as a novel therapeutic approach for asthma management. Recent studies have demonstrated the effects of probiotics, including intratracheal and intranasal injections of *Lactobacillus rhamnosus* and *Lactobacillus fermentum*, as well as *Lactobacillus paracasei*, *Lactobacillus salivarius*, and *Lactobacillus brevis*, on various lung diseases such as infections and cancer. These findings suggest that these probiotics can directly colonize the lungs. However, the application of this therapy in the treatment of asthma has yet to be investigated [102-104]. By targeting dysbiotic microbial communities and promoting the growth of beneficial microorganisms, microbiota-based interventions aim to restore microbial balance within the respiratory tract and alleviate asthma symptoms. Probiotics, prebiotics, and dietary

interventions represent potential strategies for modulating the respiratory microbiota and mitigating asthma-related inflammation and AHR. Additionally, interventions targeting the gut-lung axis, such as fecal microbiota transplantation and respiratory microbial colonization through intratracheal and intranasal injection, offer innovative avenues for manipulating microbial communities to improve asthma outcomes. However, the translation of microbiota-based interventions into clinical practice faces several challenges. Interindividual variability in microbiota composition and treatment response poses a significant obstacle, necessitating the development of personalized treatment strategies tailored to individual patient profiles. Furthermore, the safety, efficacy, and long-term effects of microbiota-based therapies require rigorous evaluation through well-designed clinical trials to establish their utility and inform evidence-based clinical practice guidelines [105, 106].

Looking ahead, advancements in technology and our evolving understanding of disease pathophysiology offer opportunities for innovative asthma treatment. Gene editing techniques such as CRISPR/Cas9 offer the potential for precise interventions by targeting specific genetic mutations associated with asthma. Immunomodulatory therapies and stem cell-based approaches provide additional avenues for achieving sustained disease control and improving patient outcomes. By integrating these cutting-edge technologies with a deeper understanding of respiratory microbiota, we can envision a future where personalized, targeted therapies transform asthma management, enhancing patients' quality of life and long-term health [107]. Continued research efforts and collaboration across disciplines will be essential to realize this vision and address the unmet needs of asthma patients worldwide.

In conclusion, the relationship between respiratory microbiota and asthma represents a complex and dynamic interplay influenced by genetic, environmental, and lifestyle factors. While microbiota-based interventions hold promise for revolutionizing asthma management, several challenges must be addressed before their use in clinical practice.

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## Disclosure of conflict of interest

None.

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