

## Original Article

# Predictive efficacy of combined tumor markers and gastrin for recurrence after endoscopic submucosal dissection in early gastric cancer patients

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**Abstract:** Objective: This study aims to evaluate the predictive value of tumor markers combined with gastrin for tumor recurrence after endoscopic submucosal dissection (ESD) in patients with early gastric cancer. Methods: The clinicopathological data of 169 patients with early gastric cancer treated with ESD between March 2019 and January 2021 were retrospectively analyzed. The patients were divided into a relapse group (n=45) and a non-recurrence group (n=124). Clinical data such as carcinoembryonic antigen (CEA), cancer antigen 19-9 (CA19-9), alpha-fetoprotein (AFP), gastrin 17, pepsinogen I and pepsinogen II, as well as tumor size and degree of infiltration were examined to construct a recurrence prediction model using lasso regression. Results: The comprehensive model showed superior predictive power (AUC=0.958, C-index=0.966) over biomarker-only models (AUC=0.925), indicating a significant improvement in the prediction of recurrence risk. Decision curve analysis confirmed the clinical utility of the model with a maximum net benefit of 73.37%. Key indicators such as CEA, CA19-9, AFP, gastrin 17 and pepsinogens I and II were statistically significant in predicting recurrence with *P* values < 0.01. Conclusion: The comprehensive model combining tumor markers with clinical data provides a more accurate and clinically valuable tool for predicting recurrence in early gastric cancer patients after ESD. This approach facilitates personalized risk assessment and may significantly improve prognostic management, emphasizing the importance of a multifaceted strategy in the management of early gastric cancer.

**Keywords:** Tumor marker, gastrin, early gastric cancer, endoscopic submucosal dissection, recurrence, prediction

## Introduction

Stomach cancer ranks the fifth most common cancer and the fourth leading cause of cancer death worldwide [1]. Particularly in China, it is the second most prevalent cancer and a leading cause of cancer mortality [2]. Early detection is crucial for improving survival rates; however, the asymptomatic nature of early-stage gastric cancer poses substantial challenges. Gastric cancer at its early stage remains confined to the mucosal or submucosal layer, without directly affecting lymph node metastasis, highlighting the complexity of its management [3]. Thanks to increased health awareness and advancements in screening techniques, the detection rates for early gastric cancer have improved significantly. Endoscopy, in particular,

has emerged as a key diagnostic and therapeutic tool with demonstrated efficacy and cost-effectiveness, especially in East Asia - as evidenced by countries such as South Korea and Japan, where national gastric cancer screening programs have significantly reduced mortality rates [4]. However, the post-treatment landscape, particularly the risk of recurrence after endoscopic submucosal dissection (ESD), requires a more evolved focus. As focus shifts beyond detection, predictive modeling of recurrence in early gastric cancer patients after ESD is critical for enhancing treatment outcomes and patient management.

Endoscopic surgery, including endoscopic mucosal resection (EMR) and endoscopic mucosal dissection (ESD), offers several advan-

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tages over traditional surgical procedures, such as being less invasive, fewer postoperative complications, shorter recovery times, lower healthcare costs, and improved quality of life. Importantly, long-term outcomes are comparable to traditional surgical methods, with five-year survival rates often exceeding 90% [5]. Consequently, many global gastric cancer treatment guidelines recommend endoscopic surgery as the preferred treatment strategy for early gastric cancer. However, despite the effectiveness of ESD, its associated high rate of heterochronic recurrence needs to be addressed [6]. Studies have shown that recurrence usually occurs near the original tumor site, highlighting the need to assess and predict possible risk factors for recurrence [7-9]. A thorough understanding of these risk factors will help develop personalized surveillance plans and treatment strategies to reduce the recurrence risk and improve treatment outcomes.

Recent advances in data analytics have led to the emergence of many predictive models across various fields, providing decision support and predictive [10]. While studies have shown that models based on a single metric can provide high predictive accuracy [11, 12], comparisons with models utilizing more comprehensive datasets, particularly those incorporating clinical data (pathological data and clinical outcomes) are less common. Our study aims to investigate whether the combined use of biomarkers and clinical information is superior to models using only a single indicator in terms of predictive accuracy and clinical application value. Through this comparison, we aim to identify a more accurate and practical model to improve prognostic assessment and disease management, ultimately improving patient outcomes and quality of life.

### Methods and data

#### *Clinical data*

In this retrospective study, patients with early gastric cancer who were treated in The First People's Hospital of Xianyang from March 2019 to January 2021 were included as the study subjects. This study was conducted with the approval of The First People's Hospital of Xianyang Medical Ethics Committee.

#### *Inclusion exclusion criteria*

Inclusion criteria: patients with a postoperative diagnosis of gastric cancer confirmed by pathological examination; patients at early cancer stage [13]; patients who were treated with ESD; and patients with complete follow-up data.

Exclusion criteria: those with combined cardiac, hepatic, or renal dysfunction; those with other malignant neoplastic diseases or infectious diseases; those who had received immunologic, hormonal, or antibiotic treatment 4 weeks prior to enrollment; and those with other difficult-to-control endocrine or immunologic diseases (**Figure 1**).

#### *Definition of relapse*

Relapse is defined as the emergence of new cancerous tissue, confirmed by endoscopy and biopsy. This includes cancerous lesions found at the margins of the original ESD resection or within the area of the original resection [11].

#### *Patient grouping*

According to the inclusion-exclusion criteria, we collected 169 cases that met the criteria for this study. According to the definition of recurrence, these patients were categorized into a relapse group (n=45) and a non-recurrence group (n=124).

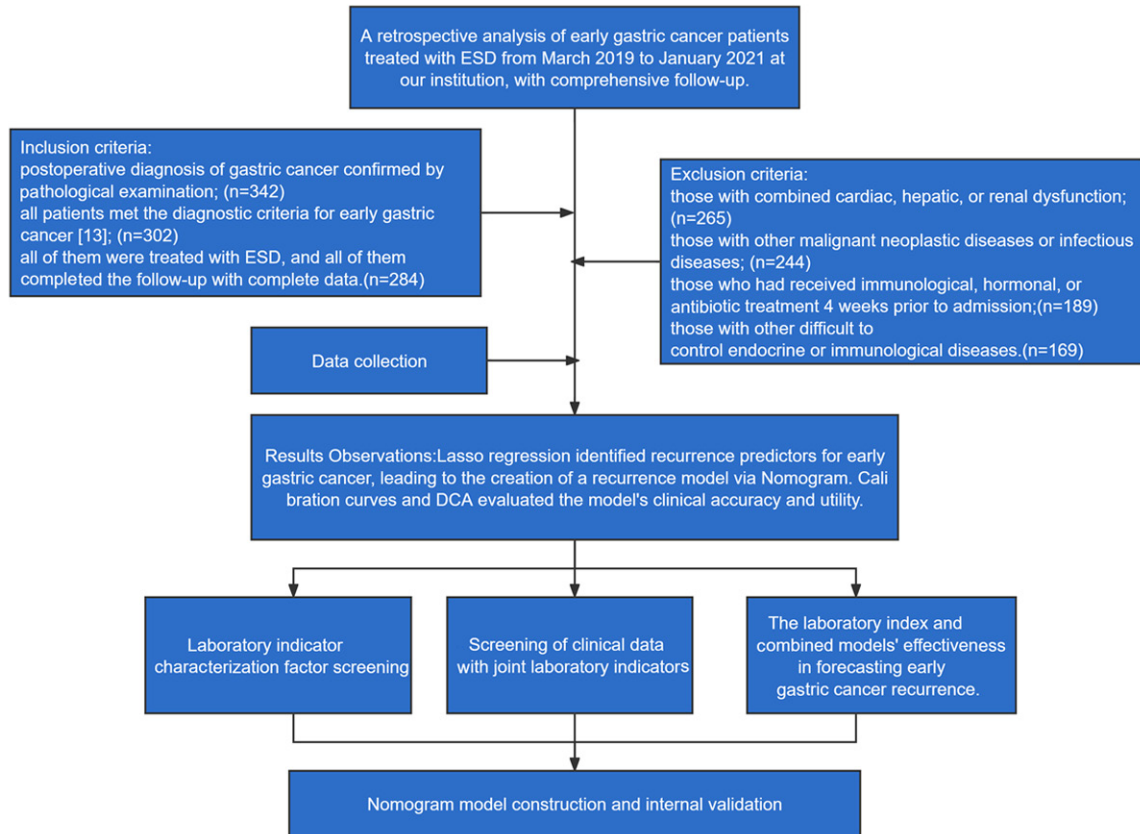
#### *Data collection*

Baseline information and laboratory values were collected from patient follow-up records, outpatient review records, and electronic medical record systems. Baseline data included gender, age, history of smoking, alcohol consumption, diabetes, hypertension, lymph node metastasis, clinical stage, tumor size, and degree of infiltration. Laboratory parameters included carcinoembryonic antigen (CEA), cancer antigen 19-9 (CA19-9), alpha-fetoprotein (AFP), gastrin 17, pepsinogen I, and pepsinogen II, all of which are commonly used for gastric cancer screening in The First People's Hospital of Xianyang. In addition, the patient's examination data were obtained on day 1 after admission.

#### *Indicator test methods*

Serum gastrin 17 (MB-1448B), pepsinogen I (MB-15603A) and pepsinogen II (MB-15605B)

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**Figure 1.** Research flowchart.

were detected by enzyme-linked immunosorbent assay (ELISA), and the test kits were purchased from Jiangsu Enzymatic Bio-technology Co. CA199, CEA and AFP were detected by electrochemiluminescence, the instrument was Roche CO-BAS6000 biochemical analyzer, and the reagents were provided by Roche.

## Follow-up

Gastroscopy was performed at 1, 6, 12, 24, and 36 months postoperatively to monitor for recurrence.

## Observation of outcomes

Primary outcomes: Lasso regression was used to analyze the factors predicting recurrence in both the laboratory indicator model and the combined model. A nomogram was utilized to construct the recurrence prediction model, with calibration curves and decision curves (DCA) assessing the model's clinical accuracy and benefit rate.

Secondary outcomes: Differences in baseline data and laboratory index results were compared between the relapse group and the non-recurrence group.

## Statistical analysis

Data were processed using SPSS 26.0 software. Data distribution was analyzed using the K-S test. Measurement data conforming to normal distribution was expressed as mean  $\pm$  standard deviation (Meas  $\pm$  SD); and the intergroup comparisons were conducted using the independent samples t-test, while the intragroup comparisons were made using the paired t-test, denoted by t. Non-normally distributed data were displayed by the interquartile range and tested using a non-parametric test, denoted by Z. Count data were compared using the  $\chi^2$  test. Lasso regression was used to screen factors indicative of recurrence, and receiver operating characteristic (ROC) curves were used to analyze the clinical efficacy of the model and to plot the area under the curve (AUC). AUC values ranged from 0 to 1, with 1

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**Table 1.** Comparison of baseline data

Factor	Relapse group (n=45)	Non-recurrence group (n=124)	$\chi^2$ -value	P-value
<b>Gender</b>				
Male	24	63	0.084	0.771
Female	21	61		
<b>Age</b>				
≥ 60 years	34	100	0.521	0.470
< 60 years	11	24		
<b>Smoking history</b>				
Yes	7	16	0.198	0.657
No	38	108		
<b>History of alcohol abuse</b>				
Yes	12	25	0.817	0.366
No	33	99		
<b>History of diabetes</b>				
Yes	10	35	0.609	0.435
No	35	89		
<b>History of hypertension</b>				
Yes	11	38	0.617	0.432
No	34	86		
<b>Clinical staging</b>				
Yes	34	74	3.609	0.057
No	11	50		
<b>Tumor size</b>				
≥ 5 cm	36	56	16.157	< 0.001
< 5 cm	9	68		
<b>Degree of infiltration</b>				
Submucosal	11	63	9.322	0.002
Intramucosal	34	61		

indicating a perfect model and 0.5 a non-discriminatory model. Delong's test was used to determine differences between ROC curves.  $P < 0.05$  was considered with statistical difference.

### Results

#### *Comparison of clinical data*

Comparison of baseline data between the two groups showed that the proportions of patients with tumors  $\geq 5$  cm and with an intramucosal infiltration were higher in the relapse group than in the non-recurrence group (all  $P < 0.01$ , **Table 1**).

#### *Comparison of laboratory indicators*

Comparison of the laboratory indices between the two groups revealed that CEA, CA199, AFP, gastrin 17, pepsinogen I, and pepsinogen II

were statistically higher in the relapse group than those in the non-recurrence group (all  $P < 0.01$ , **Table 2**).

#### *Screening of factors characterizing laboratory indicators*

Using Lasso regression, we screened six laboratory-related indicators in recurrent patients. The lambda value was set at 0.1 se (0.057335), showing that all 6 indicators (CEA, CA199, AFP, gastrin 17, pepsinogen I, and pepsinogen II) were associated with recurrence in patients with early gastric cancer (**Figure 2**).

#### *Screening of clinical data with joint laboratory indicators*

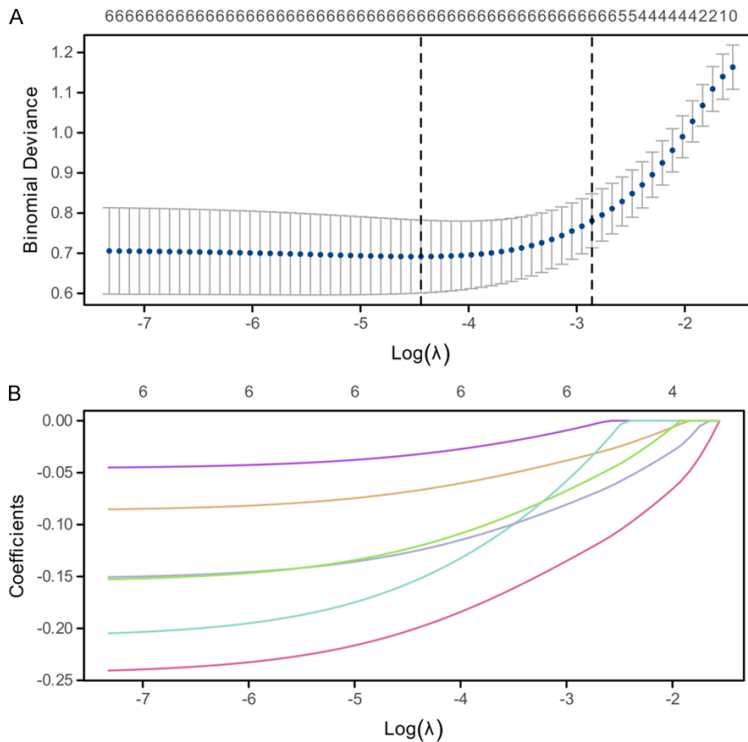
We performed a Lasso regression analysis on 16 characteristics combining laboratory-related indicators and clinical data of recurrent

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**Table 2.** Comparison of laboratory indicators

Norm	Relapse group (n=45)	Non-recurrence group (n=124)	t-value	P-value
CEA (µg/L)	86.24±8.56	78.18±8.00	5.502	< 0.001
CA199 (U/mL)	93.29±10.50	86.29±11.29	3.752	< 0.001
AFP (µg/L)	10.19±2.82	8.68±2.32	3.212	0.002
Gastrin 17 (pmol/L)	28.55±4.68	23.05±4.44	6.841	< 0.001
Pepsinogen I (µg/L)	34.73±6.10	28.66±5.66	5.827	< 0.001
Pepsinogen II (µg/L)	17.96±5.23	13.11±5.53	5.241	< 0.001

Note: CEA, Carcinoembryonic Antigen; CA19-9, Cancer Antigen 19-9; AFP, Alpha-Fetoprotein.



**Figure 2.** Factor analysis of laboratory indicators for recurrence prediction. A. Lasso paths for feature selection. Each point indicates the model deviation from its baseline performance at different values of  $\lambda$ . The black dashed line marks the value of  $\lambda$  for the optimal model selection. B. Variation of variable coefficients with regularisation parameter  $\lambda$ . Each curve represents the coefficient of a variable as  $\lambda$  increases.

patients. The lambda value was set at 0.1 se (0.039519), showing that all 8 (CEA, CA199, AFP, gastrin 17, pepsinogen I and pepsinogen II, tumor size, and degree of infiltration) indices were associated with recurrence in patients with early gastric cancer (**Figure 3**).

### Predictive value of a laboratory indicator model and combined model in predicting patients with early gastric cancer recurrence

We constructed the laboratory indicator and joint models based on lasso regression. Labo-

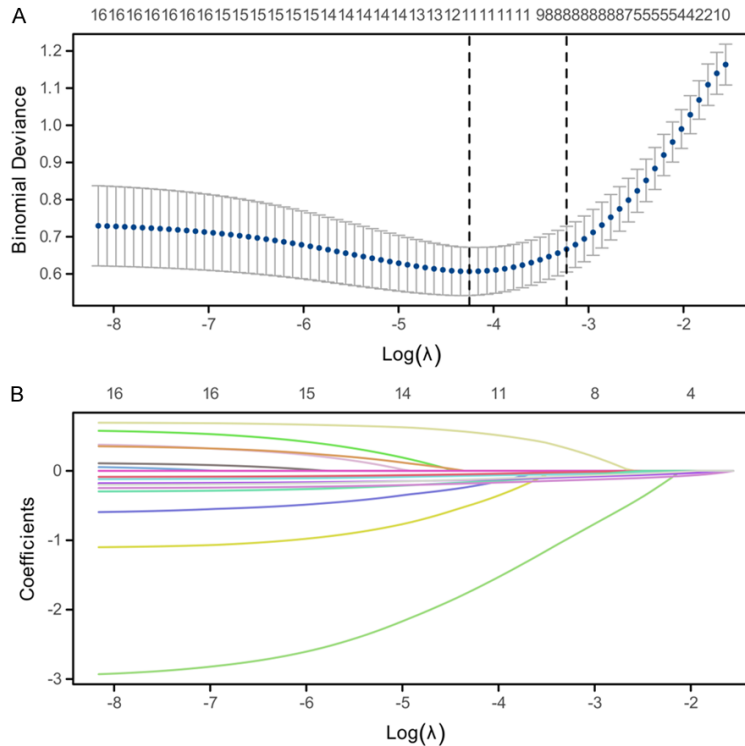
ratory index model:  $Riks = 11.2546847 + CEA \times -0.034765536 + CA199 \times -0.006104295 + AFP \times -0.044313554 + Gastrin17 \times -0.127225202 + Pepsinogen\ I \times -0.074936115 + Pepsinogen\ II \times -0.060496374$ . Combined model formula:  $Riks = 14.74828518 + tumour\ size \times -0.940422867 + degree\ of\ infiltration \times 0.302826963 + CEA \times -0.044950287 + CA199 \times -0.018649921 + AFP \times -0.073484088 + gastrin\ 17 \times -0.134067176 + Pepsinogen\ I \times -0.088991936 + Pepsinogen\ II \times -0.074111522$ . It was found that the laboratory index model scores and the combined model scores of patients in the relapse group were statistically lower than those of patients in the non-recurrence group ( $P < 0.0001$ , **Figure 4A, 4B**). To further determine the difference between the two models, we compared them using

ROC curves. The results showed that the AUC of the laboratory index model was 0.925, whereas the AUC of the combined model was 0.958 ( $P=0.011$ , **Figure 4C**).

### Nomogram model construction and internal validation

With the results of Lasso regression analysis and ROC curve analysis, we constructed a nomogram comprising tumor size, degree of infiltration, CEA, CA199, AFP, Gastrin 17, and levels of Pepsinogen I & II (**Figure 5A**). This

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**Figure 3.** Factor analysis of clinical data combined with laboratory indicators for recurrence prediction. A. Lasso path for feature selection. Each point indicates the model deviation from its baseline performance at different values of  $\lambda$ . The black dashed line marks the value of  $\lambda$  for the optimal model selection. B. Variation of variable coefficients with regularisation parameter  $\lambda$ . Each curve represents the coefficient of a variable as  $\lambda$  increases.

nomogram model provided highly accurate prediction, with an AIC of 85.592, indicating a good model fit, and a C-index of 0.966, with a confidence interval of 0.939 to 0.993, indicating that the model had a very high discriminative power (**Figure 5B**). In addition, using decision curve analysis (DCA), the model showed a net benefit of up to 73.37% at different thresholds compared to no intervention, reflecting the potential application of the model in clinical decision making (**Figure 5C**).

### Discussion

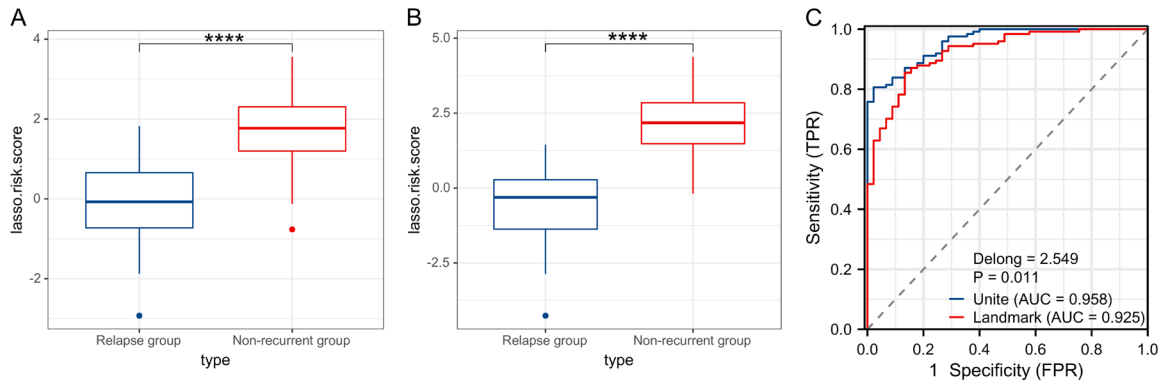
With economic development and increased health awareness, an increasing number of gastric cancer patients are being diagnosed at an early stage, leading to a rise in the adoption of endoscopic resection [14]. This approach has become the standard treatment for early gastric cancer in Korea and Japan. It is also recommended by international guidelines such as the European Society for Gastrointestinal

Endoscopy and the National Comprehensive Cancer Network due to its advantages of minimal trauma, rapid recovery, low complications, short hospital stay, and high post-operative quality of life [15]. However, because endoscopic resection preserves the entire stomach, there is a risk of heterochronic gastric cancer developing during the postoperative period. Despite the improvement of endoscopic treatment in China and the increasing popularity of endoscopic surgery for early gastric cancer, the definitive risk factors for heterochronic gastric cancer remain unclear.

Recent advances in data analytics have catalyzed the development of numerous predictive models that provide decision support and predictive insights, particularly for tumor recurrence prediction [16]. Research suggests that models based on individual metrics can achieve high predictive

accuracy. For example, Shan et al. found that combining serum tumor markers with dual-source CT significantly improved diagnostic accuracy for lung cancer [17]. Similarly, Hufnagel et al. suggested that using machine learning to select a specific set of proteins could be a predictive tool for assessing the severity of early COVID-19 progression [18]. In addition, Soeda et al. found that certain microRNAs could serve as biomarkers for predicting peritoneal recurrence and prognosis in stage II/III gastric cancer patients [19]. However, these investigations often overlook the comparison of such models with those incorporating a broader range of information, particularly clinical data. Our results underscore that the AUC for models relying solely on indicators was significantly lower than that of combined models, suggesting that while individual metrics may provide some predictive accuracy, integration with clinical data significantly improves model accuracy [20, 21].

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**Figure 4.** Clinical efficacy assessment of the laboratory biomarker model and the combined model. A. Comparison of per-patient scores based on the biomarker model. B. Comparison of per-patient scores based on the combined model. C. Comparison of ROC curves between biomarker model and combined model.

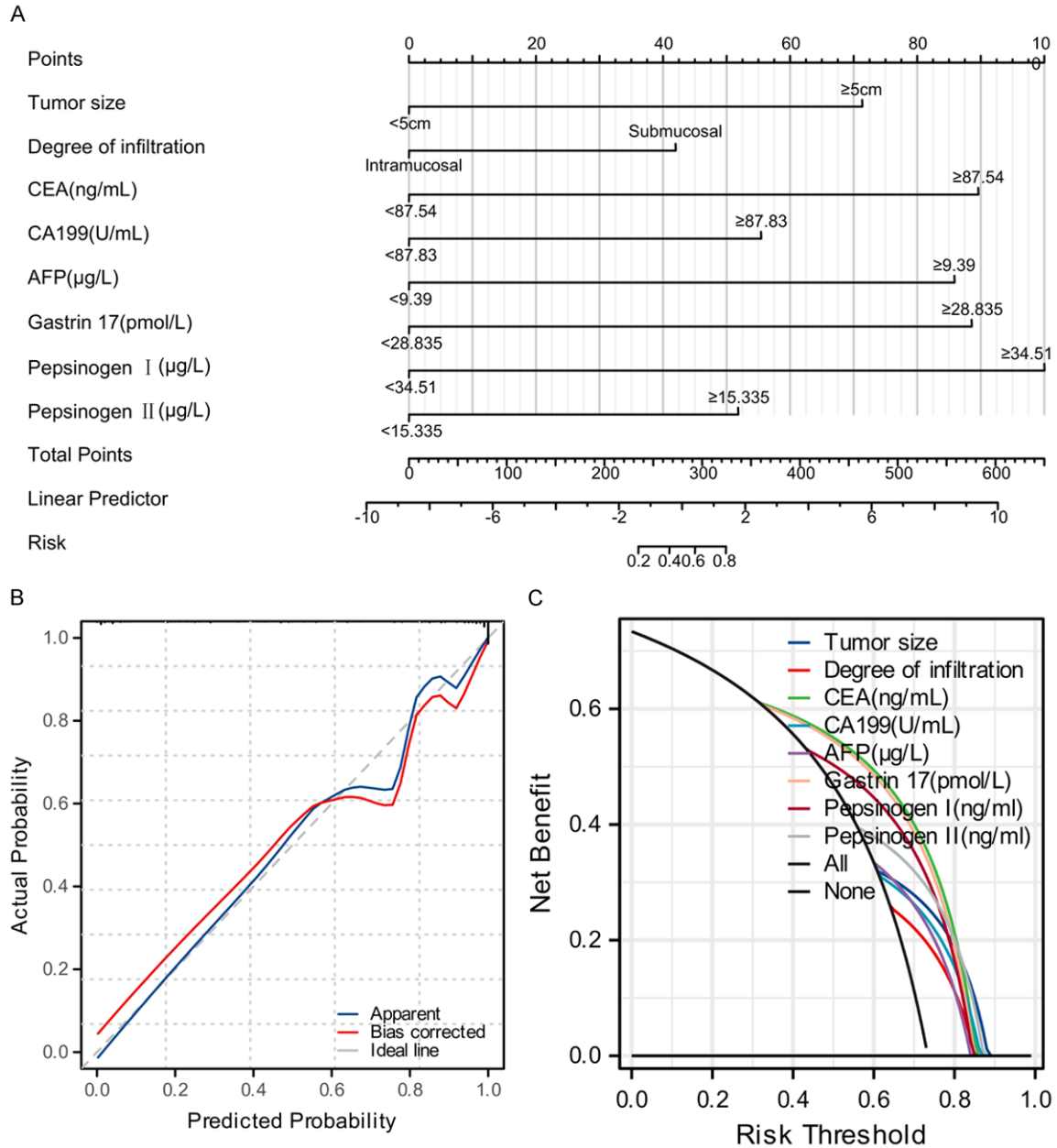
This improvement is likely due to the fact that clinical data provides a more comprehensive view of the disease, enabling more accurate prediction of disease progression. While individual indicators may reflect only one aspect of the disease, where incorporating clinical data introduces a multi-dimensional perspective, taking into account various factors to refine the model's ability to generalize and the accuracy of predictions [22]. For example, while a tumor marker may indicate the presence of cancer, integrating this with clinical insights such as tumor size, location, and genetic information can lead to a more accurate prediction of treatment response and disease prognosis [23]. Thus, optimizing the effectiveness of a predictive model necessitates constructing a diversified model that incorporates both laboratory and clinical patient characteristics, thereby improving clinical decision support and facilitating personalized treatment recommendations.

The nomogram model excels at translating complex statistical analyses into an intuitive and straightforward graphical representation, which greatly enhances the interpretability and usability of the model [24]. This model integrates various factors, assigns a score to each, and calculates a patient's disease risk or prognosis by aggregating these scores. This approach facilitates a rapid assessment of a patient's condition by clinicians and provides an individualized risk assessment that can guide specific clinical decisions. In our research, the Nomogram model demonstrated exceptional accuracy and utility in predicting the recurrence risk in patients with early gastric

cancer by integrating clinical and laboratory data [25]. The model was analyzed by Lasso regression analysis and ROC curve analysis, and finally CEA, CA199, AFP, gastrin 17, pepsinogen I and pepsinogen II, tumor size and degree of infiltration were selected as risk factors for recurrence after ESD in early gastric cancer. According to the results, we can analyze the risk factors into two parts: pathological data and laboratory indicators. First, from the perspective of pathological data, tumor size and depth of infiltration are important factors affecting recurrence. Tumor size reflects its growth rate and invasiveness, and larger tumors may cover a larger area of gastric mucosa, which may retain tumor cells even after ESD treatment, increasing the risk of recurrence [9, 26]. Similarly, the depth of infiltration indicates the extent to which tumor cells penetrate the gastric wall, and deeper infiltration may involve more lymphatic and blood vessels, facilitating the spread of tumor cells and the likelihood of recurrence [27]. In addition, laboratory markers, such as gastrin-related markers, CEA, CA19-9, and AFP, provide a direct indication of tumor burden and risk of recurrence [28-30]. Elevated levels of these biomarkers tend to be strongly associated with tumor activity, increased burden, and recurrence potential.

Based on this, we constructed the nomogram model, which performed well based on the AIC and C-indices, and demonstrated its potential for clinical decision support. Previously, a study by Bae et al. [31] constructed a predictive model for extragastric recurrence after radical resection of early gastric cancer, and their

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**Figure 5.** Nomogram model construction and internal validation. A. Nomogram showing the contribution of the different variables to the final risk prediction, where the score for each variable can be read directly from the graph and accumulated to obtain a total score, which is then converted to a predicted probability. B. Calibration curves, where the agreement between the probabilities predicted by the model and the actual observed probabilities is represented by the blue line, which is closer to the ideal case (red line). C. Net benefit of using the model compared to no strategy under different threshold choices.

model had an AUC of 0.851 in predicting recurrence in patients. In addition, Okuno et al. [32] constructed a model for recurrence prediction of early gastric cancer by liquid biopsy characterization, reported an AUC of 0.860. Our model, with an AUC of 0.958, indicates higher accuracy and reliability in predicting postopera-

tive recurrence in patients with early gastric cancer, underscoring its superiority and potential in clinical application.

The choice of Lasso regression over logistic regression to construct our predictive model was primarily due to its superior performance



in handling high-dimensional data containing numerous predictor variables. Lasso introduces a regularization term that effectively performs variable selection, emphasizing variables that contribute most significantly to the prediction target while minimizing the impact of less relevant variables. This method helps prevent overfitting and improves the generalization ability of the model [33, 34]. Our comparative analysis showed that the combined model integrating clinical data significantly outperformed models solely based on laboratory indicators alone in predicting the risk of recurrence for early gastric cancer patients, as evidenced by a higher AUC. This suggests that while laboratory indicators may provide some predictive accuracy, the predictive ability of the model is greatly enhanced by the combination of clinical data, providing more accurate and personalized support for clinical decision making.

This study represents a step forward in predicting the recurrence risk in early gastric cancer patients, albeit with several limitations. Conducted as a single-center retrospective analysis, the study design inherently carries the potential for information and selection bias, thereby limiting the broad applicability of its findings. Although it made strides in improving predictive accuracy by incorporating clinical data and laboratory markers, it may not have fully accounted for all relevant predictive factors, including lifestyle and genetic determinants. The modest sample size and the single-center nature of the study underscore the need to confirm the findings in a larger population and in multiple centers. Future research should aim to increase the cohort size, integrate a more comprehensive set of predictors, and use a multicenter approach to strengthen the model's accuracy and clinical utility.

### Conclusion

In our research, we carefully evaluated and compared the effectiveness of predictive models that use either biomarkers or a comprehensive integration of clinical data in predicting disease outcomes. The results of our study clearly indicate that the holistic model, which integrates both biomarkers and comprehensive clinical information, outperforms its counterparts that solely rely on laboratory indicators. This integrated approach not only achieves

superior accuracy in predicting disease progression, but also significantly advances the prognostic evaluation and management of disease. As a result, it helps to significantly improve patient outcomes and overall quality of life.

### Disclosure of conflict of interest

None.

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