

## Original Article

# FOLFOX-HAIC combined with PD-1 inhibitor immunotherapy significantly improves survival in patients with advanced hepatocellular carcinoma

Jinzheng Xu<sup>1</sup>, Weijun Wang<sup>1</sup>, Keji He<sup>1</sup>, Haijun Tang<sup>2</sup>, Shuxiu Niu<sup>2</sup>, Zhenjin Ma<sup>2</sup>

<sup>1</sup>Hepatobiliary and Pancreatic Surgery, Sun Yat-sen University Cancer Center Gansu Hospital, No. 2 Xiaoxihu East Street, Qilihe District, Lanzhou 730000, Gansu, China; <sup>2</sup>Pharmacy Department, Dingxi People's Hospital, No. 22 Anding Road, Anding District, Dingxi 743000, Gansu, China

Received December 16, 2024; Accepted March 10, 2025; Epub April 15, 2025; Published April 30, 2025

**Abstract:** Objective: To evaluate the effect of FOLFOX-HAIC combined with PD-1 inhibitor immunotherapy on the survival of patients with advanced hepatocellular carcinoma (HCC). Method: This retrospective study analyzed 137 patients with advanced HCC, of which 71 received FOLFOX-HAIC chemotherapy (control group) and 66 received FOLFOX-HAIC combined with PD-1 inhibitors (research group) between January 2020 and August 2021. Propensity score matching (PSM) was employed to account for confounding variables. Cox regression analysis was utilized to identify independent risk factors that sabotaged patients' survival, and Kaplan-Meier curves were applied to demonstrate patients' overall survival (OS). Results: A significantly higher disease control rate (DCR) was observed in the research group than that in the control group (77.27% vs. 60.56%,  $P = 0.035$ ). Prior to PSM analysis, the OS of patients in the research group was calculated to be 25 months, which was significantly higher than the 14 months in the control group ( $P = 0.015$ ). While post PSM analysis, the median OS turned out to be 27 months in the research group, still significantly higher in comparison to the control group ( $P = 0.001$ ), whose OS was 14 months. By multivariate analysis, the maximum tumor diameter, Eastern Cooperative Oncology Group Performance Status score, and treatment regimen were identified as independent factors affecting patients' prognosis. Conclusion: FOLFOX-HAIC combined with PD-1 inhibitor immunotherapy can significantly prolong the survival in patients with advanced HCC. Apparently, this combined therapy is advantageous at extending patient's survival time in comparison to the use of FOLFOX-HAIC therapy alone.

**Keywords:** FOLFOX-HAIC, PD-1 inhibitor, middle and advanced liver cancer, survival, targeted immunotherapy

## Introduction

Primary liver cancer is one of the most common malignant tumors worldwide, with hepatocellular carcinoma (HCC) being the most prevalent subtype, accounting for up to 80% of all liver cancer cases [1]. According to the 2020 Global Cancer Statistics, liver cancer was the second most prevalent cause of cancer-related mortality, rising from third place in 2018 [2], with over 900,000 incident cases and approximately 830,000 deaths recorded annually. What's worse, among these liver cancer-associated incident cases and deaths, approximately half of them occur in China. This malignancy is ranked as the fifth leading cause of death in China and the most lethal of all malignant

tumors [3, 4]. Liver cancer often occurs insidiously and progresses rapidly. Most patients, once diagnosed, are already at an advanced stage, accompanied by serious conditions such as viral hepatitis and cirrhosis, leading to reduction in surgical rates and increases in treatment burden; most importantly, with a five-year survival rate of less than 10% [5, 6]. Therefore, middle- and late-stage liver cancer poses a significant threat to public health, making it essential to explore effective treatment plans to improve survival rates and quality of life for liver cancer patients.

For patients with early-stage HCC, radical treatment options such as liver transplantation, local ablation, or surgical resection can be pur-

sued. However, even after curative treatments, the recurrence rate of HCC remains as high as 80% [7]. For HCC patients who do not fit surgery or other radical treatments, Transarterial Chemoembolization (TACE) and systemic anti-tumor therapies are the primary options for treatment. These treatments aim to control disease progression, alleviate symptoms, and extend patients' survival as long as possible [8]. FOLFOX regimen (oxaliplatin + leucovorin calcium + 5-fluorouracil) combined with Hepatic Artery Infusion Chemotherapy (FOLFOX-HAIC) is an endovascular intervention that delivers chemotherapeutics directly into the feeding artery of liver tumors via an indwelling arterial catheter or fully implantable catheter system. This combined therapy has proved to be safe and effective in the treatment of advanced HCC [9]. Programmed Death-1 (PD-1) is an immune checkpoint receptor expressed in immune cells such as T cells and B cells, while PD-L1 (ligand of PD-1) is expressed in various tissue cells, including tumor tissue cells. The binding of PD-L1 to PD-1 is a major mechanism for tumors to escape from immune protection within human bodies. Hence, the inhibitors of PD-1 and PD-L1 can intervene in this mechanism by disrupting their interactions, thereby activating T cells and restoring the immune system's ability to target tumor cells [10, 11]. PD-1 inhibitors used in clinical practices include Nivolumab, Pembrolizumab, and Cemiplimab. These drugs have shown promise in disrupting the PD-1/PD-L1 interaction and restoring immune cell activity against tumor cells, making them a key component of tumor immunotherapy [12, 13].

This study retrospectively analyzed the effect of FOLFOX-HAIC combined with PD-1 inhibitors on survival in patients with advanced HCC, with hope to provide valuable insights into an effective treatment strategy that could significantly improve clinical outcomes and guide therapeutic approaches for patients with advanced HCC.

### Methods and materials

#### *Case selection*

This study is a retrospective analysis of 137 patients with intermediate or advanced HCC who were either non-stable or refused surgical resection, visiting our hospital between January 2020 and August 2021. Among them, 71 patients with intermediate or advanced HCC

who received FOLFOX-HAIC alone were designated as the control group, and the remaining 66 patients undergoing FOLFOX-HAIC combined with PD-1 inhibitor targeted immunotherapy were designated as the research group. This study was approved by the Medical Ethics Committee of Sun Yat-sen University Cancer Center Gansu Hospital.

**Inclusion Criteria:** (1) Diagnosis of HCC confirmed by clinical evaluation or histopathological examination, in accordance with the European Association for the Study of the Liver's clinical practice guidelines [14]; (2) Liver function classified as A or B according to the Child-Pugh classification; (3) Eastern Cooperative Oncology Group Performance Status (ECOG PS) scores between 0 and 2 [15]; (4) At least one measurable intrahepatic lesion; (5) No history of organ transplantation or immunodeficiency diseases; (6) Patients with severe underlying conditions (e.g., heart, brain, lung, or renal insufficiency).

**Exclusion Criteria:** (1) Previous systemic treatments or radiation therapy; (2) Other malignancies or symptomatic brain metastases; (3) Autoimmune diseases or other immune system disorders; (4) Incomplete follow-up or missing data; (5) Allergy or intolerance to the study medication.

#### *Therapeutic regimen*

Patients in the control group received FOLFOX-HAIC alone. The treatment procedures were as follows: Patients were initially sterilized and anesthetized locally with 2% lidocaine, after which their femoral arteries (or alternative) were punctured using the Seldinger technique. A 5F vascular sheath was inserted through the puncture with a 5FR catheter being guided into the celiac trunk artery and superior mesenteric artery to confirm the tumor's feeding artery via digital subtraction angiography. A microcatheter was then inserted into the feeding artery to perform the second angiography. Subsequently, the chemotherapy protocol was delivered: 85 mg/m<sup>2</sup> oxaliplatin via arterial pump for 2 hours, 400 mg/m<sup>2</sup> leucovorin calcium over 1 hour, 400 mg/m<sup>2</sup> 5-fluorouracil as an arterial bolus, and 2400 mg/m<sup>2</sup> 5-fluorouracil for 46 hours via arterial infusion. The treatment was repeated every 3 weeks.

**Table 1.** mRECIST

Category	mRECIST Criteria Description
CR	All target lesion enhancements are visible during the arterial phase and have completely disappeared.
PR	The sum of the diameters of the target lesion enhancements during the arterial phase and has decreased by $\geq 30\%$ .
SD	The sum of the diameters of the target lesion enhancements during the arterial phase has decreased but not reached PR, or has increased but not reached PD.
PD	The sum of the diameters of the target lesion enhancements during the arterial phase has increased by $\geq 20\%$ or new lesions have appeared.

Note: mRECIST, Modified Response Evaluation Criteria in Solid Tumors; CR, Complete Response; PR, Partial Response; SD, Stable Disease; PD, Progressive Disease.

Patients in the research group underwent the same FOLFOX-HAIC treatment as the control group in addition to PD-1 inhibitors. The four PD-1 inhibitors used in the study were cindilimab, cariluzumab, triprizumab, and tirellizumab. The recommended dose for cindilimab, cariluzumab, and tirellizumab was 200 mg per dose, administered intravenously every 3 weeks. Triprizumab was given at 3 mg/kg, intravenously every 2 weeks. The infusion process lasted 60 minutes, and patients were monitored for treatment-related adverse reactions, with adjustments or discontinuation required for significant adverse events or disease progression.

*Data collection*

*General data:* Basic information, including age, gender (male/female), body mass index (BMI), smoking history (yes/no), alcohol consumption history (yes/no), cirrhosis status (yes/no), maximum tumor diameter, ECOG PS score (0-1/2), and Child-Pugh grade (A/B), was obtained from both groups via the hospital's electronic medical record system.

*Laboratory data collection:* All patients underwent blood tests for serum alpha-fetoprotein (AFP), serum albumin (Alb), total bilirubin (TBil), alanine aminotransferase (ALT), and aspartate aminotransferase (AST).

*Follow-ups*

Patients underwent imaging examinations (CT or MRI) of the upper abdomen every 3-6 weeks after treatment. In addition, they were followed up by telephone calls, WeChat texting, and mandated hospital visits every 3 months. These follow-ups lasted for a total of 3 years and were discontinued either until the death of

patients or the study cut-off date (August 29, 2024).

*Therapeutic efficacy evaluation*

The first efficacy evaluation was conducted about one month after the completion of the first treatment, with subsequent evaluations carried out every 2-3 months until disease progression or patient death. Enhanced CT or MR imaging results before and after treatment were assessed using the Modified Response Evaluation Criteria in Solid Tumors (mRECIST) [16]. Responses to tumor treatment were categorized as Progressive Disease (PD), Stable Disease (SD), Partial Response (PR), and Complete Response (CR), as defined in **Table 1**. The key indicators in the efficacy assessment included Objective Response Rate (ORR), calculated as  $ORR = (CR + PR) / \text{total cases} \times 100\%$ , and Disease Control Rate (DCR), calculated as  $DCR = (CR + PR + SD) / \text{total cases} \times 100\%$ .

*Statistical methods*

Data analysis was performed using SPSS 26.00 and R 4.3.3 software. Continuous variables were assessed for normality using the Shapiro-Wilk test. Variables conforming to normal distribution were expressed as Mean  $\pm$  Standard Deviation and compared using the independent sample t-test. Non-normally distributed variables were expressed as median (interquartile range) and compared using the Mann-Whitney U test. Categorical data were expressed as frequencies and percentages [n (%)], with group comparisons conducted using the chi-square test. To control for potential confounders, propensity score matching (PSM) was applied using R software. The Cox propor-

## HAIC-PD1 combo improves HCC survival

**Table 2.** Analysis of the basic clinical characteristics in the two groups

Factor	Control group (n = 71)	Research group (n = 66)	t/ $\chi^2$	P
Age	59.94±6.47	56.48±5.97	3.246	0.001
Gender				
Male	57	54	0.053	0.819
Female	14	12		
BMI (kg/cm <sup>2</sup> )	22.39±3.01	21.84±2.91	1.098	0.274
Maximum tumor diameter (cm)	9.44±2.23	8.54±2.00	2.492	0.014
History of smoking				
Yes	54	53	0.361	0.548
No	17	13		
History of alcohol use				
Yes	56	53	0.043	0.836
No	15	13		
ECOG PS				
0-1 point	36	38	0.650	0.420
≥ 2 point	35	28		
Cirrhosis				
Yes	40	34	0.320	0.571
No	31	32		
AFP				
≥ 400 ng/mL	38	33	0.170	0.680
< 400 ng/mL	33	33		
Child-Pugh				
A	52	53	0.953	0.329
B	19	13		
ALT (IU/L)	55.22±8.90	54.57±9.84	0.405	0.686
AST (IU/L)	62.45±9.63	62.27±11.88	0.101	0.920
Alb (μmol/L)	42.48±6.51	40.78±6.41	1.539	0.126
TBil (g/L)	19.98±4.18	21.36±4.42	-1.874	0.063

Note: BMI, Body Mass Index; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; AST, Aspartate Aminotransferase; ALT, Alanine Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child-Pugh, Child-Pugh Score.

tional hazards model was used to analyze independent risk factors that affected patients' survival. Kaplan-Meier survival curves were generated, and group differences were compared using the Log-Rank test. Receiver operating characteristic (ROC) curves were used to assess the efficacy of independent prognostic factors. Statistical significance was set at  $P < 0.05$ .

### Results

#### Comparison of baseline data between the two groups

The mean age and maximum tumor diameter in the research group were significantly lower

than those in the control group ( $P = 0.001, 0.014$ ). However, there were no statistically significant differences between the two groups in terms of gender, BMI, histories of smoking and alcohol use, ECOG PS, cirrhosis status, AFP level, Child-Pugh grade, and the levels of ALT, AST, Alb, and TBil (all  $P > 0.05$ ). See **Table 2**.

#### Evaluation and analysis of therapeutic efficacies in the two groups

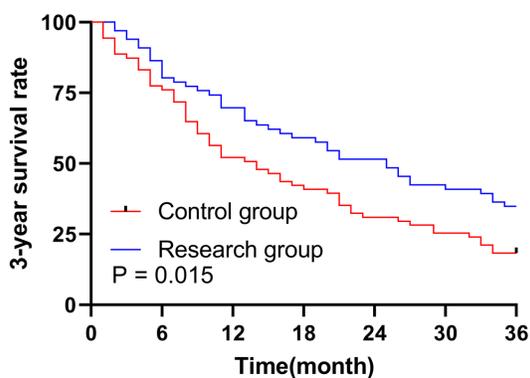
Using the mRECIST, the control group showed CR in 5 patients (7.04%), PR in 18 patients (25.35%), PD in 28 patients (39.44%), and SD in 20 patients (28.17%), with an ORR of 32.39%, and a DCR of 60.56%. In the research group, 9 patients achieved CR (13.64%), 23 patients PR

## HAIC-PD1 combo improves HCC survival

**Table 3.** Evaluation of therapeutic efficacy after treatment in both groups

Tumor response	Control group (n = 71)	Research group (n = 66)	$t/\chi^2$	P
CR	5 (7.04)	9 (13.64)	4.955	0.175
PR	18 (25.35)	23 (34.85)		
PD	28 (39.44)	15 (22.73)		
SD	20 (28.17)	19 (28.79)		
ORR	32.39%	48.49%	3.685	0.055
DCR	60.56%	77.27%	4.435	0.035

Note: CR, Complete Response; PR, Partial Response; PD, Progressive Disease; SD, Stable Disease; ORR, Overall Response Rate; DCR, Disease Control Rate.



**Figure 1.** Survival curve analysis of the 3-year overall survival in both groups.

(34.85%), 15 patients PD (22.73%), and 20 patients SD (28.79%), with an ORR of 48.49%, and a DCR of 77.27%.

Comparatively, the DCR in the research group was significantly better than that in the control group ( $P = 0.035$ ), while there was no significant difference in ORR between the two groups ( $P = 0.055$ ). See **Table 3**.

### Survival analysis

At the end of the 3-year follow-ups, 13 patients (18.31%) had survived and 58 patients (81.69%) died in the control group. The results from the research group were 23 (34.85%) and 43 (65.15%), respectively. Kaplan-Meier survival analysis revealed that the median overall survival (OS) of patients in the research group was 25 months, significantly higher than the 14 months in the control group ( $P = 0.015$ ). See **Figure 1**.

### Univariate and multivariate analyses of patients' survival

Cox regression analysis of survival in HCC patients revealed that age [Hazard Ratio (HR) = 0.963,  $P = 0.014$ ], gender (HR = 0.624,  $P = 0.042$ ), maximum tumor diameter (HR = 1.182,  $P < 0.001$ ), smoking history (HR = 0.431,  $P < 0.001$ ), ECOG PS scores (HR = 0.300,  $P < 0.001$ ), cirrhosis (HR = 3.406,  $P < 0.001$ ), AFP (HR = 4.318,  $P < 0.001$ ), Child-Pugh grade (HR = 0.462,  $P = 0.001$ ), ALT (HR = 1.064,  $P < 0.001$ ), AST (HR = 1.054,  $P < 0.001$ ),

Alb (HR = 0.919,  $P < 0.001$ ), TBil (HR = 1.086,  $P < 0.001$ ), and treatment regimen (HR = 0.616,  $P = 0.016$ ) were all significantly associated with patient survival. See **Table 4**. After adjusting for potential confounders, the maximum tumor diameter (HR = 1.004,  $P < 0.001$ ), ECOG PS score (HR = 0.662,  $P = 0.025$ ), AFP (HR = 1.831,  $P = 0.032$ ), and treatment regimen (HR = 0.737,  $P = 0.004$ ) were identified as independent prognostic factors for the prediction of patients' survival time. See **Table 5**.

### Independent prognostic factors for predicting patients' outcomes

ROC curve analysis for predicting the 3-year survival in patients after treatment showed that the maximum tumor diameter with a cutoff value of 8.17 cm was accurate in the prediction (AUC = 0.905, specificity = 86.11%, sensitivity = 81.19%, Youden index = 67.30%). The AFP level also performed well in predicting patients' survival time, with an AUC of 0.814, specificity of 94.44%, sensitivity of 68.32%, and Youden index of 62.76%. In contrast, ECOG PS scores demonstrated high specificity (94.44%) but low sensitivity (60.40%) and Youden index (54.84%) in terms of the prediction work. The treatment regimen was the least accurate in general among all the prognostic factors (AUC = 0.607, specificity = 63.89%, sensitivity = 57.43%, Youden index = 21.31%). See **Table 6** and **Figure 2**.

### Comparison of baseline data between the two groups after PSM

After PSM, there were no statistically significant differences between the groups in

## HAIC-PD1 combo improves HCC survival

**Table 4.** Univariate Cox regression analysis of risk factors affecting patients' outcomes

Factor	$\beta$	S.E.	P	HR	95% CI	
					Lower	Upper
Age	-0.038	0.015	0.014	0.963	0.935	0.992
BMI	-0.004	0.035	0.915	0.996	0.930	1.067
Gender	-0.472	0.232	0.042	0.624	0.395	0.984
Maximum diameter of tumor	0.249	0.042	0.000	1.283	1.182	1.392
History of smoking	-0.841	0.225	0.000	0.431	0.278	0.670
History of alcohol use	-0.409	0.229	0.074	0.664	0.424	1.041
ECOG PS	-1.204	0.214	0.000	0.300	0.197	0.456
Cirrhosis	1.226	0.228	0.000	3.406	2.180	5.321
AFP	1.463	0.227	0.000	4.318	2.765	6.742
Child Pugh	-0.772	0.222	0.001	0.462	0.299	0.715
ALT	0.062	0.011	0.000	1.064	1.042	1.087
AST	0.052	0.009	0.000	1.054	1.036	1.072
Alb	-0.084	0.017	0.000	0.919	0.890	0.950
TBil	0.129	0.024	0.000	1.137	1.086	1.191
Treatment	-0.485	0.202	0.016	0.616	0.415	0.915

Note: BMI, Body Mass Index; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; ALT, Alanine Aminotransferase; AST, Aspartate Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child Pugh, Child-Pugh Score; HR, Hazard Ratio; S.E., Standard Error; CI, Confidence Interval.

**Table 5.** Multivariate Cox regression analysis of independent risk factors affecting patients' outcomes

Factor	$\beta$	S.E.	P	HR	95% CI	
					Lower	Upper
Age	-0.027	0.017	0.109	0.974	0.943	1.006
Gender	0.099	0.256	0.698	1.105	0.668	1.826
Maximum diameter of tumor	0.235	0.061	0.000	1.004	0.891	1.132
History of smoking	-0.219	0.243	0.367	0.803	0.499	1.293
ECOG PS	-0.412	0.247	0.025	0.662	0.408	1.076
Cirrhosis	0.441	0.277	0.112	1.554	0.903	2.674
AFP	0.605	0.282	0.032	1.831	1.053	3.185
Child Pugh	-0.258	0.250	0.302	0.773	0.473	1.261
ALT	0.018	0.013	0.162	1.018	0.993	1.044
AST	0.011	0.012	0.378	1.011	0.987	1.035
Alb	-0.036	0.019	0.060	0.964	0.929	1.002
TBil	0.059	0.028	0.067	1.061	1.003	1.122
Treatment	-0.306	0.224	0.004	0.737	0.475	1.142

Note: ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; ALT, Alanine Aminotransferase; AST, Aspartate Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child Pugh, Child-Pugh Score; HR, Hazard Ratio; S.E., Standard Error; CI, Confidence Interval.

terms of age, sex, BMI, tumor diameter, histories of smoking and alcohol use, ECOG PS scores, cirrhosis status, AFP level, Child-Pugh grade, or the levels of ALT, AST, Alb, and TBil (all  $P > 0.05$ ). See **Figure 3A**, **3B** and **Table 7**.

### *Therapeutic efficacy evaluation after PSM*

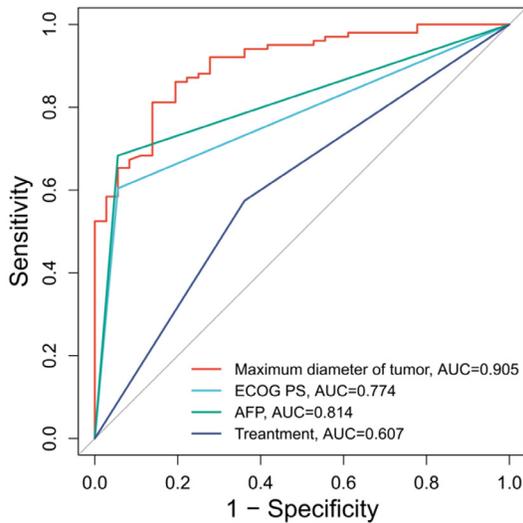
After PSM, the control group showed 2 CR (4.88%), 8 PR (19.51%), 19 PD (46.34%), and 12 SD (29.27%), with an ORR of 24.39% and a DCR of 53.66%. While the research group pre-

## HAIC-PD1 combo improves HCC survival

**Table 6.** ROC curve analysis of independent prognostic factors

Marker	Cutoff	AUC	Specificity	Sensitivity	Youden_index
Maximum diameter of tumor	8.17	0.905	86.11%	81.19%	67.30%
ECOG PS	-	0.774	94.44%	60.40%	54.84%
AFP	-	0.814	94.44%	68.32%	62.76%
Treatment	-	0.607	63.89%	57.43%	21.31%

Note: ROC, Receiver operating characteristics; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; HR, Hazard Ratio; S.E., Standard Error; AUC, Area Under the Curve.



**Figure 2.** The ROC curve of each factor in predicting patient treatment outcomes. Note: ROC, Receiver operating characteristics; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein.

sented 4 CR (9.76%), 15 PR (36.59%), 9 PD (21.95%), and 13 SD (31.71%), with an ORR of 46.34% and a DCR of 78.06%. Comparatively, the ORR and DCR in the research group were significantly higher than those in the control group ( $P = 0.038$ ,  $P = 0.020$ ). See **Table 8**.

### Survival analysis after PSM

After PSM, 5 patients (18.31%) in the control group survived, while 36 patients (81.69%) died. In the research group, the numbers were 17 (34.85%) and 24 (65.15%), respectively. Kaplan-Meier analysis showed that the median OS of patients in the research group was 27 months, which was markedly higher than the 14 months in the control group ( $P = 0.001$ ). See **Figure 4**.

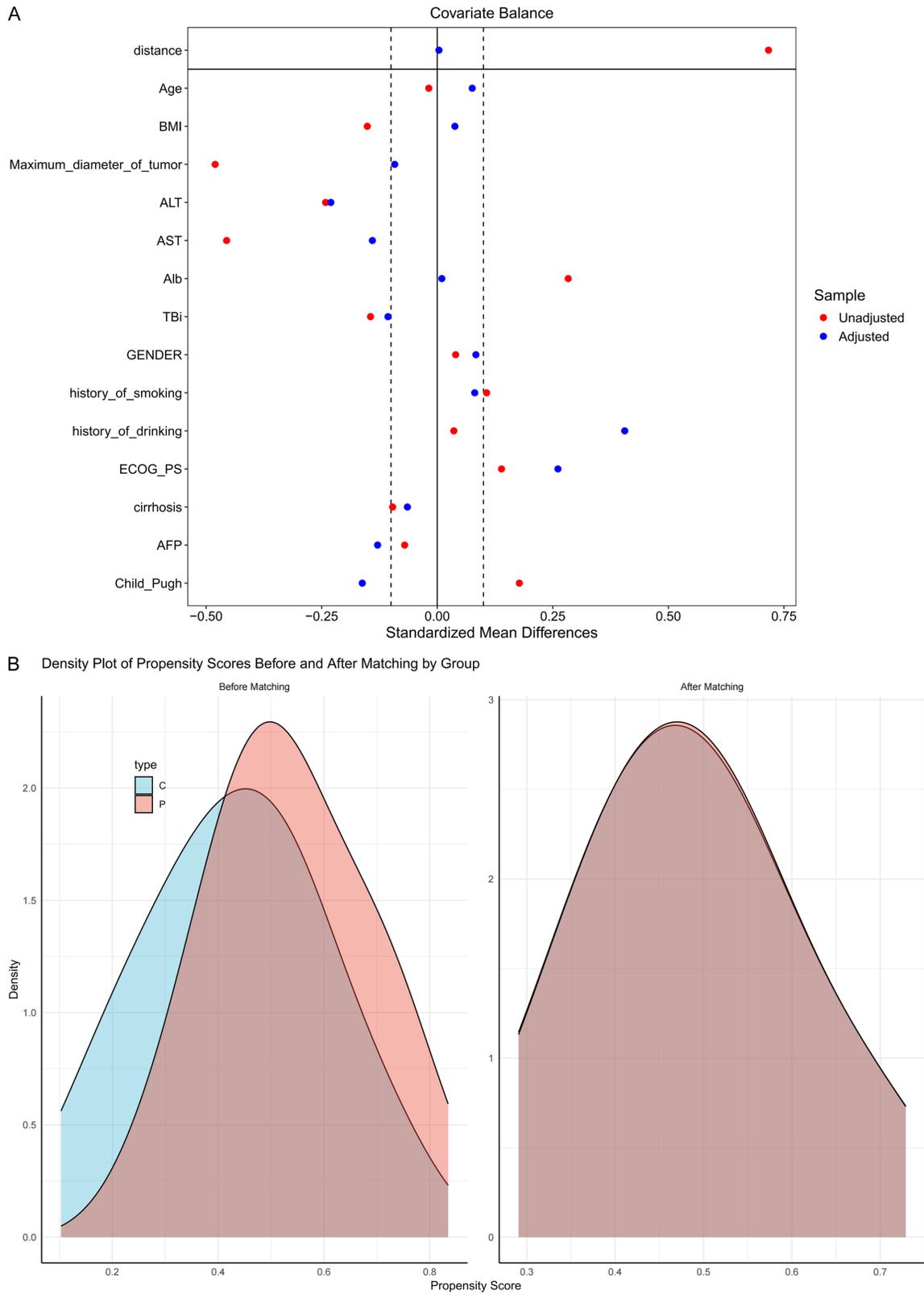
### Univariate and multivariate analyses of patients' survival after PSM

Cox regression analysis after PSM revealed that age (HR = 0.958,  $P = 0.026$ ), maximum tumor diameter (HR = 1.185,  $P = 0.004$ ), smoking history (HR = 0.407,  $P = 0.002$ ), alcohol history (HR = 0.545,  $P = 0.029$ ), ECOG PS scores (HR = 0.321,  $P < 0.001$ ), cirrhosis (HR = 3.902,  $P < 0.001$ ), AFP (HR = 4.538,  $P < 0.001$ ), Child-Pugh grade (HR = 0.464,  $P = 0.012$ ), ALT (HR = 1.060,  $P < 0.001$ ), AST (HR = 1.060,  $P < 0.001$ ), Alb (HR = 0.921,  $P < 0.001$ ), TBil (HR = 1.146,  $P < 0.001$ ), and treatment regimen (HR = 2.329,  $P = 0.002$ ) were notably associated with patients' survival after treatment. See **Table 9**. The maximum tumor diameter (HR = 0.995,  $P = 0.002$ ), ECOG PS score (HR = 0.812,  $P = 0.008$ ), and treatment regimen (HR = 1.707,  $P = 0.012$ ) were identified as independent factors affecting patients' outcomes (**Table 10**).

### Evaluation of independent prognostic factors for predicting patients' outcomes after PSM

After PSM, the ROC curve analysis for predicting the 3-year survival in patients post treatment showed that the maximum tumor diameter with a cutoff value of 9.085 cm was highly accurate in the prediction, with an AUC of 0.818, a specificity of 90.91%, a sensitivity of 73.33%, and a Youden index of 64.24%. The AUC for the ECOG PS score was 0.763, indicating good predictive power, with a specificity of 90.91%, a sensitivity of 61.67%, and a Youden index of 52.58%. Relatively, the treatment regimen showed weak predictive power, with an AUC of 0.686, a specificity of 77.27%, a sensitivity of 60.00%, and a Youden index of 37.27%. See **Table 11** and **Figure 5**.

# HAIC-PD1 combo improves HCC survival



**Figure 3.** Comparison of baseline data between the control group and the research group after PSM. A. Comparison of SMD for covariate balance. B. Density distribution plots of the two groups before and after PSM. Note: SMD, Standardized mean difference; BMI, Body Mass Index; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; AST, Aspartate Aminotransferase; ALT, Alanine Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child-Pugh, Child-Pugh Score; PSM, Propensity Score Matching.

## HAIC-PD1 combo improves HCC survival

**Table 7.** Analysis of the basic clinical characteristics of patients in the two groups after PSM

Factor	Control group (n = 41)	Research group (n = 41)	t/ $\chi^2$	P
Age	59.78±6.18	58.10±7.13	1.142	0.257
Gender				
Male	32	35	0.734	0.391
Female	9	6		
BMI (kg/cm <sup>2</sup> )	21.90±2.80	21.86±3.28	0.06	0.952
Maximum tumor diameter (cm)	9.39±2.29	9.70±1.90	-0.654	0.515
History of smoking				
Yes	30	33	0.617	0.432
No	11	8		
History of alcohol use				
Yes	28	33	1.600	0.206
No	13	8		
ECOG PS				
0-1 point	20	23	0.440	0.507
≥ 2 point	21	18		
Cirrhosis				
Yes	24	20	0.785	0.376
No	17	21		
AFP				
≥ 400 ng/mL	26	19	2.413	0.120
< 400 ng/mL	15	22		
Child-Pugh				
A	32	34	0.311	0.577
B	9	7		
ALT (IU/L)	52.83±10.51	55.30±7.82	-1.207	0.231
AST (IU/L)	60.18±10.41	61.96±7.55	-0.887	0.378
Alb (μmol/L)	43.35±6.85	41.97±5.94	0.976	0.332
TBil (g/L)	20.60±4.22	21.47±4.25	-0.928	0.356

Note: BMI, Body Mass Index; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; AST, Aspartate Aminotransferase; ALT, Alanine Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child-Pugh, Child-Pugh Score; PSM, Propensity Score Matching.

**Table 8.** Post-PSM Evaluation of therapeutic efficacy of patients after treatment

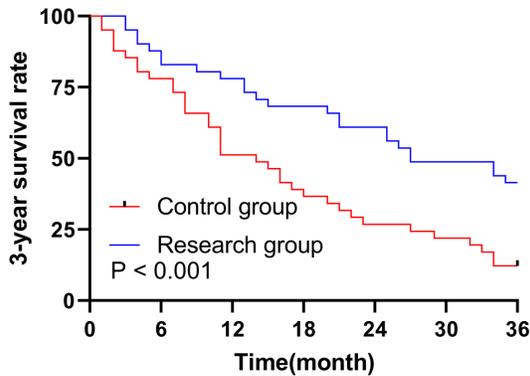
Tumor response	Control group (n = 41)	Research group (n = 41)	t/ $\chi^2$	P
CR	2 (4.88)	4 (9.76)	5.658	0.130
PR	8 (19.51)	15 (36.59)		
PD	19 (46.34)	9 (21.95)		
SD	12 (29.27)	13 (31.71)		
ORR	24.39%	46.34%	4.321	0.038
DCR	53.66%	78.05%	5.423	0.020

Note: CR, Complete Response; PR, Partial Response; PD, Progressive Disease; SD, Stable Disease; ORR, Overall Response Rate; DCR, Disease Control Rate; PSM, Propensity Score Matching.

### Discussion

HCC is a leading cause of liver malignancy worldwide, with high morbidity and mortality rates. Its incidence is expected to rise in the next 10 to 20 years, potentially peaking around 2030 [17]. In China, where new cases and deaths account for approximately half of the global liver cancer burden, the incidence has reached the highest among individuals aged between 40 and 59, with men being 2-6 times more likely to develop HCC than

## HAIC-PD1 combo improves HCC survival



**Figure 4.** Survival curve analysis of the 3-year overall survival in the two groups after PSM. Note: PSM, Propensity score matching.

women [18]. Hepatitis B (HBV) and C (HCV) infections contribute to over 77% of liver cancer cases in China [19]. Due to the liver's regenerative capacity and low pain sensitivity, most patients are diagnosed at advanced stages [8]. Current HCC treatments include surgical resection, liver transplantation, ablation, and interventional therapies such as TACE and HAIC, which are commonly used for unresectable advanced HCC [20]. However, monotherapies often demonstrate limited efficacy due to tumor heterogeneity. Recent studies suggest that combined therapies involving targeted drugs and immune checkpoint inhibitors offer improved clinical outcomes [21, 22]. This study aims to evaluate the impact of FOLFOX-HAIC combined with targeted immunotherapy on the survival in patients with intermediate or advanced HCC, aiming to offer a new therapeutic approach for clinical settings.

This study demonstrated that FOLFOX-HAIC combined with PD-1 inhibitor therapy showed superior clinical efficacy compared to FOLFOX-HAIC monotherapy in patients with unresectable HCC. The combined therapy significantly improved both DCR and OS of patients, making it a promising approach for the treatment of advanced HCC. To address baseline differences between the control and research groups, such as differences in age and tumor size, PSM was applied. This approach notably adjusted for different factors and reduced bias in case selection, allowing high reliability in the study comparison [23]. After PSM, the median OS in the research group was 27 months, significantly higher than 14 months in the control group.

Additionally, the research group showed higher ORR and DCR, confirming the enhanced efficacy of the combined therapy in prolonging patients' survival. HAIC, which directly delivers FOLFOX into the hepatic artery, ensures high drug concentration at the tumor site while minimizing damage to normal liver tissue. HAIC, in comparison to TACE, is a safer and more localized approach for HCC treatment that reduces systemic toxicity and avoids embolism complications [24]. Studies, including those by Shao-Hua Li et al., have shown that FOLFOX-HAIC significantly improves patients' survival, particularly patients with macrovascular invasion and positive HBsAg [24]. Moreover, combining HAIC with targeted immunotherapy has shown better outcomes compared to monotherapy, with notable improvements in ORR and DCR [25, 26]. For instance, a patient who underwent Liver Transplantation followed by FOLFOX-HAIC and PD-1 inhibitor therapy achieved complete remission, with undetectable ctDNA and normalized AFP levels after treatment [27]. This suggests that the combined therapy may help patients with advanced HCC who are resistant to traditional treatments, particularly those with large tumors or macrovascular invasion. This FOLFOX-HAIC plus PD-1 inhibitors regimen, which targets the tumor directly through localized chemotherapy and leverages immune regulations within human bodies to enhance therapeutic outcomes, could become a highly effective treatment for advanced, unresectable HCC. However, further prospective studies are needed to validate these results, explore its long-term efficacy, and refine treatment protocols for HCC patients.

We further identified the maximum tumor diameter (HR = 0.995,  $P = 0.002$ ), ECOG PS (HR = 0.812,  $P = 0.008$ ), and treatment regimen (HR = 1.707,  $P = 0.012$ ) as independent factors affecting the prognosis of HCC patients through Cox regression analysis. These factors are closely related to treatment outcomes and serve as crucial references for optimizing the treatment strategies for intermediate and advanced HCC patients. Specifically, the maximum tumor diameter was a significant risk factor. A tumor size greater than 5 cm has been defined in various studies as a risk factor for HCC recurrence. In general, larger tumors are associated with higher malignancy degree and worse prognosis in patients [28]. For instance,

## HAIC-PD1 combo improves HCC survival

**Table 9.** Univariate Cox regression analysis of the risk factors affecting patients' outcomes after PSM

Factor	$\beta$	S.E.	P	HR	95% CI	
					Lower	Upper
Age	-0.042	0.019	0.026	0.958	0.923	0.995
BMI	0.018	0.046	0.695	1.018	0.931	1.113
Gender	-0.350	0.307	0.253	0.704	0.386	1.285
Maximum diameter of tumor	0.169	0.058	0.004	1.185	1.057	1.328
History of smoking	-0.898	0.289	0.002	0.407	0.231	0.717
History of alcohol use	-0.606	0.277	0.029	0.545	0.317	0.939
ECOG PS	-1.137	0.277	0.000	0.321	0.187	0.551
Cirrhosis	1.361	0.304	0.000	3.902	2.149	7.085
AFP	1.513	0.303	0.000	4.538	2.508	8.212
Child Pugh	-0.769	0.305	0.012	0.464	0.255	0.843
ALT	0.058	0.014	0.000	1.060	1.031	1.090
AST	0.058	0.014	0.000	1.060	1.031	1.090
Alb	-0.082	0.021	0.000	0.921	0.883	0.961
TBil	0.136	0.031	0.000	1.146	1.078	1.217
Treatment	0.846	0.267	0.002	2.329	1.380	3.932

Note: BMI, Body Mass Index; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; ALT, Alanine Aminotransferase; AST, Aspartate Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child Pugh, Child-Pugh Score; HR, Hazard Ratio; S.E., Standard Error; CI, Confidence Interval.

**Table 10.** Multivariate Cox regression analysis of independent risk factors affecting patients' outcome after PSM

Factor	$\beta$	S.E.	P	HR	95% CI	
					Lower	Upper
Age	-0.039	0.026	0.129	0.961	0.914	1.012
Maximum diameter of tumor	-0.005	0.069	0.002	0.995	0.870	1.139
History of smoking	0.160	0.361	0.658	1.173	0.578	2.379
History of alcohol use	-0.088	0.314	0.778	0.915	0.495	1.693
ECOG PS	-0.208	0.356	0.008	0.812	0.404	1.631
Cirrhosis	0.344	0.353	0.330	1.410	0.707	2.814
AFP	0.797	0.364	0.058	2.219	1.088	4.526
Child Pugh	-0.742	0.377	0.059	0.476	0.227	0.997
ALT	0.038	0.018	0.051	1.038	1.002	1.077
AST	0.025	0.019	0.191	1.025	0.988	1.064
Alb	-0.025	0.026	0.330	0.975	0.926	1.026
TBil	0.065	0.037	0.077	1.067	0.993	1.147
Treatment	0.535	0.286	0.012	1.707	0.974	2.992

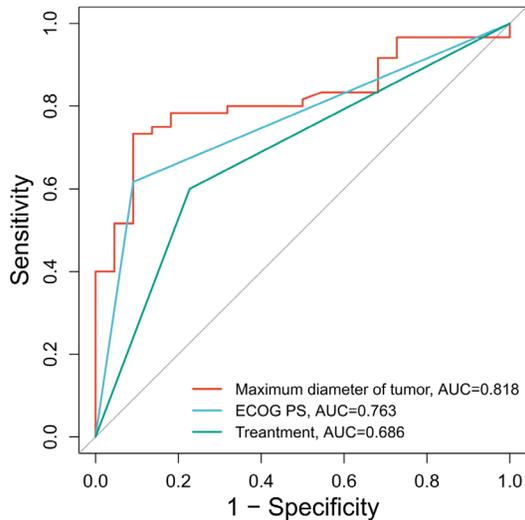
Note: ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; ALT, Alanine Aminotransferase; AST, Aspartate Aminotransferase; Alb, Albumin; TBil, Total Bilirubin; Child Pugh, Child-Pugh Score; HR, Hazard Ratio; S.E., Standard Error; CI, Confidence Interval.

**Table 11.** ROC curve analysis of independent prognostic factors after PSM

Marker	Cut off	AUC	Specificity	Sensitivity	Youden_index
Maximum diameter of tumor	9.085	0.818	90.91%	73.33%	64.24%
ECOG PS	-	0.763	90.91%	61.67%	52.58%
Treatment	-	0.686	77.27%	60.00%	37.27%

Note: ROC, Receiver operating characteristics; ECOG PS, Eastern Cooperative Oncology Group performance status; AFP, Alpha-fetoprotein; HR, Hazard Ratio; S.E., Standard Error; AUC, Area Under the Curve; PSM, Propensity Score Matching.

## HAIC-PD1 combo improves HCC survival



**Figure 5.** ROC curves of various factors predicting treatment outcomes of patients after PSM. Note: ROC, Receiver operating characteristics; ECOG PS, Eastern Cooperative Oncology Group performance status; PSM, Propensity Score Matching.

Bin-Yong Liang et al. found that the 5-year recurrence-free survival and OS rates of patients whose tumor diameters were shorter than 5 cm were 38.3% and 61.5%, respectively, notably higher in comparison to patients otherwise, whose 5-year recurrence-free survival and OS rates were 25.1% and 59.9%, respectively. This indicates that the long-term survival outcomes deteriorate significantly as tumor size increases. A tumor size greater than 5 cm is therefore an independent risk factor for tumor recurrence and poor long-term survival [29].

In addition, the ECOG PS score demonstrated significant impact on the treatment outcomes as well. In multiple malignancies, such as non-small cell lung cancer, advanced melanoma, and urological cancer, patients with impaired ECOG PS showed a lower ORR after PD-1 inhibitor treatment compared to those with a favorable ECOG PS. The ECOG PS score has been reported as an independent prognostic factor for the outcomes of patients with melanoma and non-small cell lung cancer after immunotherapy. There was one study that investigated PD-1 inhibitors combined with antiangiogenesis therapy for patients with unresectable HCC, where an ECOG PS score of 2 was recognized as an independent risk factor affecting patients' progression-free survival [30]. This

result has highlighted the importance of ECOG PS in predicting the efficacy of immunotherapy in HCC patients.

The combination of FOLFOX-HAIC and PD-1 inhibitor therapy has demonstrated significant efficacy in treating HCC patients. Hence, the combined therapy is recommended to prolong the survival of HCC patients in clinical settings. In addition, our ROC curve analysis showed that the optimal cut-off value for predicting the 3-year survival in HCC patients was 9.085 cm for the maximum tumor diameter, 2 points for the ECOG PS, as well as the FOLFOX-HAIC and PD-1 inhibitor combination, with areas under the curves to be 0.818, 0.763, and 0.686, respectively. These findings provide valuable insights into refining treatment strategies for HCC patients based on individual prognostic factors.

This study provides compelling evidence for the effectiveness of FOLFOX-HAIC combined with PD-1 inhibitor-targeted immunotherapy in patients with intermediate or advanced HCC. However, there are some limitations to consider. First, as a retrospective analysis, the study is susceptible to potential selection bias and information bias. While efforts were made to control for confounding variables using the PSM method, not all biases could be fully accounted for. Second, the relatively small sample size of the study may limit the generalizability and statistical power of the results. Furthermore, the study did not address all possible clinical variables that might influence prognosis, such as the patients' genetic background and tumor microenvironment, factors that could significantly impact treatment efficacy and patient outcomes. Therefore, further research is needed to validate the findings through prospective, multicenter clinical trials with larger sample sizes. These future studies should also investigate additional prognostic factors to enhance the understanding of HCC treatment and its outcomes.

In conclusion, this study demonstrates that FOLFOX-HAIC combined with PD-1 inhibitor-targeted immunotherapy holds potential for prolonging survival in patients with intermediate or advanced HCC. This treatment strategy provides a novel approach for clinical management. Additionally, the study identifies maximum tumor diameter, ECOG PS score, and

treatment regimen as independent factors influencing the prognosis of HCC patients, offering valuable insights for individualized treatment strategies.

### Disclosure of conflict of interest

None.

**Address correspondence to:** Zhenjin Ma, Pharmacy Department, Dingxi People's Hospital, No. 22 Anding Road, Anding District, Dingxi 743000, Gansu, China. E-mail: dxmzj@sina.com

### References

- [1] Yeo YH, Abdelmalek M, Khan S, Moylan CA, Rodriguez L, Villanueva A and Yang JD. Current and emerging strategies for the prevention of hepatocellular carcinoma. *Nat Rev Gastroenterol Hepatol* 2024; 1-18.
- [2] Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A and Bray F. Global cancer statistics 2020: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *CA Cancer J Clin* 2021; 71: 209-249.
- [3] Villanueva A. Hepatocellular carcinoma. *N Engl J Med* 2019; 380: 1450-1462.
- [4] Liu M, Zhou J, Liu X, Feng Y, Yang W, Wu F, Cheung OK, Sun H, Zeng X, Tang W, Mok MTS, Wong J, Yeung PC, Lai PBS, Chen Z, Jin H, Chen J, Chan SL, Chan AWH, To KF, Sung JY, Chen M and Cheng AS. Targeting monocyte-intrinsic enhancer reprogramming improves immunotherapy efficacy in hepatocellular carcinoma. *Gut* 2020; 69: 365-379.
- [5] Sarveazad A, Agah S, Babahajian A, Amini N and Bahardoust M. Predictors of 5 year survival rate in hepatocellular carcinoma patients. *J Res Med Sci* 2019; 24: 86.
- [6] Yang JD, Hainaut P, Gores GJ, Amadou A, Plymoth A and Roberts LR. A global view of hepatocellular carcinoma: trends, risk, prevention and management. *Nat Rev Gastroenterol Hepatol* 2019; 16: 589-604.
- [7] Milana F, Polidoro MA, Famularo S, Lleo A, Boldorini R, Donadon M and Torzilli G. Surgical strategies for recurrent hepatocellular carcinoma after resection: a review of current evidence. *Cancers (Basel)* 2023; 15: 508
- [8] Llovet JM, Kelley RK, Villanueva A, Singal AG, Pikarsky E, Roayaie S, Lencioni R, Koike K, Zucman-Rossi J and Finn RS. Hepatocellular carcinoma. *Nat Rev Dis Primers* 2021; 7: 6.
- [9] Sidaway P. FOLFOX-HAIC active in large HCC. *Nat Rev Clin Oncol* 2022; 19: 5.
- [10] Nikoo M, Hassan ZF, Mardasi M, Rostamnezhad E, Roozbahani F, Rahimi S and Mohammadi J. Hepatocellular carcinoma (HCC) immunotherapy by anti-PD-1 monoclonal antibodies: a rapidly evolving strategy. *Pathol Res Pract* 2023; 247: 154473.
- [11] Magen A, Hamon P, Fiaschi N, Soong BY, Park MD, Mattiuz R, Humblin E, Troncoso L, D'Souza D, Dawson T, Kim J, Hamel S, Buckup M, Chang C, Tabachnikova A, Schwartz H, Malissen N, Lavin Y, Soares-Schanoski A, Giotti B, Hegde S, Ioannou G, Gonzalez-Kozlova E, Hennequin C, Le Berichel J, Zhao Z, Ward SC, Fiel I, Kou B, Dobosz M, Li L, Adler C, Ni M, Wei Y, Wang W, Atwal GS, Kundu K, Cygan KJ, Tsankov AM, Rahman A, Price C, Fernandez N, He J, Gupta NT, Kim-Schulze S, Gnjjatic S, Kenigsberg E, Deering RP, Schwartz M, Marron TU, Thurston G, Kamphorst AO and Merad M. Intratumoral dendritic cell-CD4(+) T helper cell niches enable CD8(+) T cell differentiation following PD-1 blockade in hepatocellular carcinoma. *Nat Med* 2023; 29: 1389-1399.
- [12] Chen YH, Tsai CH, Chen YY, Wang CC, Wang JH, Hung CH and Kuo YH. Real-world comparison of pembrolizumab and nivolumab in advanced hepatocellular carcinoma. *BMC Cancer* 2023; 23: 810.
- [13] Wong JSL, Kwok GGW, Tang V, Li BCW, Leung R, Chiu J, Ma KW, She WH, Tsang J, Lo CM, Cheung TT and Yau T. Ipilimumab and nivolumab/pembrolizumab in advanced hepatocellular carcinoma refractory to prior immune checkpoint inhibitors. *J Immunother Cancer* 2021; 9: e001945.
- [14] European Association for the Study of the Liver. EASL clinical practice guidelines: management of hepatocellular carcinoma. *J Hepatol* 2018; 69: 182-236.
- [15] Shiroyama T, Suzuki H, Tamiya M, Tamiya A, Tanaka A, Okamoto N, Nakahama K, Taniguchi Y, Isa SI, Inoue T, Imamura F, Atagi S and Hirashima T. Clinical characteristics of liver metastasis in nivolumab-treated patients with non-small cell lung cancer. *Anticancer Res* 2018; 38: 4723-4729.
- [16] Lencioni R and Llovet JM. Modified RECIST (mRECIST) assessment for hepatocellular carcinoma. *Semin Liver Dis* 2010; 30: 52-60.
- [17] Omata M, Cheng AL, Kokudo N, Kudo M, Lee JM, Jia J, Tateishi R, Han KH, Chawla YK, Shiina S, Jafri W, Payawal DA, Ohki T, Ogasawara S, Chen PJ, Lesmana CRA, Lesmana LA, Gani RA, Obi S, Dokmeci AK and Sarin SK. Asia-pacific clinical practice guidelines on the management of hepatocellular carcinoma: a 2017 update. *Hepatol Int* 2017; 11: 317-370.
- [18] European Association for the Study of the Liver. Corrigendum to "EASL clinical practice guidelines: management of hepatocellular carcinoma" [J Hepatol 69 (2018) 182-236]. *J Hepatol* 2019; 70: 817.

## HAIC-PD1 combo improves HCC survival

- [19] Xu Y, Xia C, Li H, Cao M, Yang F, Li Q, Cao M and Chen W. Survey of hepatitis B virus infection for liver cancer screening in China: a population-based, cross-sectional study. *Chin Med J (Engl)* 2024; 137: 1414-1420.
- [20] Anwanwan D, Singh SK, Singh S, Saikam V and Singh R. Challenges in liver cancer and possible treatment approaches. *Biochim Biophys Acta Rev Cancer* 2020; 1873: 188314.
- [21] Dyhl-Polk A, Mikkelsen MK, Ladekarl M and Nielsen DL. Clinical trials of immune checkpoint inhibitors in hepatocellular carcinoma. *J Clin Med* 2021; 10: 2662.
- [22] Marzi L, Mega A, Gitto S, Pelizzaro F, Seeber A and Spizzo G. Impact and novel perspective of immune checkpoint inhibitors in patients with early and intermediate stage HCC. *Cancers (Basel)* 2022; 14: 3332.
- [23] Kurz CF, Krzywinski M and Altman N. Propensity score matching. *Nat Methods* 2024; 21: 1770-1772.
- [24] Li SH, Mei J, Cheng Y, Li Q, Wang QX, Fang CK, Lei QC, Huang HK, Cao MR, Luo R, Deng JD, Jiang YC, Zhao RC, Lu LH, Zou JW, Deng M, Lin WP, Guan RG, Wen YH, Li JB, Zheng L, Guo ZX, Ling YH, Chen HW, Zhong C, Wei W and Guo RP. Postoperative adjuvant hepatic arterial infusion chemotherapy with FOLFOX in hepatocellular carcinoma with microvascular invasion: a multicenter, phase III, randomized study. *J Clin Oncol* 2023; 41: 1898-1908.
- [25] Lu YC, Yang YC, Ma D, Wang JQ, Hao FJ, Chen XX and Chen YJ. FOLFOX-HAIC combined with targeted immunotherapy for initially unresectable hepatocellular carcinoma: a real-world study. *Front Immunol* 2024; 15: 1471017.
- [26] Li QJ, He MK, Chen HW, Fang WQ, Zhou YM, Xu L, Wei W, Zhang YJ, Guo Y, Guo RP, Chen MS and Shi M. Hepatic arterial infusion of oxaliplatin, fluorouracil, and leucovorin versus transarterial chemoembolization for large hepatocellular carcinoma: a randomized phase III trial. *J Clin Oncol* 2022; 40: 150-160.
- [27] Zhao Y, Chen D, Yang B, Xu J, Wang L, Huang G, Wei L and Chen Z. Successful liver transplantation with ctDNA clearance after PD-1 inhibitor plus FOLFOX-HAIC treatment in HCC: a case report. *Oncol Lett* 2024; 27: 51.
- [28] Jiang K, Li J, Liu Z, Chen M, Cai W, Liu L and Yin D. Impact of major hepatectomy on recurrence after resection of hepatocellular carcinoma at CNLC Ib stage: a propensity score matching study. *Int J Surg* 2024; 111: 857-864.
- [29] Liang BY, Gu J, Xiong M, Zhang EL, Zhang ZY, Chen XP and Huang ZY. Tumor size may influence the prognosis of solitary hepatocellular carcinoma patients with cirrhosis and without macrovascular invasion after hepatectomy. *Sci Rep* 2021; 11: 16343.
- [30] Yao J, Zhu X, Wu Z, Wei Q, Cai Y, Zheng Y, Hu X, Hu H, Zhang X, Pan H, Zhong X and Han W. Efficacy and safety of PD-1 inhibitor combined with antiangiogenic therapy for unresectable hepatocellular carcinoma: a multicenter retrospective study. *Cancer Med* 2022; 11: 3612-3622.