Original Article

Maxillary sinus floor elevation without bone grafting using an animal model

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Abstract: Objectives: The aim of this study was to evaluate the amount and source of new bone formation after maxillary sinus floor elevation without bone grafting, investigating the effects of maxillary sinus membrane perforation using this technique. Methods: Six beagles were used, establishing an animal model of insufficient alveolar bone volume in the maxillary region. Bilateral maxillary sinus floor elevations were performed. A space-maintaining device was placed on one side with the membrane intact, while another device was placed on the contralateral side with the membrane perforated. The animals were sacrificed after 3 or 6 months. Results: Results showed newly formed bone inside the device. The heights of newly formed bones, 3 months after surgery, were 3.60 ± 0.63 mm and 2.81 ± 1.23 mm, with intact membranes and perforated membranes, respectively. The heights of the bones, 6 months after surgery, were 3.47 ± 0.29 mm and 2.91 ± 1.98 mm, with intact membranes and perforated membranes, respectively. Osteoid sedimentary mineralization was observed in the pores of the device, with a percentage of 4.8%. Conclusion: Maxillary sinus floor elevation without bone grafting induced new bone formation. Smaller membrane perforations did not affect new bone formation.

Keywords: No bone grafting, maxillary sinus floor elevation, membrane perforation, space maintenance, top design

Introduction

Classic lateral antrostomy in the maxillary sinus fills the space between raised maxillary sinus membranes and sinus floor bones with bone grafts, creating a scaffold for subsequent blood vessel and cell growth and providing space for new bone formation [1]. Clinical findings have shown new bone formation after maxillary sinus floor elevation without bone grafting [2], confirmed by other researchers via animal experiments [3-5]. Maxillary sinus floor elevation, alone, can provide a relatively closed space filled with blood clots, enabling bone tissue regeneration [6]. Maxillary sinus elevation without bone grafting is theoretically feasible. However, a method of maintaining the voided space after maxillary sinus floor elevation without bone grafting must be determined. Two main methods are currently available. One places the implants concurrently with the sinus floor elevation procedure to maintain the void [7]. However, in many cases, the available bone graft is too tall to fit the space. The implant will not stay in place. Thus, maxillary sinus floor elevation cannot be performed concurrently with implant placement. However, a specific space-maintaining device can be used to support the lifted membrane [8, 9].

Animal experiments for maxillary sinus floor elevation with an implant, without bone grafting, have shown that, in the early stages of healing, the void often collapses due to blood clot shrinkage and air pressure in the maxillary sinus. The membrane may attach to the implant surface or even be perforated. Thus, the implant protrudes into the sinus cavity. New bones are often confined to the lateral implant wall [10]. A space-maintaining device may partially maintain the void, a prerequisite for new bone formation. However, whether the new bone is derived from sinus floor bones or membranes and whether the void has a height limit for new bone formation remains unclear.

Perforation of the maxillary sinus membrane is the most common complication of maxillary sinus elevation [11, 12]. Membrane perforation will cause a temporary loss of a partially sealed internal environment and blood clots may be lost from the void. Infections may occur due to

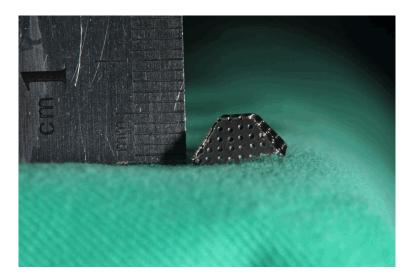


Figure 1. Space-maintaining device design.

mucus and bacteria penetrating the void through the perforation. If the void with the perforated membrane is filled with bone graft material, the antigenicity and movability of the bone grafts may delay new bone formation. If no bone grafting is performed, membrane perforation effects on new bone formation after maxillary sinus elevation must be determined.

The current study established an animal model with insufficient bone volume in the posterior maxilla after loss of the molar teeth. A space-maintaining device was used to maintain the void in the maxillary sinus membrane elevation. This model was used to evaluate the amount and source of new bone formation after maxillary sinus floor elevation without bone grafting, investigating the effects of maxillary sinus membrane perforation using this technique.

Materials and methods

Design of the space-maintaining device

The space-maintaining device was constructed of pure titanium (TA2, Baoji, Shanxi Province, China), forming a cap-like structure. Surface pores were 0.5 mm in diameter and each pore was 0.5 mm apart from the others (porosity: 1.34/mm²). The top support surface was blunt and the device was approximately 4 mm high (Figure 1).

Preparation of the animal experimental model

The current study was approved by the Ethics Committee of the Guangdong Laboratory Animals Monitoring Institute. During the study, the animals were managed in accordance with animal ethics requirements of the International Council for Laboratory Animal Science (ICLAS). Six healthy adult male beagles (aged 12-15 months and weighing 11-12.6 kg) and 12 space-maintaining devices were used. The dogs were grouped into the experimental group, in which a space-maintaining device was placed in the animals with a 2-mm membrane perforation, and the control group, in which a spacemaintaining device was placed directly in the animals. All ani-

mals were anaesthetized with atropine (0.05 mg/kg, Tianjin Pharmaceutical Group Xinzheng Co., Ltd., Tianjin, China) and ketamine (15 mg/kg, Tianjin Pharmaceutical Group Xinzheng Co., Ltd., Tianjin, China). The operative field was disinfected with 0.5% iodophor disinfectant and draped. Anaesthesia was infiltrated locally using a 1.7 mL dose of articaine hydrochloride (Primacaine, France) containing 1:10,000 epinephrine. Surgical procedures were performed in a strictly sterile environment.

Surgical procedure

The third premolar and first and second molars in the bilateral maxilla were removed three months before surgery, establishing an animal model with insufficient bone volume in the maxilla. Cone-beam computed tomography (CBCT) (NewTom, Italy) was used to exclude maxillary sinus inflammation and anatomical variation. A near-midline-incision was made in the alveolar ridge crest to flip the mucoperiosteal flap and expose the lateral wall of the infraorbital nerve. The bone wall was removed to expose the infraorbital nerve and vascular bundle. These were ligated and divided. The lateral wall of the maxillary sinus was exposed. A bone chisel was used to create a bone window of 10 mm × 7 mm ± 2 mm. A sinus membranelifting tool was used to peel off and lift the maxillary sinus membrane. One side was randomly selected to be the model with a 2-mm membrane perforation, while the other side served as the model with an intact membrane. A pure titanium space-maintaining device was placed on each side (Figure 2). All experimental ani-



Figure 2. Surgical procedure for maxillary sinus floor elevation after ligating the infraorbital neurovascular bundle.

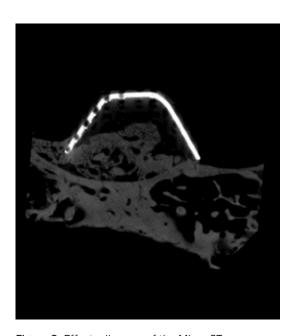


Figure 3. Effects diagram of the Micro-CT.

mals were given ampicillin sodium (80 mg/kg, Harbin Pharmaceutical Group, Harbin, China) and gentamicin (0.5 million units/kg, Chongqing Pioneer Pharmaceutical Co., Ltd., Chongqing, China) for a week and fed a liquified diet for 2 weeks. Three experimental animals were sacrificed using air embolization under general anaesthesia, 3 months after surgery. The remaining experimental animals were sacrificed 6 months after surgery. Specimens were harvested and soft tissues were removed and fixed in a 10% formalin solution.

Radiography and histological preparation

Micro-CT scans were performed immediately after fixing the harvested specimen. Specimens were embedded with methyl methacrylate after gradient ethanol dehydration. EXAKT300CP microtome (Leica, Germany) was used to slice specimens into 100- to 200µm-thick sections from the proximal to distal side along the near-middle axis. EXAK-T400CS grinding system (Leica, Germany) was used to grind specimens to 30-µm-thick sections. The sections were polished with a special flannel

and fine aluminium powder mixed with distilled water. Section surfaces were examined microscopically, with no scratches observed. The sections were then stained with toluidine blue and sealed. Heights of the new bones were measured using an optical microscope (Olympus, Olympus Co., Japan) and Image-Pro-Plus software. The percentage of pores with sedimentary mineralization was calculated from the total pores. Each specimen was measured three times and the average was recorded.

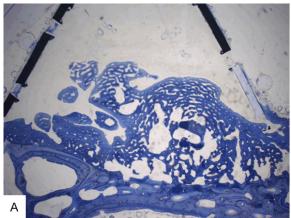
Results

Micro-CT observation

The space-maintaining device was located above the maxillary sinus floor, with no obvious changes in axial direction. No obvious imaging artifacts were seen around the new bones. The device's internal space was occupied by bone tissue that was continuous with the sinus floor bone. New bone tissues showed an irregular shape and bone intensity remained relatively low (Figure 3).

Histological observation

Histological changes did not significantly differ between the two experimental groups. The maxillary sinus membrane was attached to the surface of the device, which did not protrude directly into the sinus cavity.



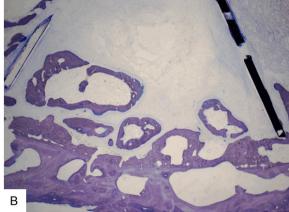


Figure 4. New bone is mainly located in the center of the void but does not occupy the entire void (20 ×). A. Three months after surgery, the boundary is obvious between new bone and sinus floor bone and the trabecular bone is loosely arranged. B. Six months after surgery, new bone is a mature bone structure like the sinus floor bone.

New bone was visible inside the device and was similarly to the void. However, it did not occupy the entire voided space and was located mainly in the center. A small amount of new bone "climbing" occurred on the lateral wall of the device near the sinus floor. No obvious newly formed bone was observed in the space between the device and the membrane, opposite the sinus floor or in the area surrounding the device.

Three months after surgery, the boundary between sinus floor bone and new bone was obvious. The new bone was an immature woven bone, in which loosely trabecular bones were arranged in a meshwork. Obvious spaces were visible between the trabecular bone. Cartilage lacuna were visible in the trabecular bone. Six months after surgery, the boundary between the sinus floor bone and new bone was unclear. The new bone was a mature bone structure, continuous with the sinus floor. Obvious spaces were observed between trabecular bones (Figure 4).

Osteoid sedimentary mineralization was seen in the pores at the side and top of the device. Sedimentary mineralization was composed of many scattered, long fusiform, and small mineralization units, arranged in a regular pattern with clear boundaries. More oval mineralization was located near the membrane, while most of the long fusiform mineralization was located inside the device. Sedimentary mineralization originated from the maxillary sinus membrane and protruded into the pores. Sedimentary min-

eralization occurred in 48% of the pores (**Figure** 5).

Three months after surgery, newly formed bones were 3.60 ± 0.63 mm and 2.81 ± 1.23 mm high in dogs with intact membranes and perforated membranes, respectively. Six months after surgery, newly formed bones were 3.47 ± 0.29 mm and 2.91 ± 1.98 mm high in dogs with intact membranes and perforated membranes, respectively (**Figure 6**).

Discussion

The current study shows that new bone was formed in the space below the pure titanium space-maintaining device after maxillary sinus floor elevation. Therefore, without bone grafting material, the effects of maxillary sinus floor elevation can be achieved via the body's own vascularization repair. During blood aggregation, coagulation is activated by red blood cells and platelets. Blood clots begin to form. Blood clots contain many active factors and growth factors that promote capillary formation and proliferation and osteoblast differentiation [13-15]. Wang [16] showed that thrombin promotes new bone formation. This new bone formation has the same effects as fracture repair by regulating growth factors and angiogenesis. Although blood clots, alone, can promote new bone formation, blood clots do not maintain the void after maxillary membrane floor elevation, due to instability and shrinkage. Over time, the membranes gradually collapse and new bone formation is limited or even absorbed. Asai [17]

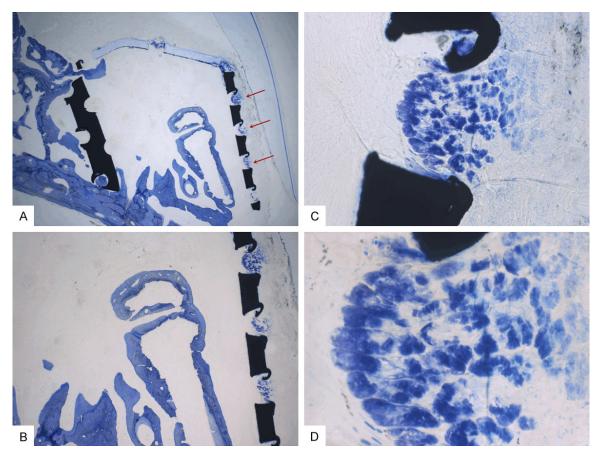


Figure 5. Sedimentary mineralization is visible in the pores of the space-maintaining device (red arrows). A. Sedimentary mineralization is visible in the pores of the top and lateral wall of the device $(20 \times)$; B. Sedimentary mineralization is shaped similarly to the pores $(40 \times)$; C. Scattered micro-mineralization units $(100 \times)$; D. Different contour of the micro-mineralization units $(200 \times)$.

reported that air pressure in the maxillary sinus causes the membrane to collapse. This, in turn, affects the amount of new bone formed. Therefore, a specific space-maintaining device is used to maintain the void after sinus elevation, providing a relatively closed environment and ensuring enough blood clot formation required for maxillary sinus floor elevation without bone grafting.

Schweikert used a titanium plate to maintain the void after sinus floor elevation. Due to a lack of three-dimensional support in the space, the membrane partially collapsed and the device protruded into the sinus cavity. Johansson [18] placed a circular, hollow, and absorbable device with a 12-mm diameter in the lower part of the void. These authors endoscopically observed the membrane attached to the device surface, confirming that new bone was formed inside the device after 6 months. In this study,

the device's design was in accord with an ideal space-maintaining device, proposed by Cricchio [8, 9] after maxillary sinus floor elevation. The device, like a tent, is stable and enhances the intactness of the void. It maintains the space three-dimensionally to prevent possible membrane collapse. Moreover, the space-maintaining device is made of pure titanium, with good tissue compatibility and flexibility. It easily fits different anatomical variations. The device has many 0.5-mm pores on its surface. Blood accumulates inside the device through the pores and is unaffected by atmospheric pressure during agglutination.

Maxillary sinus membrane perforation reduces bone graft stability, displacing the bone grafts. This causes maxillary sinus inflammation, affecting bone graft maturation and calcification [19]. Furthermore, the sealed internal environment is lost due to membrane perforation

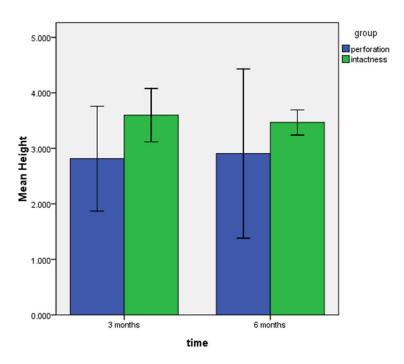


Figure 6. Double-bar graph of new bone heights 3 months and 6 months after surgery.

and stable blood clots cannot form. This hinders vascularization and new bone formation. No bone grafts exist in maxillary sinus elevation without bone grafting. Perforation factors only affect blood clot aggregation. In this study, the maxillary sinus membrane adhered to the surface of the device in both groups, the intact membrane group and the membrane perforation group. The porous surface supported the perforation area, allowing blood permeation. Therefore, the device did not protrude into the sinus cavity and new bone formation was undisturbed. Kaneko [20] performed imaging studies of maxillary sinus elevation without bone grafting, finding no differences in new bone formation between perforated and unperforated areas of the membrane. This may have been because the perforated membrane was attached to the device's surface, distanced from the blood clotting area. In addition, continuous oozing of soft tissue after surgery can fill the void.

The height of new bones after maxillary sinus elevation without bone grafting may be closely related to the void's height. In 2007, Thor [21] reported results from 4-year follow-ups of 20 patients undergoing maxillary sinus elevation without bone graft material, but with 44 implants. Mean bone formation volume in the

maxillary sinus was 6.5 mm, indicating that longer implants and shorter bone heights used before surgery may be related to formation of more new bone. However, this study revealed no newly formed bone in the lower part of the space-maintaining devices in all animals. This suggests that new bone formation may have certain physiological limits if only self-repair by the body is involved. Tatum [22] showed that the maximum limit for maxillary sinus membrane elevation was 25 mm above the alveolar crest. However, the limit for the maxillary sinus membrane elevation when bone grafts are unfilled is unknown. The void formed by the maxillary sinus membrane elevation is like an artificially created bone defect. Healing

requires new bone remodelling, including blood clot aggregation, mechanization, woven bone formation, and maturation [23]. Schmitz and Hollinger [24] first proposed the concept of critical size defects, referring to the smallest bone defects that cannot heal on their own during the life cycle of an animal's specific bone tissue. Rudert [25, 26] defined critical size defects as bone defects of 3 mm in diameter that can be completely repaired by self-formation of new bone. Although bone formation was observed in the bone defect area (4 mm or over), the calcification degree was low and the cortical bone was discontinuous. Bone graft materials and collagen membranes may be required to promote bone repair [27]. Although the height of the space-maintaining device was designed to be 4 mm in this study, the concave shape of the maxillary sinus floor and blood clot aggregation may have caused device floating. Therefore, the height of the void may be greater than 4 mm, exceeding the limit of the body's ossification potential. New bone volume was insufficient to completely fill the void.

The origin of new bone after maxillary sinus elevation has been a controversial issue. In this study, sedimentary mineralization was observed in the pores of the device opposite the sinus floor. This may indicate that new bone was de-

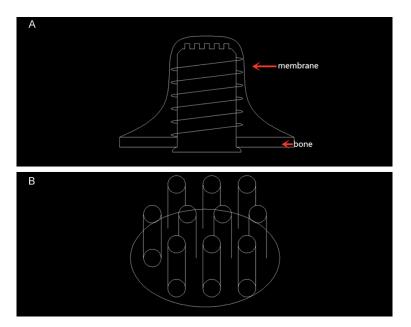


Figure 7. Effects diagram of the top of the implant designed specifically for maxillary sinus floor elevation. A. Effects diagram of the specialized implant installed in the maxillary sinus. B. The top includes study that induce osteogenesis in the pores.

rived from the membrane. In addition, this study showed that new bone was mainly located in the center of the void, with no obvious new bone formation surrounding the device. New bone morphology was compatible with the elevated membrane morphology. New bone formation did not occur at the same mineralization deposition rate and the highest point of the elevated membrane was also the highest point of new bone formation. These factors suggest possible membrane induction for new bone formation. Srouj [28, 29] isolated and cultured human maxillary sinus membranes, analyzing the culture using flow cytometry. Expression of various osteogenic markers, such as alkaline phosphatase, BMP-2 and osteonectin, in the maxillary sinus membrane culture was significantly increased. Amplified maxillary sinus membrane cells were transplanted subcutaneously into mice and calcified nodules were observed at the transplantation site after eight weeks. Kim [30] suggested that mesenchymal stem cells in the maxillary sinus membrane can differentiate into osteoblasts after osteogenic induction. Studies [31] have shown that a paracrine signalling pathway may exist between the periosteum and new bone. After stimulation, the signalling pathway is activated. Specific growth factors are stimulated to promote undifferentiated mesenchymal stem cells to the cartilage precursor, gradually forming new bones. The maxillary sinus membrane elevation may be considered a similar stimulus in the sinus floor.

Long-term stability of new bone height after maxillary sinus elevation is a key factor for successful implantation [32]. Implants were used to maintain the void in the current clinical study. All implants used were commercial implants. The tops of the implants were round with a blunt convex surface, forming a "tent effect" for formation of new bones. One study [33] showed that membranes often collapsed on the implant surface and new bones were formed mainly on the sidewall of the implant. Less new bone

formation was observed at the top of the implant. Hatano [34] showed that bone resorption at the top of the implant gradually increased over the observation period. This study demonstrated osteoid sedimentary mineralization in the pores of the device's lateral and top walls, with no obvious sedimentary mineralization observed between the membrane and device. This may be because the membrane was tightly attached to the device because of sinus pressure. Thus, the space required for new bone formation was compressed. However, the pores provide a space for new bone formation. Therefore, new bone can be observed in some pores. This gave present researchers the idea to design the top of an implant as a mesh-like stud, with a diameter of 0.5 mm and interval of 0.5 mm between the studs (Figure 7). When the maxillary sinus membrane is attached to the top of the implant, the protruding studs provide support. The space between protruding studs does not disappear. New bone can be deposited in the space between the protruding studs by bone formation. Bone formation at the top of the implant allows the entire implant to be embedded in bone tissue. This increases rates of bone-implant contact, thereby improving success rates of maxillary sinus floor elevation without bone grafting.

Conclusion

In summary, maxillary sinus elevation without bone grafting induces new bone formation. Maxillary sinus membranes may be the source. Moreover, a special implant for maxillary sinus floor elevation could be designed based on the principle of osteogenesis in the pores.

Acknowledgements

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Nongrafted maxillary sinus floor elevation

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