## Original Article

# Combined portal vein resection for hilar cholangiocarcinoma

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Abstract: Background: Surgery is the only curative therapy for patients with hilar cholangiocarcinoma (HCCA). Combined portal vein resection (PVR) could achieve negative resection margins in HCCA patients with portal vein invasion. This systematic review aimed to analysis the efficiency of combined PVR for HCCA. Methods: MEDLINE, EMBASE, the Cochrane Library, the Chinese National Knowledge Infrastructure database, and clinical trial registries were searched through April 2015. Risk ratios (RRs), and 95% confidence intervals (CIs) were calculated. Results: The analysis included 21 retrospective studies, altogether involving 2403 patients (patients with PVR, n=637; patients without PVR, n=1766). Patients with PVR were likely to have more advanced HCCA (lymphatic invasion: RR=1.14, 95% CI 1.02 to 1.28; perineural invasion: RR=1.31, 95% CI 1.05 to 1.63) and suffered less curative resections (RR=0.89, 95% CI 0.75 to 0.99). Postoperative morbidity was similar between patients with or without PVR (RR=1.06, 95% CI 0.94 to 1.02). Patients with PVR suffered higher mortality rate (RR=1.52, 95% CI 1.06 to 2.18), and worse 5-year survival rate (RR=0.67, 95% CI 0.49 to 0.91). Conclusion: Combined PVR for HCCA patients would not increase postoperative morbidity rate. However, ascribed to PVR group concluded more advanced HCCA patients; patients with PVR had increased postoperative mortality rate and worse survival rate. The results still need further high quality trails for validation.

Keywords: Portal vein resection, hilar cholangiocarcinoma, meta-analysis, liver resection, survival

## Introduction

Hilar cholangiocarcinoma (HCCA) also known as Klatskin tumor, which accounts for 60% of all biliary tract cholangiocarcinomas [1]. The possible therapeutic option for HCCA patients is surgery, whereas no adjuvant therapies are effective for them [2]. Extremely high range morbidity rate (>40%) is reported after liver resection [3-5].

Portal vein invasion previously remains a main cause for unresectability of HCCA which several authors demonstrate as a contraindication to surgery [6, 7]. This philosophy has become outdated when portal vein resection (PVR) have been advocated as an aggressive surgical strategy by numerous studies [8-12]. Initial resection for HCCA patients were consisted

mainly of resections of the biliary tree and bilioenteric anastomosis to the intrahepatic ducts [13]. Nowadays, hepatectomy combined with PVR is becoming increasing popular which is in order to obtain negative margins [14, 15]. Nevertheless, the safety and efficiency remains debating when studies show confused results. Studies detected significantly higher mortality rate in patients with PVR [16], whereas some other studies found no significance between HCCA patients with or without PVR [17, 18]. Several studies figured out HCCA patients with PVR decrease survival periods [9, 16, 19] while others demonstrate no significant difference comparing with HCCA patients without PVR [20, 21].

Therefore, we performed this systematic review of previously published relevant literature to

comprehensively compare the safety and efficacy of combined PVR with hepatectomy in patients with HCCA.

#### Methods

This meta-analysis was conducted according to PRISMA guidelines (<u>Checklist S1</u>).

## Literature search strategy

Following electronic databases were systematically researched through April 2015 without language restrictions (study year was from 1990 to 2015): MEDLINE, EMBASE, the Cochrane Library, and the Chinese National Knowledge Infrastructure (CNKI). Also, five primary clinical trial registries recognized by the WHO International Clinical Trial Registry Platform was searched: Australia and New Zealand Clinical Trial Registry (www.anzctr. org.au/), Chinese Clinical Trial Register (www. chictr.org), ISRCTN (www.controlled-trials.com/ isrctn/), U.S. National Institutes of Health Clinical Trials Database (www.clinicaltrials.gov/), and Clinical Trials Registry-India (www.ctri. in:8080/Clinicaltrials/index.jsp). We conducted same methods in our previous studies [22]. Eligible studies were identified using any of the following index words: hilar cholangiocarcinoma or HCCA or hilar bile duct cancer or Klatskin tumor, portal vein resection or vascular resection. Relevant reviews and meta-analyses comparing the safety and efficiency of combined PVR in HCCA patients were examined manually to identify additional eligible studies.

## Inclusion and exclusion criteria

For inclusion in our analysis, studies had to satisfy the following criteria: (1) analyzed the postoperative outcomes in HCCA patients undergoing surgery therapy; (2) HCCA patients should be treated with hepatectomy combined with PVR versus without PVR; (3) data from the same institution should not be repeated publication (if patients did not overlap according to different reports, all of the studies were included).

Trails without controls, without detailed data reported (abstract without full text, reviews and case reports) were excluded.

### Types of outcome measures

Primary outcomes evaluated in the meta-analysis were survival rate (1-year, 3-year and 5-year

survival rate). Secondary outcomes were postoperative morbidity (overall complications, bacteremia, wound infection, postoperative liver failure, and postoperative bile leakage), postoperative mortality and postoperative pathological outcomes (lymphatic invasion, portal vein invasion, perineural invasion and curative resection).

### Data extraction and quality assessment

Two reviewers (J.C. and T.B.) independently screened each potentially eligible study and independently extracted the data below: authors, publication year, research design, and patient characteristics in all study arms, interventions, and outcomes. Disagreements about study eligibility or extracted data were arbitrated by a third reviewer (L.Q.L) [22].

Two reviewers (J.C. and T.B.) independently used Newcastle-Ottawa Scale (NOS) [23] scoring standard to evaluate all the included studies quality.

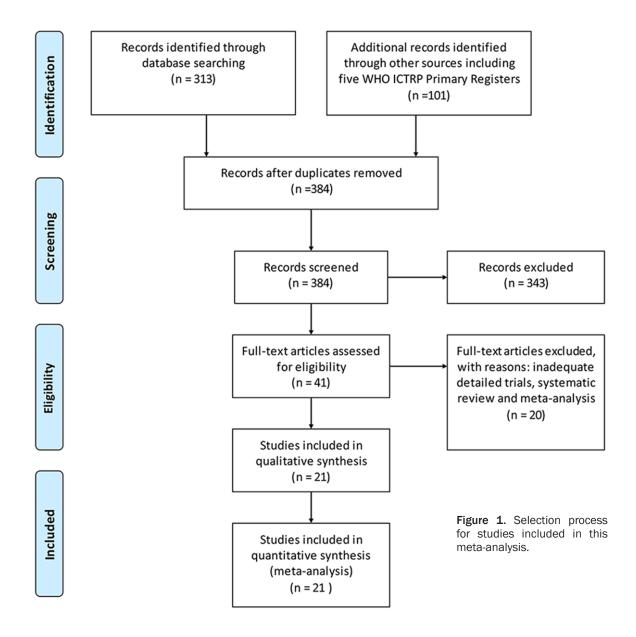
## Statistical analysis

All statistical calculations were performed using Review Manager 5.2 (The Nordic Cochrane Centre, The Cochrane Collaboration, 2012). Mantel-Haenszel risk ratios (RR) with corresponding 95% confidence interval (CI) were used. Heterogeneity was assessed by calculating  $l^2$ . A fixed-effects model was used for meta-analysis when I<sup>2</sup> was less than 50%, while a random-effects model was used when I<sup>2</sup> was more than 50%. In addition, I2<25% was defined to represent low heterogeneity, moderate heterogeneity was defined as a value between 25 and 50%, and I2>50% was of a high heterogeneity [24]. We repeated all meta-analyses by converting the model (fixed- or random-effects) in order to evaluate the robustness of metaanalysis results. If both models gave the similar results, the result was reliable. Publication bias was assessed using Egger's test and funnel plots [25, 26] in Stata 12.0 (Stata Corp, College Station, TX, USA).

## Results

#### Characteristics of the included studies

After systematically and carefully searching of literature databases and trial registries, finally 21 published clinical studies [9, 10, 16-21, 27-39] were included (**Figure 1**). Among 2403 included HCCA patients, 637 of them were with



PVR, another 1766 were without PVR. All included studies were retrospective, and all of them were considered as high risk of bias. The characteristics of the included studies are shown in **Table 1**.

#### Outcomes

Survival: Together 8 studies [17, 18, 21, 29, 33, 35, 38, 39] estimated 1-year survival, and found no significant difference between HCCA patients with or without PVR (RR=0.93, 95% CI 0.75 to 1.14, P=0.49, I<sup>2</sup>=65%). Totally 10 studies [9, 17-19, 21, 29, 32, 33, 38, 39] estimated 3-year survival, and found HCCA patients with PVR had significantly worse survival when compared with HCCA patients without PVR

(RR=0.67, 95% CI 0.56 to 0.81, P<0.001,  $I^2$ =46%). Also, patients without PVR have better 5-year survival rate which estimated in 14 studies [9, 16-21, 30, 32-35, 38, 39] (RR=0.67, 95% CI 0.49 to 0.91, P=0.01,  $I^2$ =54%) (**Figure 2**).

Postoperative morbidity: Overall complications were assessed by 12 studies [16-19, 21, 27-29, 31, 36-38]. No significant difference was found between HCCA patients with or without PVR (RR=1.06, 95% CI 0.94 to 1.02, P=0.35, I<sup>2</sup>=0%) (Supplementary Figure 1).

Six studies [16, 17, 19, 21, 36, 39] mentioned postoperative liver failure, the pooled result showed that it did not differ significantly

Table 1. Characteristics of included studies

Reference	V	Enrolled patients (n)	Pati	ents (n)	Time of attention	Quality score
	Year		With PVR (n)	Without PVR (n)	Type of study	
Dinant et al.	2006	37	7	30	Retrospective study	6
Ebata et al.	2003	160	52	108	Retrospective study	7
Gerhards et al.	2000	108	10	98	Retrospective study	6
Han et al.	2007	47	11	35	Retrospective study	5
Hemming et al.	2006	60	26	34	Retrospective study	7
Hemming et al.	2011	95	42	53	Retrospective study	7
Hirano et al.	2010	126	64	61	Retrospective study	6
Igami et al.	2010	245	69	176	Retrospective study	6
Konstadoulakis et al.	2008	49	14	35	Retrospective study	7
Lee et al.	2000	111	29	82	Retrospective study	7
Lee et al.	2010	302	40	262	Retrospective study	7
Miyazaki et al.	2007	152	34	118	Retrospective study	7
Muñoz et al.	2002	28	10	18	Retrospective study	7
Neuhaus et al.	1999	95	23	43	Retrospective study	6
Nimura et al.	2000	142	43	99	Retrospective study	6
Shimada et al.	2003	26	9	24	Retrospective study	6
Song et al.	2009	259	51	208	Retrospective study	7
Tamoto et al.	2013	49	36	13	Retrospective study	6
Young et al.	2010	51	21	30	Retrospective study	7
Yu et al.	2014	238	25	174	Retrospective study	7
Zhou et al.	2008	96	21	65	Retrospective study	7

between with or without PVR group (RR=0.91, 95% CI 0.58 to 1.42, P=0.68, I<sup>2</sup>=18%). Five studies [17, 19, 21, 36, 39] refer to postoperative bile leakage, the pooled result was similar (RR=1.33, 95% CI 0.73 to 2.41, P=0.84, I<sup>2</sup>=0%). Totally 2 trails [19, 21] reported postoperative wound infection, the pooled result showed that HCCA patients with PVR had similar risk as patients without PVR (RR=0.79, 95% CI 0.50 to 1.27, P=0.24, I<sup>2</sup>=29%). The result is also similar between 2 groups in bacteremia reported by 3 studies [19, 36, 39] (RR=1.29, 95% CI 0.66 to 2.54, P=0.45, I<sup>2</sup>=0%).

Mortality: In our research, 15 studies [10, 17-21, 27-30, 34-37, 39] represented postoperative mortality. The pooled results showed patients with PVR had significantly higher mortality rate (RR=1.52, 95% CI 1.06 to 2.18, P=0.02, I<sup>2</sup>=28%) (Supplementary Figure 2).

## Postoperative pathological outcomes

There were 6 studies [16-19, 21, 39] reported lymphatic invasion, the results showed patients

with PVR had significantly higher rate of lymphatic invasion (RR=1.14, 95% CI 1.02 to 1.28, P=0.02,  $I^2$ =3%). Totally 4 studies [16, 17, 19, 21] mentioned portal vein invasion, the pooled results were similar between patients with or without PVR (RR=1.33, 95% CI 0.71 to 2.49, P=0.38,  $I^2$ =95%). Perineural invasion was reported by 5 studies [16-19, 21], patients with PVR has significantly higher perineural invasion rate than that in patients without PVR (RR=1.31, 95% CI 1.05 to 1.63, P=0.01,  $I^2$ =79%). There were 7 studies [16, 17, 19, 21, 27, 35, 39] mentioned curative resections, patients with PVR has significantly lower rate of curative resection (RR=0.89, 95% CI 0.79 to 0.99, P=0.03,  $I^2$ =0%).

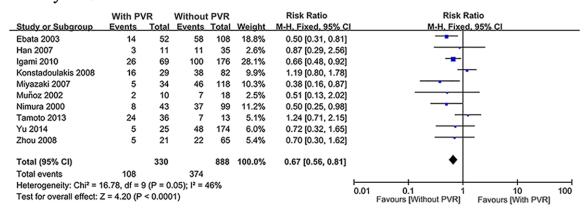
## Sensitivity analysis

In order to test whether our meta-analysis results were skewed. We converted all the model, and found results were similar to previous ones except portal vein invasion (RR=1.30, 95% CI 1.13 to 1.49, P<0.001,  $l^2$ =95%). The heterogeneity of this result is relatively high which  $l^2$  is 95%. It showed us that the result of

## A 1-year survival

	With PVR		Without PVR		Risk Ratio		Risk Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% CI	
Han 2007	9	11	30	35	14.6%	0.95 [0.70, 1.30]	+	
Konstadoulakis 2008	11	14	26	35	13.8%	1.06 [0.76, 1.48]	+	
Miyazaki 2007	14	34	74	118	11.4%	0.66 [0.43, 1.00]	<del></del>	
Muñoz 2002	6	10	13	18	8.1%	0.83 [0.46, 1.49]	<del>-</del>	
Neuhaus 1999	23	23	37	43	19.7%	1.15 [1.00, 1.32]	<b> -</b>	
Tamoto 2013	29	36	9	13	12.1%	1.16 [0.78, 1.73]	<del> -</del>	
Yu 2014	12	25	109	174	11.4%	0.77 [0.50, 1.17]	<del></del>	
Zhou 2008	9	21	38	65	8.9%	0.73 [0.43, 1.25]	<del>-</del>	
Total (95% CI)		174		501	100.0%	0.93 [0.75, 1.14]	•	
Total events	113		336					
Heterogeneity: $Tau^2 = 0.05$ ; $Chi^2 = 20.04$ , $df = 7$ (P = 0.005); $I^2 = 65\%$								
Test for overall effect: Z = 0.70 (P = 0.49)						0.01 0.1 1 10 100 Favours [Without PVR] Favours [With PVR]		

## B 3-year survival



## C 5-year survival

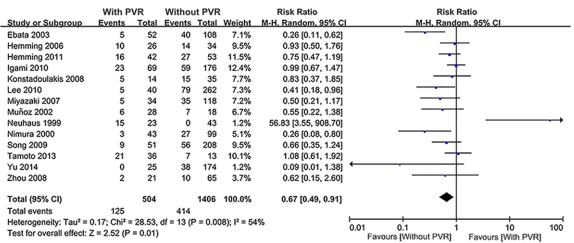


Figure 2. Meta-analysis of data on survival for patients undergoing surgery with and without combined portal vein resection for hilar cholangiocarcinoma.

this analysis still needed further concerns. Other results after converting is similar as the previous ones suggesting that our meta-analyses were reliable.

## Publication bias

Funnel plots were generated and analyzed using Egger's tests in order to assess the risk

of publication bias in all included studies. The funnel plots for 5-year survival appeared to be symmetrical, suggesting the absence of bias. This was corroborated by Egger's test (t=-0.90, P=0.384) (Supplementary Figure 3).

#### Discussion

Combined PVR for advance HCCA patients with portal vein involvement is to increase surgical resection rate and survival benefit [35]. However, significantly higher mortality [16] and postoperative liver failure rate in patients with PVR give us the controversial idea of the safety and efficiency of PVR. Here we systematically reviewed and meta-analyzed the literature to address this question.

After systematically and carefully literature searching, we found three similar systematic review [38, 40, 41]. In Wu et al. [41], the result of postoperative morbidity [odds ratio (OR) =1.15, 95% CI 0.75-1.75], mortality (OR=2.31, 95% CI 1.21-4.43), and perineural invasion (OR=4.36, 95% CI 1.32-14.38) is similar with us. However, the 5-year survival rate (OR=0.66, 95% CI 0.36-1.21) and some pathological results (RO resection, OR=0.57, 95% CI 0.29-1.11 and status of lymph nodes, OR=1.46, 95% CI 0.90-2.37) are different from us. In their review, the subgroup analysis depending on sample size and publish year needs further consideration. The cutoff point is the mean value of the sample size and publish year. The definition of cutoff point is difficult to understand. Since cutoff point should be the demarcation between 2 subgroups which is to distinguish the homogeneity and heterogeneity. In Chen et al. [40], their result of postoperative morbidity (OR=1.30, 95% CI 0.89-1.88), postoperative liver failure (OR=0.83, 95% CI 0.48-1.43), postoperative bile leakage (OR=1.31, 95% CI 0.65-2.64), curative resection (OR= 0.65, 95% CI 0.46-0.92), lymph node metastasis (OR=1.50, 95% CI 1.06-2.13), and perineural invasion (OR=2.95, 95% CI 1.80-4.84) is similar with us. However, the result of mortality (OR=1.60, 95% CI 0.90-2.86) and survival (hazad ratio=1.90, 95% CI 1.59-2.28) is different from us. In addition, publication bias test result was presented in neither studies. Attributed to all above mistakes and bias, the different results were presented. Moreover, another meta-analysis conducted by Yu et al. [38], approved our results (morbidity, OR=1.27, 95% CI 0.91 to 1.77; mortality, OR=2.05, 95% CI 1.33 to 3.15; 5-year survival rate, OR=0.42, 95% CI 0.24 to 0.73).

In order to solve these limitations, we conducted our systematic review. In our analysis, we carefully searched the literatures. We added several new articles, represented the exact data, conducted sensitivity test and presented publication bias test result. We finally found patients with PVR were likely to suffer less curative resections. However, curative resection rate has been an independent prognostic factors for long survival [16, 30, 34, 42]. Increased evidence showed dismal prognostic significance of pathologically proven nodal metastases [4, 42-45]. Kitagawa et al. [46]. reported a 30.5% and 14.7% 5-year survival rate in HCCA patients with negative node metastases and with regional nodal metastases respectively. All the evidence suggested that patients with these prognostic factors usually suffered worse survival outcomes. In our analysis, patients with PVR were likely to have more advanced HCCA (more lymphatic invasion, more perineural invasion). The significant differences of pathological results would potentially reduce overall survival in the PVR cohort. In our analysis, patients with PVR suffered a worse 5-year survival rate and a higher mortality rate. The reliability of the possible correlation between combined PVR and worse survival results would be impaired by above evidence.

Combined PVR for patients with HCCA may prolong the operation time and intraoperative hepatic inflow occlusion time. Therefore, the risk of postoperative liver failure and postoperative complications should increase attribute to the ischemic damage of the residual liver [47, 48]. Nevertheless, postoperative morbidity rate and different postoperative complications were similar between 2 groups according to our analysis. Our results revealed that combined PVR have little association with postoperative complications. In addition, the development of preoperative biliary drainage and portal vein embolization, has decreased postoperative mortality rate [49]. With all these improvement, combined PVR is safe and reliable.

The biggest limitation in our systematic review is the included studies were retrospective, non-randomized studies which would increase the selection bias. Moreover, the sample size is

small which decrease the reliability of the final results. We select studies carefully with strict include and exclude criteria. Newcastle-Ottawa quality assessment tool [23] was performed to evaluate the quality which our final quality is high. Sensitivity analysis was conducted to conform the reliability of the pooled estimates in the meta-analysis. Publication bias is of no significance in our review. Thus, the selection bias would play little role in our final results.

In conclusion, there is no significant difference in the overall postoperative morbidity and postoperative complications between patients with PVR and without PVR. In more advance HCCA patients, a significantly higher mortality rate and worse survival could be detected. However, owing to the low level of clinical evidence available to date, the results requires further high-quality randomized, and controlled clinical studies for validation.

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## Disclosure of conflict of interest

None.

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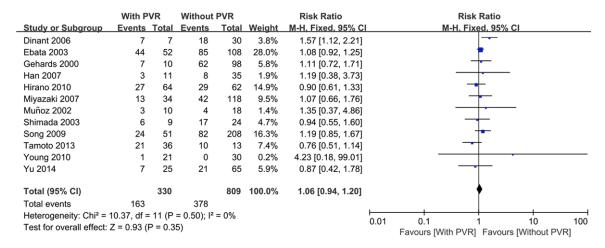
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## Checklist S1. PRISMA 2009 Checklist

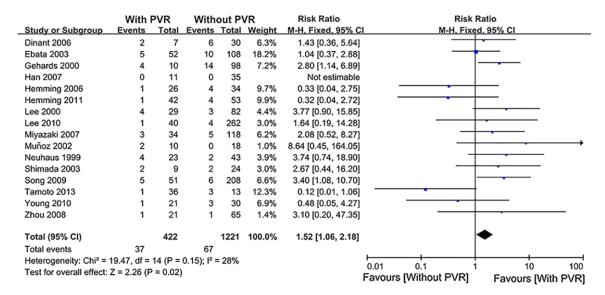
Section/topic	#	Checklist item	Reported on page #
Title			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
Introduction			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3
Methods			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4-5
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	5
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I²) for each meta-analysis.	5
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	5
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	5

Results			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	8-9
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	7
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	6-8
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	8-9
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	6-8
Discussion			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	9-11
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	11
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	11-12
Funding			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	1

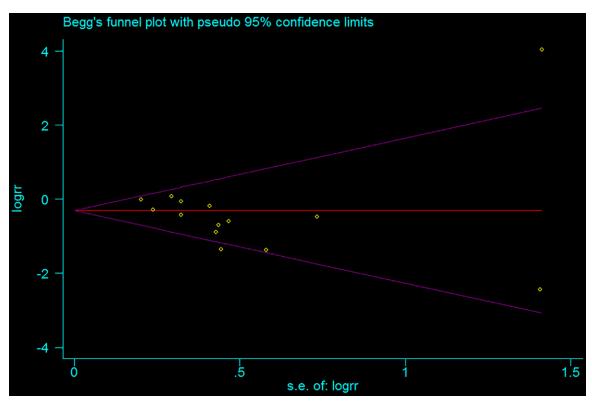
From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097. For more information, visit: www.prisma-statement.org.



**Supplementary Figure 1.** Meta-analysis of data on postoperative morbidity for patients undergoing surgery with and without combined portal vein resection for hilar cholangiocarcinoma.



Supplementary Figure 2. Meta-analysis of data on postoperative mortality for patients undergoing surgery with and without combined portal vein resection for hilar cholangiocarcinoma.



**Supplementary Figure 3.** Funnel plot of pooled relative risk estimates comparing 5-year survival of for patients undergoing surgery with and without combined portal vein resection for hilar cholangiocarcinoma.