# Original Article The stress peptide PACAP-38 protects neurons against ketamine-induced apoptosis in developing rat retina

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Abstract: Early exposure to general anesthetics can cause widespread neuronal apoptosis and long-term neurocognitive deficits. However, the combined effects of general anesthetics and various noxious stimulations on animal neuronal survival are controversial. This study aimed to assess the effects and mechanisms of pituitary adenylate cyclase-activating peptide-38 (PACAP-38, a master stress peptide produced by noxious stimulation) on ketamineinduced neuronal apoptosis. Whole-mount retinas isolated from postnatal day 7 Sprague Dawley rats were cultured and incubated with 150 µM ketamine for 5 hours in the presence or absence of PACAP-38. Then, immunohistochemistry detecting active caspase-3 and TUNEL assay were used to evaluate neuronal apoptosis in the retinal ganglion cell layer. Interestingly, 10<sup>-8</sup> M PACAP-38 significantly reduced ketamine-induced neuronal apoptosis in the retinal ganglion cell layer with mean ratios of caspase-3 positive cells decreasing from 6.5±0.7% to 3.2±0.2% (P<0.001) and TUNEL-positive cells from 31.3±4.4% to 16.9±3.0% (P=0.004), respectively. PACAP6-38, a PACAP-38 antagonist, abolished the anti-apoptotic effect of PACAP-38 at 10<sup>-7</sup> M. Further assessments showed that PACAP's anti-apoptotic effects could be partly antagonized by adenylate cyclase (AC) and protein kinase A (PKA) inhibitors, respectively, and mostly counteracted by extracellular signal-regulated kinase (ERK1/2) inhibitor. In summary, our study demonstrated PACAP-38 could protect neurons from ketamine-induced apoptosis in early developmental rat retina. This anti-apoptotic effect PACAP-38 is partly dependent on the cAMP/PKA signaling pathway, and mainly ascribed to ERK1/2.

Keywords: PACPA, ketamine, anesthetic, neuronal apoptosis

#### Introduction

Preclinical studies have clearly shown that long time or repetitive exposure of general anesthetics to neonatal animals can lead to widespread neuronal apoptosis, neurocognitive deficits, and neurodegenerative disorders; however, whether general anesthetics also cause similar changes during the early developmental stage in human brain cells remains elusive [1-5]. Contrasting with most animal experiments, in which anesthesia is the sole interference factor, clinical studies confront considerably more complex confounding factors, including patient's general conditions, various diseases and surgical stimulations, and balance between noxious stimulation and depth of anesthesia [6]. It remains controversial whether different noxious stimulations could affect anesthesiainduced neuronal apoptosis [7-9].

Multiple clinical and animal studies have convincingly shown that noxious stimulation in early life can cause various abnormalities in long-term pain perception and behavior, with neuronal generation depending on the types and degrees of noxious stimulations [10, 11]. In addition to inflammatory cytokines, a number of neurotransmitters and stress peptides are also involved in noxious stimulation induced developmental abnormalities [12].

Among the stress peptides, pituitary adenylate cyclase-activating peptide (PACAP) is a highly conserved pleiotropic neuropeptide widely expressed in the nervous system; it functions as a neurotransmitter, neuromodulator, and/or neurotrophic factor to regulate neuroendocrine stress response at multi-levels, especially under prolonged or traumatic stress [13-16]. After noxious stimulation, the level of PACAP-like

immunoreactivity significantly increases in the brain, retina, and other tissues [15, 17]. PACAP molecules exist in two forms, including PACAP-27 and -38, with PACAP-38 showing a more potent protective effect compared with PACAP-27 [18]. As a neurotrophic factor, PACAP protects neurons from a variety of noxious stimulations such as ischemia, oxidative stress, and hypoxia [19, 20], and promotes the survival of central and peripheral neurons as well as pluripotent stem cell differentiation [21]. However, whether PACAP also protects neurons from general anesthesia induced apoptosis during early development remains unclear.

In rats, postnatal day 7 is considered the most vulnerable period to general anesthetics, since synaptic genesis is prevalent in the developing central nervous system [22, 23]. Retina, an extension of the central nervous system, is composed of three neuronal layers. It provides an excellent model to assess neuronal degenerations [24]. Therefore, the whole-mount retina culture model of P7 animals was used to assess the effects and mechanisms of PACAP-38 on ketamine-induced neuronal apoptosis in developing rat retina.

# Materials and methods

#### Animals

Animal procedures were approved by the Animal Care Committee at Shanghai General Hospital, Shanghai Jiao Tong University School of Medicine, and conducted in strict accordance with the Guidelines for the Care and Use of Laboratory Animals published by the US National Institutes of Health (National Institutes of Health Publication No. 85-23, revised in 1996) and ARVO Statement for the Use of Animals in Ophthalmic and Vision Research. Postnatal day 7 Sprague Dawley (SD) rats were provided by Experimental Animal Center, Shanghai General Hospital, Shanghai (China) and lived with their mother under a 12-h light/ dark cycle, with food and water available ad libitum to their mother until experiment start. Male and female rat pups were included in this study. Every effort was made to minimize animal number and discomfort during the experiments.

# Pharmacology

The following drugs were used: Ketamine (Gutian Pharmaceutical Company, China), PACAP- 38 and PACAP6-38 (Enzo Life Sciences, USA), adenylate cyclase (AC) inhibitor SQ 22536 and protein kinase A (PKA) inhibitor H-89 (Sigma-Aldrich Company, USA), extracellular signal-regulated kinase (ERK1/2) inhibitor U0126 (Beyotime Company, China). All drugs were prepared as concentrated stock solutions, stored at -20°C, and diluted at 1:1000 in artificial cerebrospinal fluid (ACSF) on the experimental day.

# Methods

The experimental protocol was slightly modified from previous methods [25]. Briefly, experimental rat pups were euthanized by over-exposure to CO<sub>2</sub>, and instantaneously decapitated. Freshly enucleated eyes were immersed in co-Id ACSF (in mM: 119 NaCl, 2.5 KCl, 1.3 MgCl, 1.0  $KH_2PO_4$ , 2.5 CaCl<sub>2</sub>, 26.2 NaHCO<sub>3</sub>, and  $1\overline{1}$ D-glucose) bubbled with 95% 0, and 5% CO,. Then, the eyeballs were transferred to ACSFcontaining incubation chambers in the presence of different drugs for 5 hours at 37°C. Based on a previous study [26], PACAP-38 at 10<sup>-8</sup> M was first assessed. In order to facilitate the diffusion of PACAP-38 and other drugs into eveballs, a small incision was made between the edges of cornea and sclera using ophthalmology scissors. After drug intervention, the eyeballs were rapidly transferred into ice-cold (0-4°C) ACSF. The cornea, iris, lens, and vitreous humor were removed from eyes using scissors under a stereomicroscope. Then, the detached retinas were fixed in 4% paraformaldehyde at 4°C for 24 hours. After fixation, retina specimens were dehydrated with an ethanol gradient, paraffin embedded, and sectioned at 4-6 µM on a paraffin slicing machine (Leica-2135, German) for activated caspase-3 and TUNEL detection.

# Cleaved Caspase-3 staining

Cleaved Caspase-3 detection in the retinal ganglion cell layer was performed according to the manufacturer's recommendations. First, endogenous peroxidase was inactivated by 3% of hydrogen peroxide, and heat-induced epitope retrieval was carried out in Tris/EDTA buffer (pH 9.0) in a pressure cooker; then, retinal tissue sections were successively incubated with rabbit anti-cleaved caspases-3 antibody (#9661s, 1/300, Cell Signaling Technology, Danvers, MA, USA) overnight at 4°C, and horseradish peroxi-



**Figure 1.** PACAP-38 alleviates ketamine-induced neuronal apoptosis in early retinal development. (A and B) representative photomicrograph of apoptotic cells expression in the retinal ganglion cell layer using Caspase-3 immunohistochemistry and TUNEL labeling (brown color in A and red fluorescence in B). a: Control; b: Ketamine 150  $\mu$ M; c: Ketamine 150  $\mu$ M + PACAP-38 10<sup>-8</sup> M; d: PACAP-38 10<sup>-8</sup> M; e: Ketamine + PACAP-38 10<sup>-8</sup> M + PACAP6-38 10<sup>-7</sup> M. (n=5 retinas per subgroup). Scale bar, 50  $\mu$ m. (C and D) Quantification of the rations of Caspase-3-positive and TUNEL-positive cells present in the retinal cells layer (GCL). The results are expressed as mean ± SEM. \**P*<0.05, \*\**P*<0.01 versus with control group; #*P*<0.05, ##*P*<0.01 versus with ketamine group; &*P*<0.05, &*&P*<0.01 versus with ketamine + PACAP-38 group.

dase-conjugated goat anti-rabbit IgG (PV-90-01, ZSGB-BIO, Beijing, China) at 37°C for 1 hour. Finally, caspase-3 immunoreactivity was detected by chromogenic reaction using 3, 3'-diaminobenzidine (DAB) (ZLI-9017, ZSGB-BIO, Beijing, China) oxidization. Following hematoxylin (blue) counterstaining, images were acquired under a microscope at 100 × magnification.

#### TUNEL assay

According to instructions of the TUNEL kit (Roche Applied Science, Indianapolis, IN, USA),

retinal tissue slides were deparaffinized and rehydrated. This was followed by treatment with proteinase K (Roche Applied Science, Indianapolis, IN, USA) to facilitate the exposure of antigen binding sites, and incubation with 3% hydrogen peroxide for endogenous peroxidase inactivation. After the preliminary preparation, retinal slices were incubated in a terminal deoxynucleotidyl transferase (TdT) reaction mix at 37°C for 1 hour, followed by DAPI for 5 min. TUNEL-positive cells were visualized by confocal fluorescence microscopy and imaged with a digital camera (Leica TCS SP8; Leica, Germany). Five images of randomly selected



**Figure 2.** Effects of PACAP-38 with different concentrations on ketamine-induced retinal apoptosis. The whole-mount retinas were treated with ketamine for 5 hours in the absence or presence of different concentrations of PACAP-38 ( $10^{.9}$ ,  $10^{.8}$ ,  $10^{.7}$ ,  $10^{.6}$  M), The neuronal apoptosis was detected by TUNEL assay. The results are expressed as mean  $\pm$  SEM (n=5 retinas per subgroup). #P<0.05, ##P<0.01 versus ketamine group.

high power fields were captured in each retinal specimen.

#### Statistical analysis

Mean ratios of cleaved caspase-3 and TUNEL positive neurons in the retinal ganglion cell layer were calculated by the Image-Pro Plus software (Media Cybemetics Company, USA), Statistical analyses were performed using Origin version 8.0 (Origin, OriginLab Corporation, USA). Data were tested for normal distribution using the Shapiro-Wilk test, and for variance homogeneity with the Levene test. Differences among groups were assessed by one-way AN-OVA followed by LSD post hoc test. Statistical comparisons were performed using the Mann-Whitney test for very small sample size with non-normal distributed data. Data are mean ± standard error of the mean (SEM). All differences were considered statistically significant at P<0.05.

#### Results

PACAP-38 alleviates ketamine-induced neuronal apoptosis in early retinal development

Both immunohistochemistry and TUNEL assays showed that PACAP-38 significantly alleviated

ketamine-induced neuronal apoptosis in early retinal development (Figure 1). 10-8 M PACAP-38 reduced mean ratios of caspase-3 positive cells from 6.5±0.7% to 3.2±0.2% (P<0.001) (Figure 1A and 1C); meanwhile, TUNEL-positive cells were decreased from 31.3±4.4% to 16.9±3.0% (P=0.004) (Figure 1B and 1D). In addition, the PACAP receptor antagonist PA-CAP6-38 (10<sup>-7</sup> M) almost blocked the neuroprotective effect of PACAP-38. Mean ratios of caspase-3 and TUNEL-positive cells increased from 3.2±0.2% to 6.3±0.5% (P=0.001) (Figure 1A and 1C), and from 16.9±3.0% to 29.4±2.5% (P=0.01) (Figure 1B and 1D), respectively, after co-incubation with 10<sup>-7</sup> M PACAP6-38. Mean ratios of TUNEL-positive cells in the ganglion cell layer after treatment with different concentrations of PACAP-38 are shown in Figure 2.

The cAMP/PKA signaling pathway partly contributes to PACAP-38's effect against ketamine-induced neuronal apoptosis in the developing rat retina

To explore the role of cAMP/PKA signaling in PACAP-38's effect against ketamine induced retinal apoptosis at P7, the cell-permeable AC inhibitor S022536 or selective PKA inhibitor H-89 was co-incubated with ketamine and PACAP-38. S022536 (100 µM) and H-89 (1.0 µM) significantly reduced but not completely counteracted the alleviation effects of 10<sup>-8</sup> M PACAP-38 on neuronal apoptosis induced by 150 µM ketamine, with mean ratios of caspase-3 positive cells in the retinal ganglion cell layer increasing from 3.3%±0.2 to 5.3±0.2% (P=0.001), or 6.0±0.4% (P=0.0004), respectively (Figure 3A and 3C); meanwhile, mean ratios of TUNEL-positive cells were increased from 16.9±2.9% to 26.9±1.0% (P=0.05) or 27.9±2.7% (P=0.02), respectively (Figure 3B and 3D), indicating that cAMP/PKA signaling was partly involved in PACAP-38's effects on ketamine-induced neuronal apoptosis in P7 rats.

# ERK1/2 mainly contributes to PACAP-38's effect against ketamine-induced neuronal apoptosis in developing rat retina

Next, we assessed whether ERK1/2, downstream of cAMP/PKA signaling, contributed to the alleviation effects of PACAP-38 on ketamine-induced retinal apoptosis in P7 rats. The ERK1/2 inhibitor U0126 (50  $\mu$ M) almost completely abolished the alleviation effects of 10<sup>-8</sup>



**Figure 3.** The cAMP/PKA Signaling Pathway partly contributes to PACAP-38's effect against ketamine-induced neuronal apoptosis in the developing rat retina. (A and B) Representative photomicrograph of apoptotic cells expression in the retinal ganglion cell layer using Caspase-3 immunohistochemistry and TUNEL labeling (brown color in A and red fluorescence in B). a: Control; b: Ketamine 150  $\mu$ M; c: Ketamine 150  $\mu$ M + PACAP-38 10<sup>-7</sup> M; d: Ketamine 150  $\mu$ M, e: Ketamine 150  $\mu$ M + PACAP-38 10<sup>-7</sup> M + H-89 10  $\mu$ M. (n=5 retinas per subgroup). Scale bar, 50  $\mu$ m. (C and D) Quantification of the rations of Caspase-3-positive and TUNEL-positive cells present in the retinal ganglion cell layer. The results are expressed as mean ± SEM. \*P<0.05, \*\*P<0.01 versus with ketamine #PACAP group.

M PACAP-38 on 150  $\mu$ M ketamine-induced retinal apoptosis: mean ratios of caspase-3 and TUNEL-positive cells were increased from 3.3 $\pm$  0.3% to 7.3 $\pm$ 0.3% (P<0.001) (Figure 4A and 4C), and from 16.5 $\pm$ 2.8% to 35.6 $\pm$ 5.2% (P= 0.0039) (Figure 4B and 4D), respectively.

#### Discussion

The data presented here showed that PACAP-38 significantly alleviated ketamine-induced apoptosis in early retinal development. This anti-apoptotic effect was partly dependent on the cAMP/PKA signaling pathway. Meanwhile, ERK1/2 mainly contributed to PACAP-38's effects against ketamine-induced retinal apoptosis in the developing rat retina.

Ketamine was used in this study at 150  $\mu$ M. Its concentration in human plasma can reach 38-108  $\mu$ M after intravenous administration at 1~2 mg/kg [27], indicating that ketamine levels

PACAP-38 alleviates ketamine-induced apoptosis



**Figure 4.** ERK1/2 mainly contributes to PACAP-38's effect against ketamine-induced neuronal apoptosis in developing rat retina. (A and B) Representative photomicrograph of apoptotic cells expression in the retinal ganglion cell layer using Caspase-3 immunohistochemistry and TUNEL labeling (brown color in A and red fluorescence in B). a: Control; b: Ketamine 150  $\mu$ M; c: Ketamine 150  $\mu$ M + PACAP-38 10<sup>-7</sup> M; d: Ketamine 150  $\mu$ M + PACAP-38 10<sup>-7</sup> M + U0126 50  $\mu$ M. (n=5 retinas per subgroup). Scale bar, 50  $\mu$ m. (C and D) Quantification of the rations of Caspase-3-positive and TUNEL-positive cells present in the retinal ganglion cell layer. The results are expressed as mean ± SEM. \*P<0.05, \*\*P<0.01 versus with control group; #P<0.05, ##P<0.01 versus with ketamine group; &P<0.05, &&P<0.01 versus with ketamine + PACAP-38 group.

in our study were close to clinically relevant amounts. It has been reported that developing rat brain exposed to ketamine at 10  $\mu$ M for 48 hours displays wide spread neuron apoptosis [28]. In this study, Treatment of whole-mount rat retina with 150  $\mu$ M ketamine for 5 hours also significantly increased neuronal apoptosis. In addition, our previous study showed that ketamine-induced neuronal apoptosis was timedependent (1~5 h, data not show).

Physiological PACAP concentrations (10<sup>-10</sup> to 10<sup>-8</sup> M) protect neurons from apoptosis [29, 30]. As shown above, 10<sup>-8</sup> M PACAP significantly reduced ketamine-induced neuronal apopto-

sis in early developing rat retina. In addition, PACAP's protective effects peaked at  $10^{-7}$  M before decreasing at  $10^{-6}$  M. In contrast, Shoge et al. showed that PACAP attenuates gluta-mate-induced neurotoxicity in retinal neurons in a dose-dependent manner, with maximum protective effect observed at  $10^{-6}$  M [31]. The divergence between both studies may be due to different expression levels of PACAP receptors (mainly PAC1 receptor), which display remarkable changes during retina development [32].

It is widely accepted that PACAP-38 increases intracellular cAMP levels through activation of

PAC1 receptor, and induces the cAMP/PKA signaling pathway. However, it remains unclear whether this pathway is also involved in the neuroprotective effect of PACAP-38 against ketamine [31, 33, 34]. We found that PACAP-38's effect on ketamine-induced apoptosis was partly antagonized by AC and PKA inhibitors, respectively, in agreement with Shoge et al. [31] and Silveira et al. [35], but contrasted the findings by Monika et al. that the AC inhibitor does not block PACAP's anti-apoptotic effect, with neither phospho-PKA nor cAMP overtly induced in response to PACAP [36]. This discrepancy might be due to age difference since Monika et al. assessed younger rats (P1). In addition, they applied PACAP-38 at a low physiological concentration, which may not suffice to activate PAC1 receptors.

Previous data showed that PACAP could activate ERK1/2 and its downstream target, cAMP-responsive element (CREB), which is important in neuronal survival [37]. Here, the ERK1/2 inhibitor U0126 almost completely blocked the anti-apoptotic effect of PACAP-38. ERK1/2 is also crucial in mediating apoptosis in human retinal pigment epithelial cells; indeed, elevated ERK1/2 levels and decreased expression of the pro-apoptotic molecules JNK and p38 MAPK were observed after PACAP treatment [38].

The whole-mount rat retina culture is an ideal experimental model to study anesthetic neurotoxicity because it helps eliminate interfering factors, e.g. anoxia in *in vivo* studies. In addition, it is closer to *in situ* conditions in the central nervous system, thanks to its intact organizational structure.

A few limitations of this study should be mentioned. The effect of PACAP-38 was not evaluated below 10<sup>-9</sup> M. At very low concentrations (sub-picomolar level) PACAP was reported to show some protective effect, involving the IP3/ PLC pathway [39]. In addition, the effect of PACAP-38 pre-treatment on ketamine-induced neuron apoptosis was not assessed. It has been reported that PACAP-38 has long-lasting neuroprotective effect, with 1 and 6 hour pretreatment displaying similar strength [40]. Therefore, it is possible that no difference exists between the effects of PACAP-38 pretreatment and during co-treatment, on ketamine-induced neuron apoptosis. In summary, the data presented here demonstrated that PACAP-38, acting through PAC1 receptor, significantly protects neurons against ketamine-induced apoptosis in the early developing rat retina. The anti-apoptotic effect of PACAP-38 is partly dependent on cAMP/PKA signaling, and can be mainly ascribed to of ERK1/2.

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# Disclosure of conflict of interest

None.

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