

Original Article

A pyroptosis-related prognosis model to predict survival in colorectal cancer patients

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Abstract: Pyroptosis is a recently-identified pathway of host cell death that is stimulated by a range of microbial infections. Emerging evidence indicates pyroptosis plays crucial roles in tumor growth, disease progression, and migration of different cancer cells. However, the clinical significance of pyroptosis in tumor behavior prognosis, as well as the underlying mechanism in different cancers remains elusive. Here, by evaluating the expression level of pyroptosis genes in colorectal cancer (CRC) patients from the TCGA cohort and GEO cohort (GSE39582), we identified pyroptosis-related DEGs and then built a 13-gene risk model by applying the LASSO Cox regression algorithm. Furthermore, functional analysis using GSEA and GSEV revealed that our prognostic model may function through regulating immune responses and tumor biogenesis pathways. Significant infiltration of activated immune cells (e.g. cytotoxic T cells) was observed in the low risk score group. The selected gene set was further validated in the GEO cohort. Time-dependent ROC curves confirmed that our risk score model is robust in predicting 1, 3 and 5-year overall survival in CRC patients. Overall, we have identified a pyroptosis-related gene signature that consists of 13 genes, which serves as a potent indicator of CRC prognosis. Thus, our model provides insights in how to make better clinical decision in the future.

Keywords: Pyroptosis, colorectal cancer, gene signature, prognosis

Introduction

Colorectal cancer (CRC) is ranked as the fifth most common cancer in both genders, representing 7.9% of new cancer incidence yearly in the US [1]. Colon adenocarcinoma (COAD) is the most dominant form of CRC, accounting for up to 53% of cases. COAD has the highest mortality rate of gastrointestinal cancers and the incidence of COAD has risen steadily, especially in developed countries [2, 3]. It was reported that ~295,000 new colorectal cancer cases are diagnosed yearly and ~134,000 deaths are recorded around the globe. Although the five-year survival has greatly improved recently, CRC remains the third leading cause of mortality in the United States. Localized CRC can be treated with surgery, radiation, and local ablation. Despite the advance of curative treatment, more than 30% patients eventually develop metastases, which requires more complicated therapy with high mortality [4, 5]. The

key to successful treatment of CRC is to identify the disease at early stage. However, early clinical manifestations of CRC are diverse and may give rise to a range of non-specific and often misattributed symptoms [6-8]. Hence, there is an immediate need to develop better tools for risk assessment of CRC, to facilitate the management.

There are various proposed hallmarks of tumor cells that lead to growth and metastasis. Inhibited cell death is the most well recognized one [9]. Evidence suggests that malignant cells take advantage of distinct strategies to circumvent cell death pathways that are critical to normal development and physiological status [10]. Different types of cell death function as significant mechanisms for anti-cancer treatment [11, 12]. Recently, a new form of programmed cell death, pyroptosis, was identified, which is different from necrosis, apoptosis, necroptosis, or autophagy. It is believed that pyroptosis is

triggered by proinflammatory signals and occurs frequently upon infection by a range of intracellular pathogens [13].

Numerous studies have focused on investigating the molecular mechanism of pyroptosis regulation and induction; however, the relationship between pyroptosis and cancer remains largely elusive [14]. It was reported that pyroptosis is related to gastric cancer (GC). The expression of GSDMD is decreased in GC cells compared to adjacent non-cancerous cells. GSDMD is a major player in pyroptosis, which can be cleaved by inflammatory caspases upon external stimulation. The resulting N-terminal fragment of GSDMD forms membrane pores, activating the pyroptosis process [15]. Nevertheless, our understanding of pyroptosis in cancer is uncertain, especially whether pyroptosis affects the clinical outcome of cancer patients.

In the present work, we first identified the differential expression genes (DEGs) related to pyroptosis, then constructed and validated a prognostic model upon the expression of selected DEGs to successfully predict the survival of COAD patients. Then, we performed multiple functional analyses to identify the potential molecular mechanism of pyroptosis in COAD. We also explored the difference of immune responses in patients in both low and high-risk groups based on our model and examined the pyroptosis-related immunity and front-line treatment of COAD. The study should provide about the function of pyroptosis in COAD and help discover novel metrics of risk assessment for COAD patients.

Material and methods

Data collection

The University of California Santa Cruz (UCSC) Xena portal (<https://xenabrowser.net/>) was used to download the clinical records and RNA-seq data of the TCGA COAD patients. The RNA-seq data of the GEO cohort (GSE39582) were acquired from GEO portal (<https://www.ncbi.nlm.nih.gov/geo/>). For the TCGA cohort, the gene expression profiles from 41 normal tissues and 458 tumor tissues were included for analysis. The FPKM (Fragments Per Kilobase Million) from the downloaded gene expression files was converted to TPM (Transcripts Per Kilobase Million) for downstream analysis. For

GSE39582, the microarray data from 19 normal tissues and 566 colon cancer tissues were included for model validation.

Kaplan-Meier analysis

Survival analysis was conducted on TCGA COAD patients with complete clinical records. The patients were categorized based on consensus analysis or risk scores and subjected to Kaplan-Meier estimator analysis using Survival package in R. Log rank test was utilized to define statistical significance. The survival curves were generated using Survminer in R.

DEG analysis

Identification of differential expression genes was performed using limma R package. The cut-off used to identify significant DEGs was fold change $> |\pm 1.5|$ and FDR (adjusted p -values) < 0.05 . Volcano plot was depicted for DEG visualization.

GSVA and GSEA

Gene set variation and gene set enrichment analysis were performed respectively to identify the significant pathways and signatures related to pyroptosis. MSigDB gene sets were used for both methods [16]. GSEA analysis was carried out using Cluster Profiler in R. Significant pathways were defined if $|NES|$ (normalized enrichment score) > 1 , $NOM P < 0.05$ and $FDR < 0.05$ with 1000 permutations. GSVA analysis was carried out using GSVA in R. A heatmap was created using pheatmap in R.

Construction and validation of the risk score model

The TCGA cohort and GSE39582 dataset were used for model construction and validation, respectively. A LASSO regression analysis was performed using glmnet package in R. The final model was determined based on the optimal lambda generated from 1000-fold cross-validation. Univariate Cox regression analysis was conducted to identify gene features with significant prognostic value. Feature selection was achieved by recursive feature elimination (RFE) with random forest as classifier and 10-fold cross-validation to avoid model overfitting. The risk score was computed as the linear combination of the gene expression of each feature multiplied by the LASSO coefficient:

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Risk score = $-0.0375 \times \text{MMP3} - 0.1895 \times \text{TNIP3} + 0.1378 \times \text{SLC2A3} - 0.1375 \times \text{MMP10} + 0.0295 \times \text{SERPINE1} + 0.2242 \times \text{NECAB3} - 0.3972 \times \text{RAB3B} + 0.0458 \times \text{CD72} + 0.0716 \times \text{FAS} + 0.1111 \times \text{ADAM8} + 0.0341 \times \text{LZTS3} + 0.2799 \times \text{ANGPTL4} - 0.1612 \times \text{RN7SL3}$.

To validate the risk score model, each patient from GSE39582 was classified into low or high-risk groups based on the risk score calculated from the prediction model. The median risk score was set as the cut-off threshold. Kaplan-Meier curves were generated to estimate overall survival. Using pROC package in R, receiver operating characteristic (ROC) curves were created and the area under the curve (AUC) was computed to predict the survival rate up to five years. The correlation between clinical factors and the risk score was evaluated to assess performance of the risk score model.

Immune score analysis

The CIBERSORT, MCPcounter, single-sample gene set enrichment analysis (ssGSEA), and TIMER algorithms were used to determine the immune cell components or immune responses between low and high-risk groups based on the pyroptosis-related gene signature [17-20]. The results of immune response generated from the four algorithms were visualized by heatmap. The correlation between the clinical factors and the computed immune scores was shown in the same heatmap.

Statistical analysis

Unpaired *t* test was applied to determine significant differences between 2 clusters after passing the normality Shapiro-Wilk test. Wilcoxon test was used to compare high and low risk groups due to the lack of a normal distribution. All the other tests were two-sided. All analysis was conducted using R3.6.1. *P* values < 0.05 were considered significant.

Results

Classification of COAD based on pyroptosis-related genes

Pyroptosis is an inflammatory-associated cell death, that has been widely implicated in various cancer types. For example, pyroptosis could promote inflammatory cell death, while impeding the undesired proliferation and inva-

sion of malignant cells [21]. To understand the connection between pyroptosis and COAD, we collected a total of 37 pyroptosis-related genes from the current literature and performed a consensus clustering analysis with the expression profile of these genes from all 440 COAD patients in the TCGA cohort. When tuning the clustering variable (*k*) (ranging from 2 to 10), we uncovered that the optimal cluster number is two, which has the highest cophenetic correlation coefficient (**Figure 1A** and **1B**). Further PCA analysis confirmed that the COAD patients could be suitably divided into two clusters based on pyroptosis-related genes (**Figure 1C**). We then started to evaluate whether the expression of pyroptosis genes are correlated with any clinicopathologic factors besides the consensus clusters. The expression level of 25 pyroptosis genes were significantly differentiated between cluster 1 and cluster 2 (**Figure 1D**). In contrast, there was no strong correlation observed between these genes and seven other clinical characteristics (age, gender, and various metrics in cancer TNM staging). Additionally, Kaplan-Meier survival analysis showed that COAD patients in cluster 1 and cluster 2 hadve significantly different survival probabilities (**Figure 1E**). Altogether, our results suggest that pyroptosis was strongly correlated with COAD and served as an independent indicator of COAD prognosis.

Identification of pyroptosis-related DEGs

To study whether pyroptosis is related to COAD prognosis, we first identified the differentially expressed genes (DEGs) between the two pyroptosis clusters in the TCGA cohort by performing DEG analysis. DEGs were considered significant if adjusted *P* was less than 0.05 and fold change of expression level was larger than 1.5. A total of 1217 DEGs, with 1012 up-regulated and 105 down-regulated genes were identified between cluster 1 and cluster 2 (**Figure 2A**). Next, we explored the biologic processes and pathways associated with the pyroptosis-related DEGs by performing GO enrichment and KEGG pathway analyses (**Figure 2B**). More than 15 pathways were found to be significantly enriched in cluster 2 of COAD. The top gene sets are involved in regulation of immune and inflammatory responses, such as cytokine receptor binding, T cell activation, and rheumatoid arthritis.

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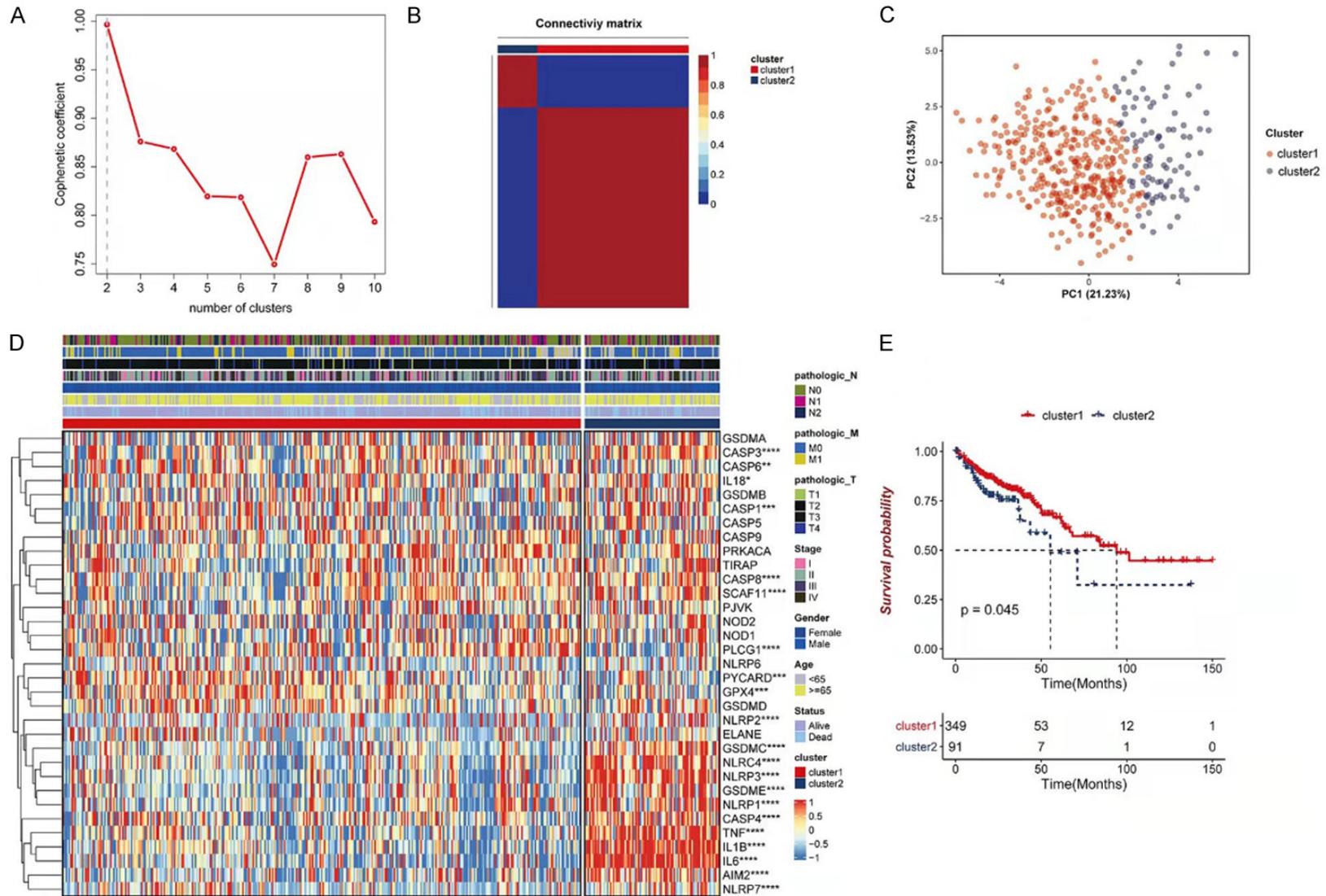


Figure 1. Colorectal cancer (CRC) patients were clustered into two subgroups based on the expression level of pyroptosis-related genes. Nonnegative matrix factorization (NMF) consensus analysis of the TCGA cohort revealed a good consensus for $k = 2$. A. Comparison of cophenetic coefficients among k clusters. B. Consensus matrix for the expression of all pyroptosis-related genes across all TCGA COAD samples at $k = 2$. C. PCA analysis showing the separation of cluster 1 (red) and cluster 2 (blue) based on the first two principal components. D. Heatmap showing the correlation between clinicopathologic factors and the pyroptosis-related subtypes. E. Kaplan-Meier analysis of overall survival of COAD patients in Cluster 1 (red) and Cluster 2 (blue) stratified by the expression of pyroptosis-related genes.

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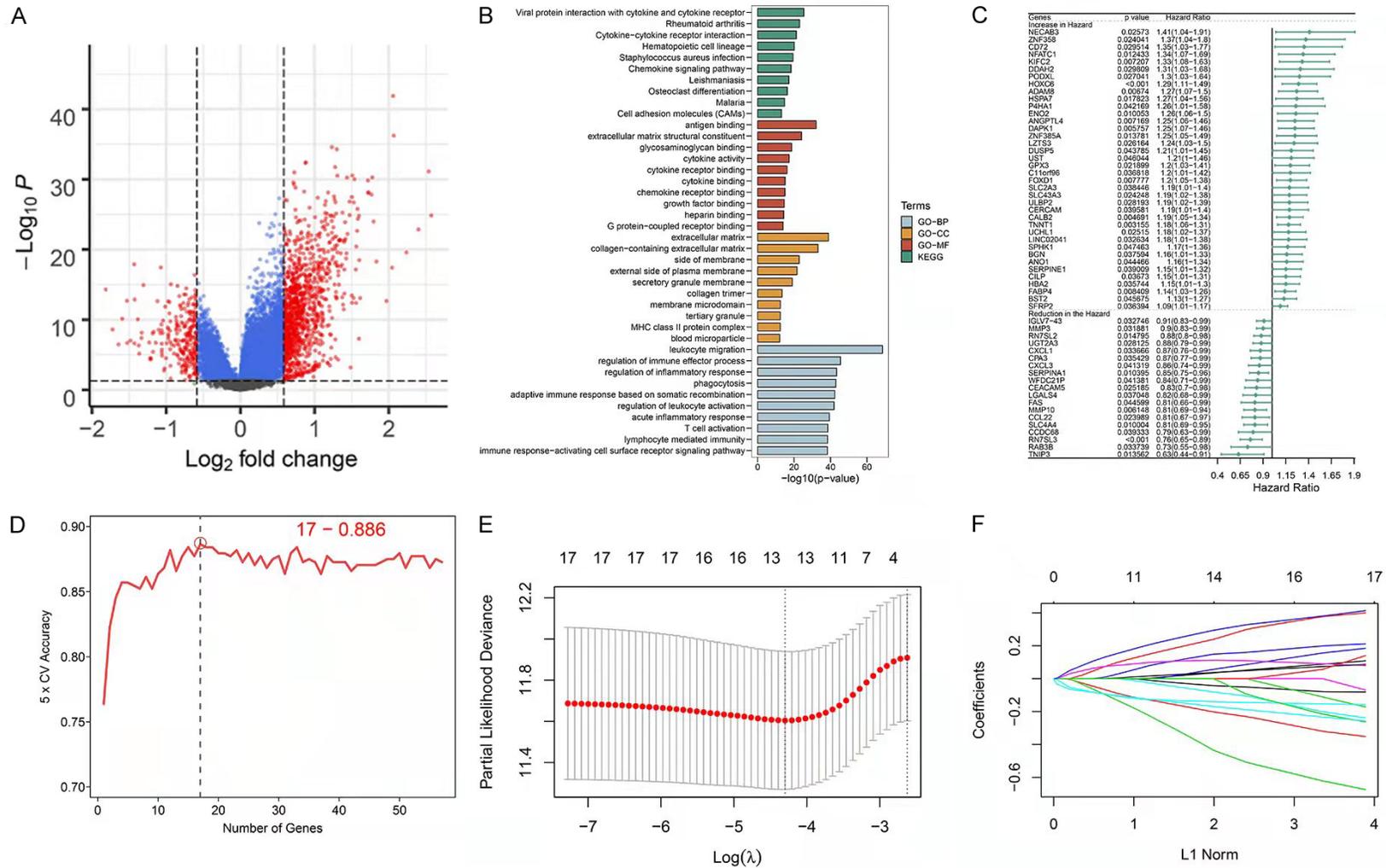


Figure 2. Construction of the prognostic risk model based on pyroptosis-related gene signatures. A. Volcano plot showing the DEGs between cluster 1 and cluster 2 in TCGA cohort. Black and blue dots represent the genes without significant changes, while red dots outside the left and right dashed lines donate the genes with decreased or increased expression, respectively. B. Bar plots showing the significant enriched pathways based on all DEGs. GO: Gene Ontology; BP: Biological Processes; CC: Cellular Component; MF: Molecular Function. C. Forest plot showing the 57 prognostic gene variables selected from univariate Cox analysis. D. Random forest was applied to select the optimal number of variables for the predictive model. Seventeen genes were chosen with the corresponding highest accuracy (0.886) after 5-fold cross-validation. E. Additional variable selection using LASSO and the lambda parameter was tuned with 1000-fold cross validation. The final gene signature was chosen with the lowest partial likelihood deviation computed against the log (lambda). F. Coefficient profiles of the 17 gene features from the univariate Cox regression model.

Construction and validation of risk score model

To explore the predictive power of these DEGs in overall survival, we performed univariate Cox regression analysis on the TCGA COAD cohort. Fifty-seven DEGs were significantly correlated with the overall survival of patients (**Figure 2C**). Particularly, 38 gene features displayed a rising risk of poor COAD prognosis (hazard ratio > 1). On the other hand, we found that the gene expression levels of the remaining 19 DEGs were associated with improved risk of COAD prognosis. Random forest was applied to select the optimal number of variables for the predictive model. Seventeen genes were chosen with the corresponding highest accuracy (0.886) after 5-fold cross-validation (**Figure 2D**). To reduce the risk of overfitting, we applied and conducted LASSO regression to select the most representative gene features in our prognostic model. After feature selection, 13 out of the 57 DEGs were retained for prognosis prediction, which showed non-zero coefficients (**Figure 2E and 2F**). Hence, the risk score was calculated as the linear combination of the gene expression levels of 13 variables weighted by the relative coefficient. Among the 13 gene variables, six were low-risk indicators, while the rest five had positive coefficients, indicating unfavorable outcome.

The risk scores of each COAD patient were derived from the above model, and the 440 COAD patients were then classified into two groups (low-risk or high-risk) based on the median risk score (**Figure 3A**). Notably, patients in the high-risk group had more deaths and a shorter recurrence-free survival time than those in the low-risk group. Kaplan-Meier survival analysis further revealed that the overall survival time was significantly shorter in the patient group with high risk score, compared to the low-risk score group (**Figure 3B**). To assess the robustness of the prognosis model, we conducted a time-dependent ROC curve analysis. The result indicates that the 13-gene classifier was a powerful prognostic factor to predict COAD patient survival at one, three- and five-year time windows, the AUCs of which were calculated as 0.746, 0.729 and 0.802, respectively (**Figure 3C**). We further evaluated the prognostic power of our risk score model on an independent dataset (GSE39582) by carrying out the Kaplan-Meier analysis (**Figure 3D**). We

found that the lower the risk score was, the better the patient survival outcome, which confirmed that risk score is valuable for COAD prognosis.

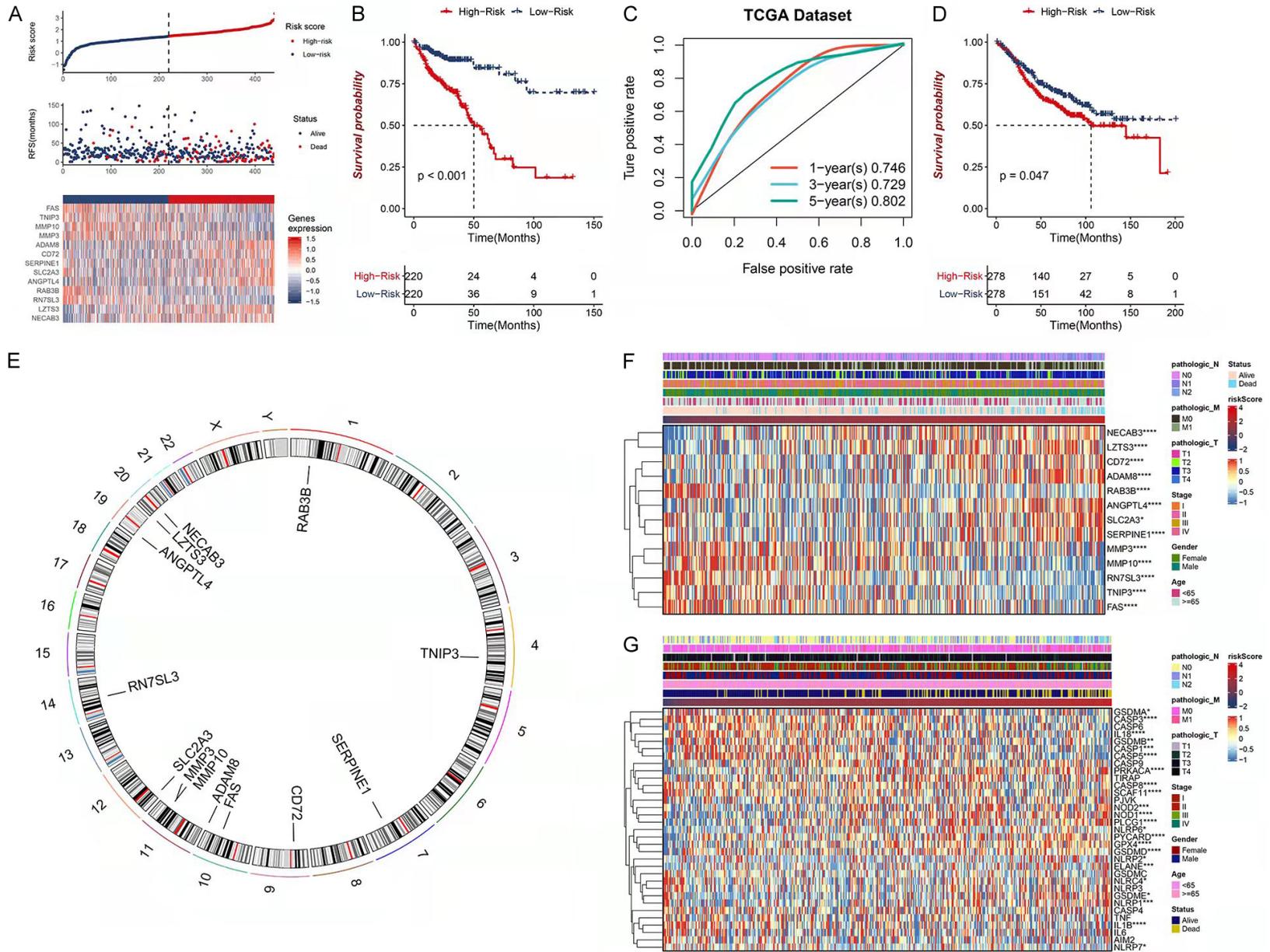
To further characterize the 13 predictive DEGs in our model, we depicted them along the chromosome by RCircos (**Figure 3E**). They are not evenly distributed along the genome; for instance, none are located in chr2 and chr3, although they are among the largest chromosomes. To examine the correlation of risk score and the clinicopathologic factors, the gene expression profile of the 13 predictive DEGs and the clinical features were presented in the heatmap. We found that all the factors were diversely distributed across the whole spectrum of risk score ranges and a similar result was observed on the original 33 DEGs identified before feature selection (**Figure 3F**).

Infiltrating immune cells are an integral component of the tumor microenvironment and are reported to play key roles in invasion and metastasis of cancer and related drug resistance. To determine cell-mediated immunity in COAD, we applied four different algorithms to quantify cell fractions from gene expression profiles based on our prognosis model. The heatmap of immune responses based on CIBERSORT, MCP counter, ssGSEA, and TIMER algorithms is shown in **Figure 4**. Based on the enrichment scores of the more than 16 types of immune cells, we found that both adaptive and innate immune responses were significantly different between COAD patients from the two different risk groups.

Confirmation of independent prognostic value of the risk model

We studied the correlation between risk score and other potential confounding factors (clinicopathologic features, such as age, gender, and various pathologic staging metrics) to evaluate the prognostic power of our model. The univariate Cox regression analysis proved that the risk score could independently predict the survival of COAD patients (HR = 3.89, 95% CI: 2.76-5.5), like other tumor staging factors. Additional multivariate Cox analysis confirmed that the risk score was an independent prognostic factor affecting survival in the TCGA cohort of COAD patients (HR = 3.23, 95% CI: 2.17-4.8) (**Figure 5A**). Altogether, our data revealed that prognosis was significantly relat-

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Figure 3. Validation of the risk score model. (A) Scatter plot showing the distribution of the risk scores (top) and recurrence free survival time (bottom) of patients in the TCGA cohort. The cut-off value for low and high-risk group classification was indicated by the dash lines. (B, D) Kaplan-Meier analysis of overall survival of patients in the TCGA (B) and GSE39582 (D) cohort stratified by high or low risk scores. (C) Time-ROC curve analysis of the 13-gene signature in the TCGA dataset in 1, 3, 5-year. (E) Circular visualization of chromosomal positions of the 13 predictive DEGs. (F, G) Heatmap showing the expression of the 13 gene features or 33 pyroptosis genes associated with the clinicopathologic factors ranked by their risk score in the TCGA cohort.

ed to cancer stage, including pathologic M ($P < 0.001$), pathologic N ($P < 0.001$), and risk score ($P < 0.001$) derived from our prognostic model.

Somatic mutations intensely contribute to cancer development and generation of novel tumor epitopes. Given that fact that mutational burden could be an explanation for clinical outcome, such as overall survival, we performed somatic mutation analysis on the COAD patients stratified by risk score computed by our prognosis model. Distinct mutation patterns were observed in the samples from both groups (**Figure 5B**). For the high-risk group, the top 5 mutated genes were: APC, TP53, TTN, KRAS and SYNE1. However, the most frequently altered genome regions for low risk group were APC, TTN, TP52, KRAS and MUC16. The total tumor mutation burden was significantly different between the two groups (**Figure 5C**).

Functional analysis based on the risk model

To further explore the molecular functions of the selected gene signature in disease progression, GSEA and GoTerm analysis was conducted to identify the signaling pathways associated with the survival rate. Among all the gene sets we tested in MSigDB database, the most significant enriched pathways ($|NES| > 1$, NOM P -value < 0.05 and FDR < 0.05) were associated with various types of immune response (**Figure 6**). The top hits were toll like receptor, Rig I like receptor, NK cell mediated cytotoxicity, T cell mediated immunity, and regulation of immune effector response. Most of these pathways are associated with various types of immune response. In addition, pathways involved in colorectal cancer were also significantly enriched in this analysis. Taken together, our discovery suggests that pyroptosis-related prognostic signature is involved in regulating immune and tumor biogenesis pathways.

Prediction of chemotherapy response based on the risk model

Nowadays chemotherapy is still the frontline treatment for various cancer types. To explore

the response of COAD patients with different risk scores, we predicted the IC50 of nine most frequently used chemo drugs in clinical practice for every included patient from the TCGA cohort. As a result, a total of 6 drugs demonstrated significantly lower IC50 in the low-risk group, indicating these patients were more sensitive to these chemotherapies and could respond better compared to the high-risk group (**Figure 7**).

Discussion

Pyroptosis is a newly-appreciated type of programmed cell death, morphologically and mechanistically distinct from apoptosis or necrosis. It features the involvement of Caspas-1 and the downstream response of rapid plasma-membrane rupture and release of proinflammatory intracellular contents [22, 23]. Although initially thought to be associated with inflammatory diseases, emerging evidence have revealed a complex relationship between pyroptosis and cancer. Pyroptosis induces inflammation-mediated cell death in malignant cells, resulting in inhibitory of cancer growth and migration. Scientists reported the abnormal expression of some pyroptotic inflammasomes found in tumor cells. For instance, Gao *et al* recently revealed that downregulation of GSDMD attenuates tumor proliferation through impaired EGFR/Akt signaling and is a promising estimator of prognosis in non-small cell lung cancer [24]. Moreover, pyroptosis provides a novel direction for alternative cancer therapy as it participates in the pathogenesis of tumor [25]. Despite the large number of studies on the molecular regulators and underlying mechanism of pyroptosis in cancer development, the clinical significance of pyroptosis for disease prognosis remained unclear.

In this study, we focused on the prognostic value of pyroptosis in colorectal carcinoma, which is the third most prevalent cancer around the globe. In contrast to breast cancer, which is frequently diagnosed at an early stage and is

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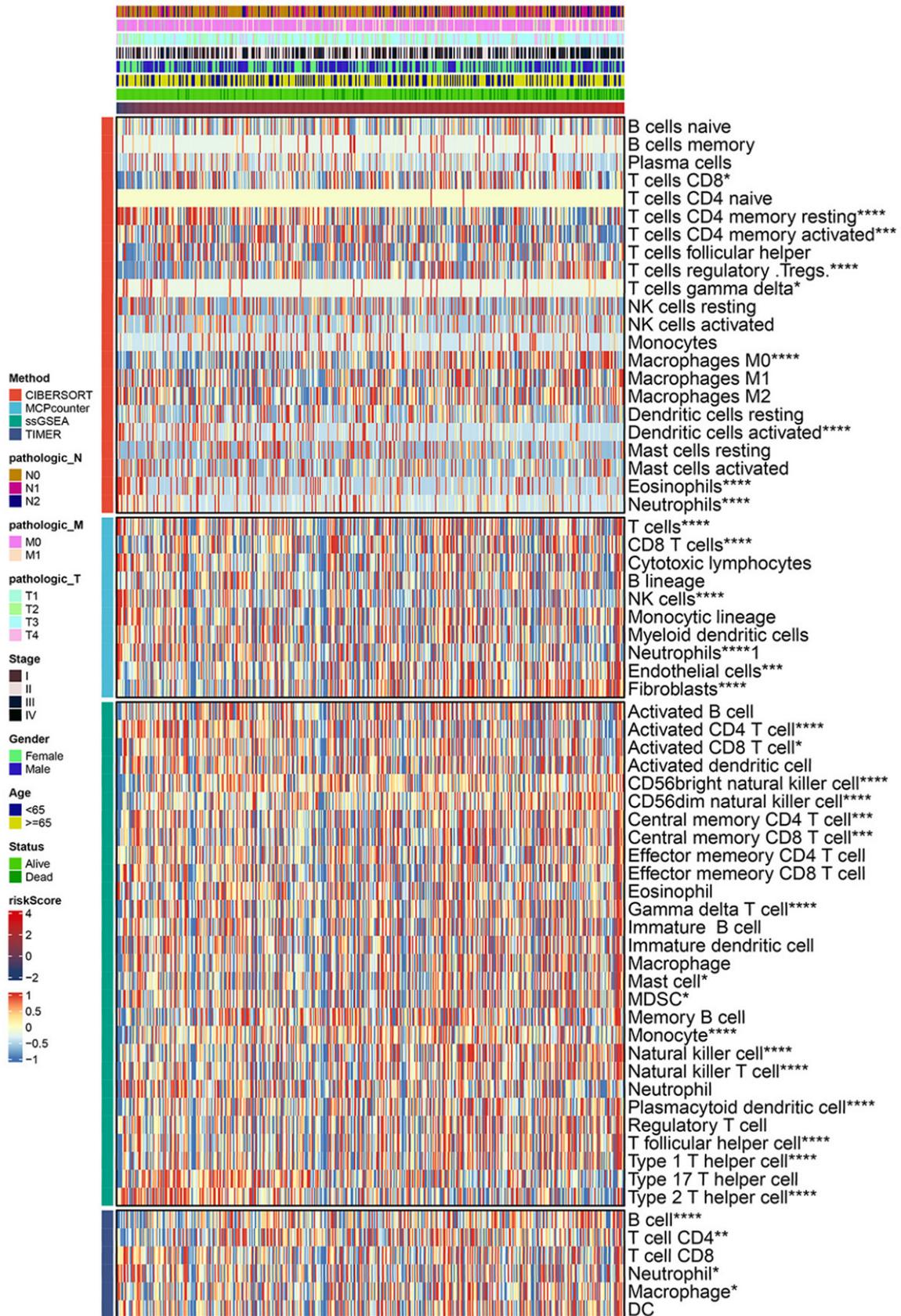
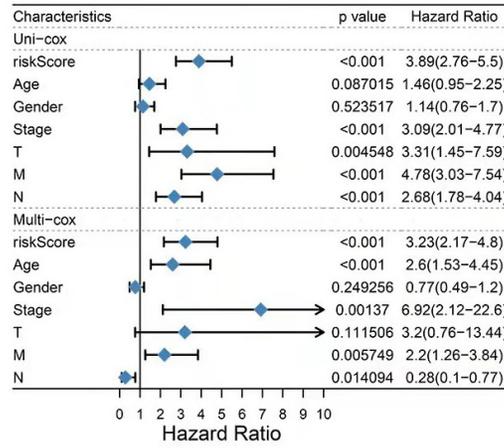


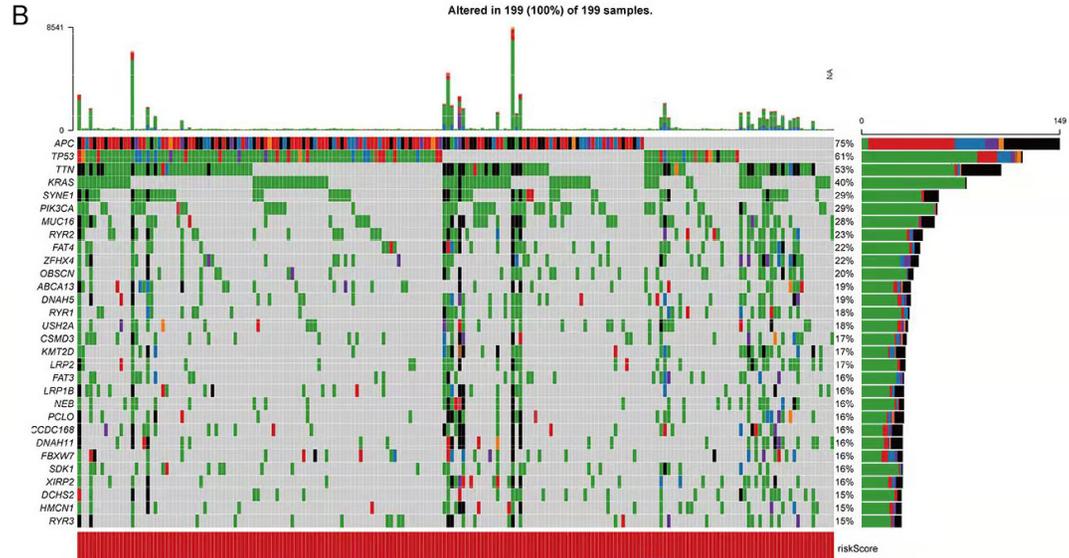
Figure 4. Correlation between immune responses and risk score. Heatmap showing the comparison of immune responses based on CIBERSORT, MCPcounter, ssGSEA, and TIMER algorithms among high and low risk groups, represented by expression-based interrogation of the tumor immune infiltrates. *P < 0.05; **P < 0.01; ***P < 0.001.

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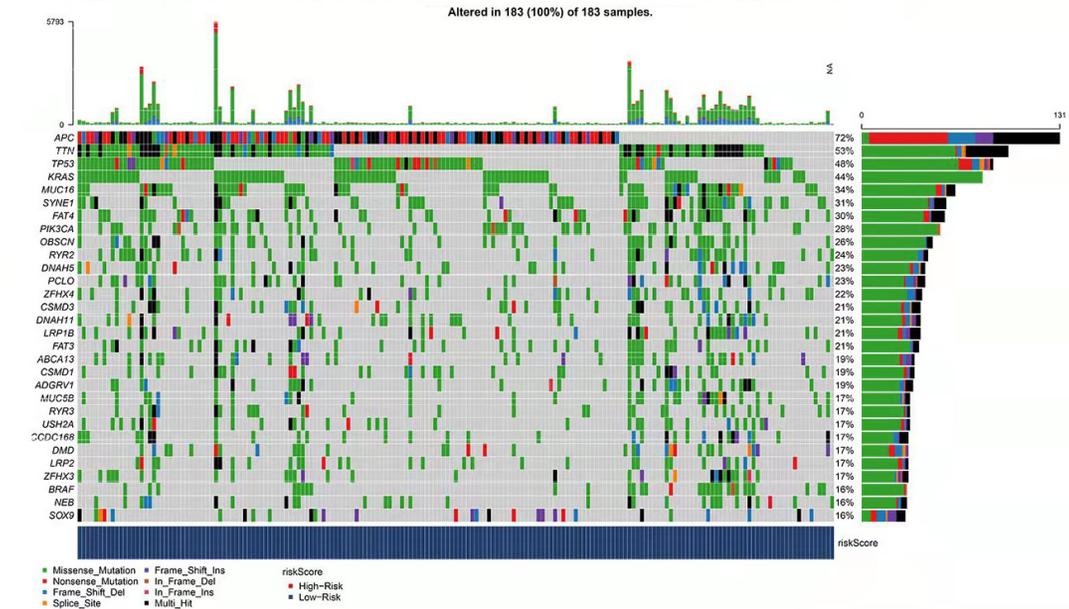
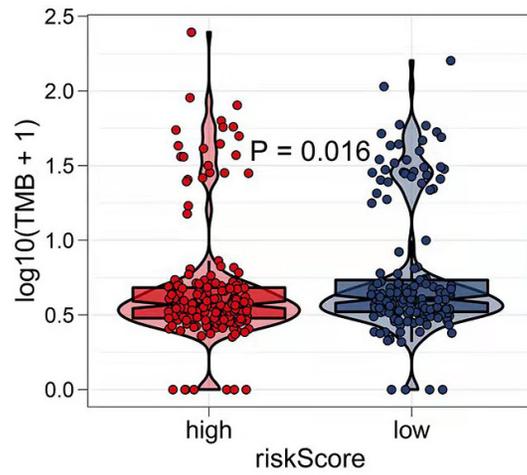
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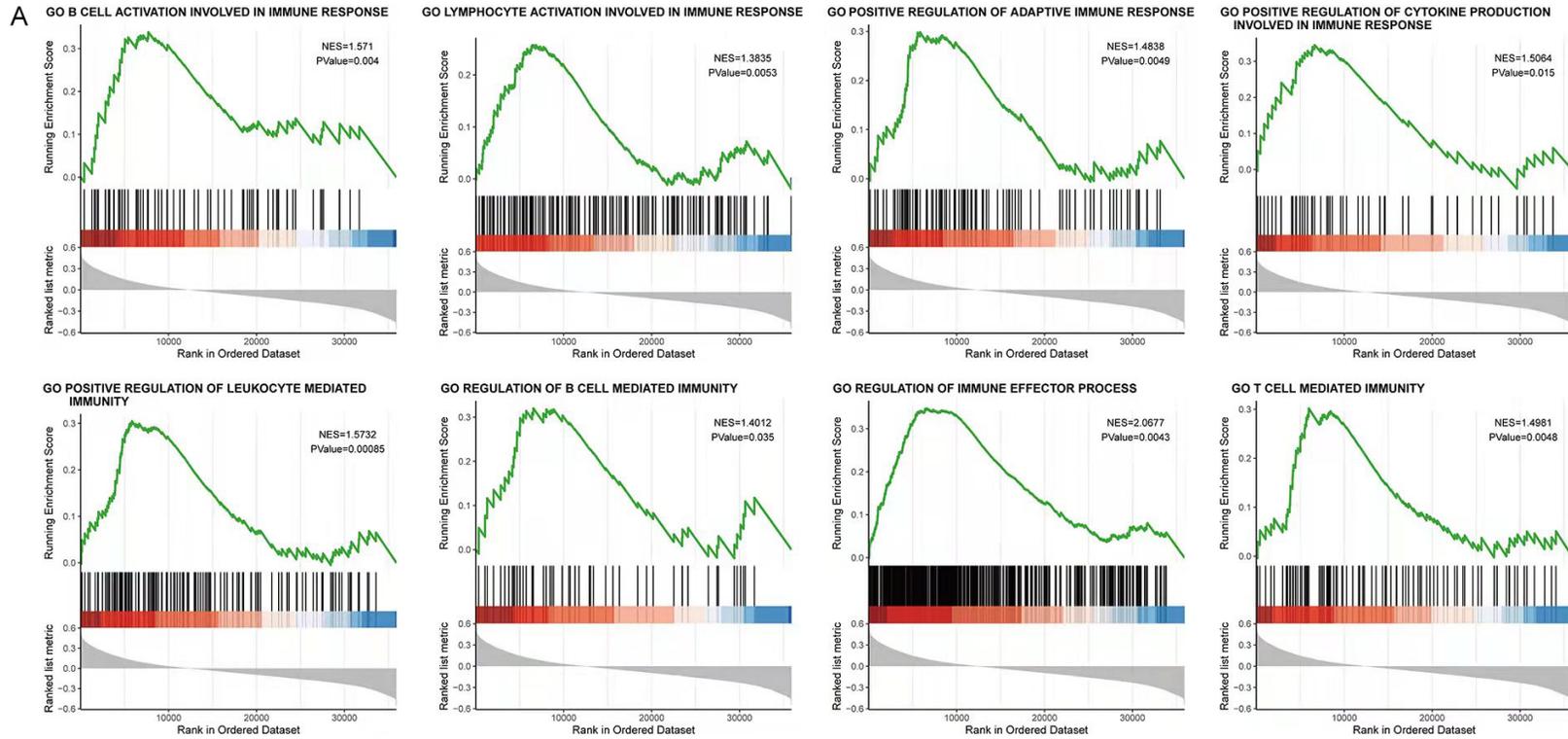


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Figure 5. Correlation between the risk score, clinical chrematicistics, and mutation burden. A. Uni- and multivariate Cox regression analysis for the prediction of overall survival in COAD patients. B. Oncoplots showing the top 30 genes with most frequent mutations in the high (top) and low (bottom) risk groups in the TCGA cohort. Each column represents a patient and its frequency of mutations (the top barplot). Each row represents a gene and the corresponding frequency of mutations (right barplot). C. Violin plot showing the comparison of tumor mutation burdens between high and low risk groups.



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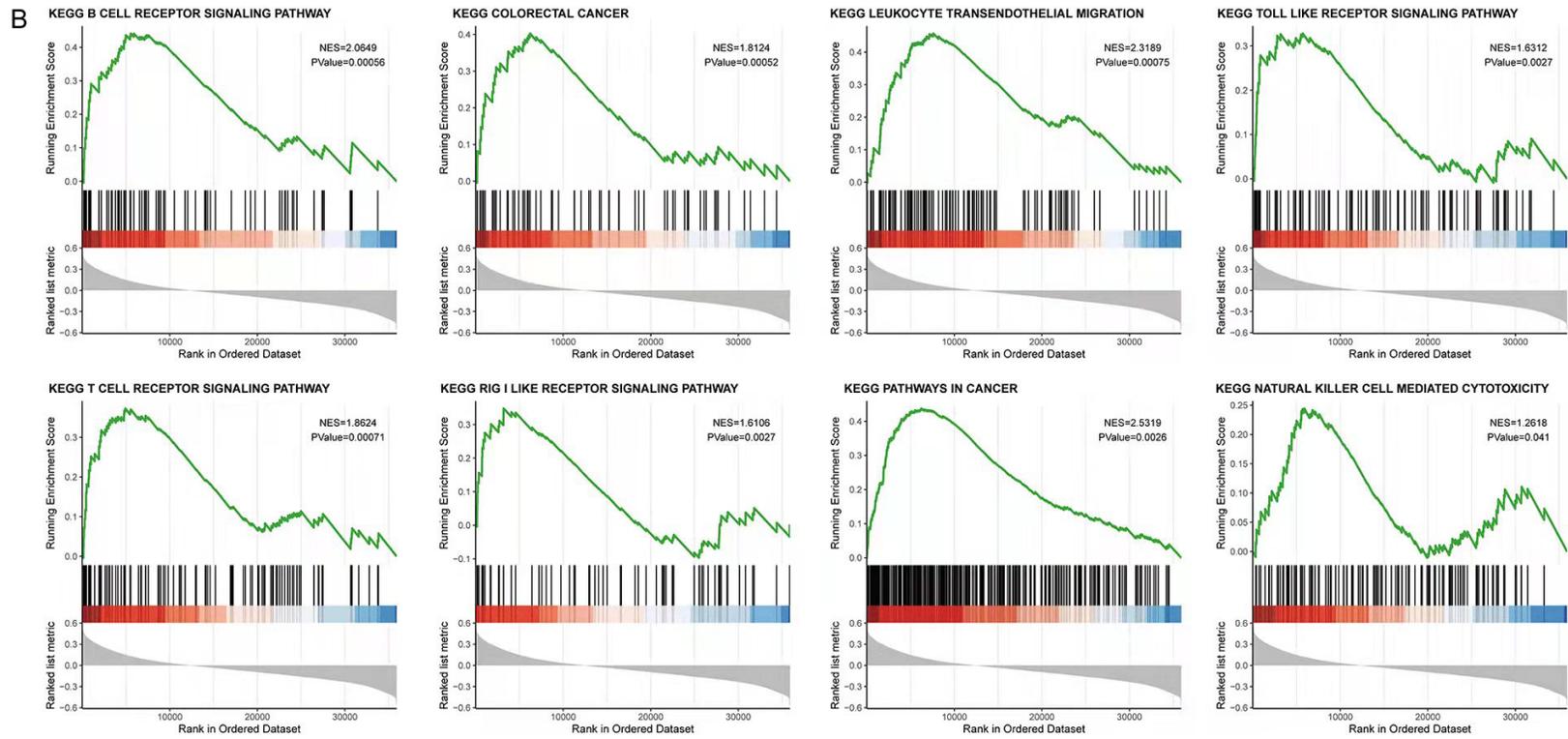


Figure 6. Enriched pathways in high risk COAD patients defined from the risk score model. A. Top enriched GO pathways. B. Top enriched KEGG pathways revealed by GO analysis.

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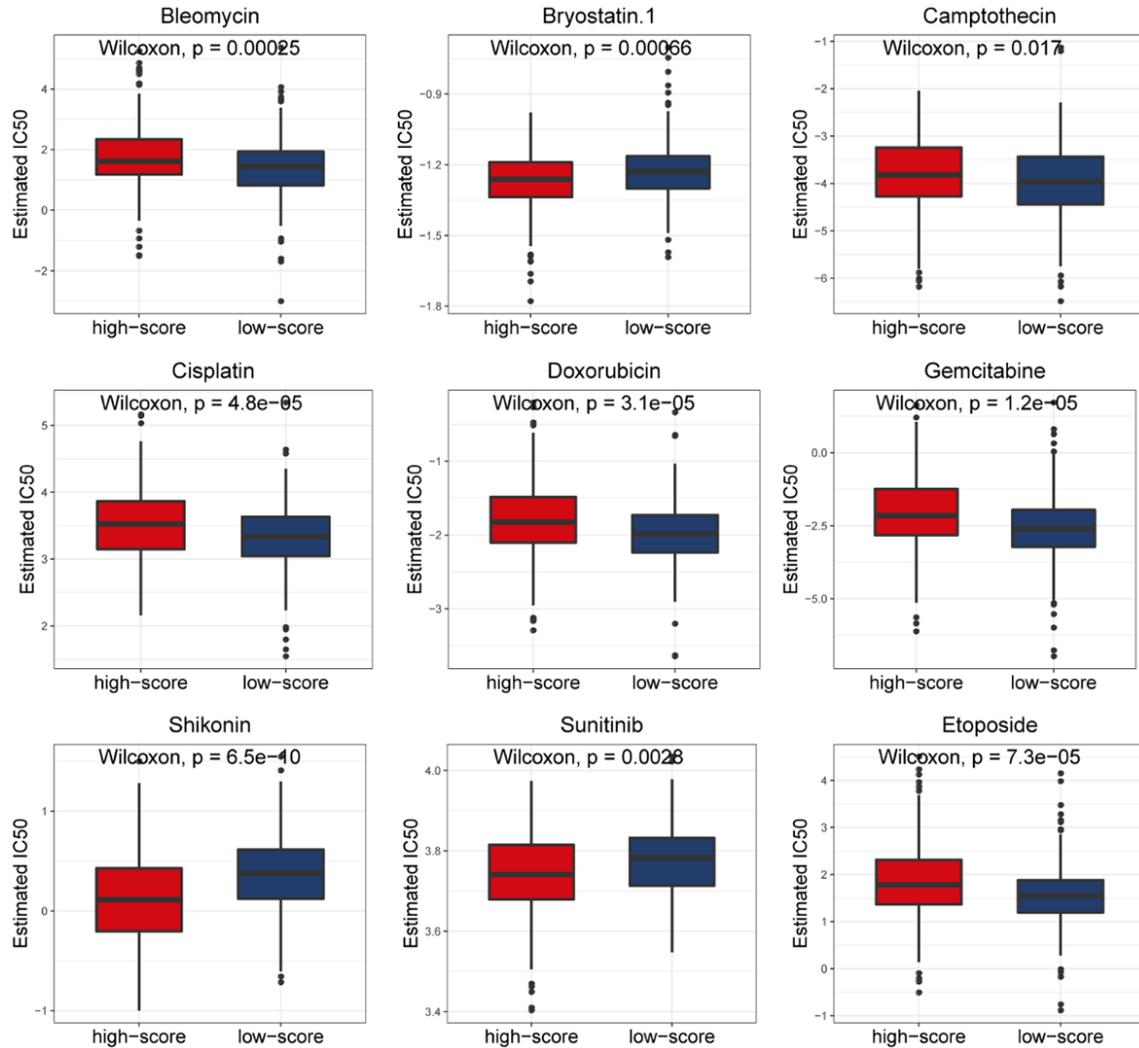


Figure 7. Significant differences between estimated IC50 for chemo drugs between high risk and low risk patients. Box plots showing the estimated IC50 from 9 first line chemo therapeutics drugs in COAD patients stratified by low and high risk scores.

widely treated by conservative surgery, COAD has a high incidence of metastasis. Here, a LASSO Cox regression model was used to select the relevant DEGs identified from TCGA patients for COAD prognosis. The resultant risk score model contained 13 pyroptosis-related DEGs. We utilized the previously reported 37 pyroptosis genes and identified DEGs based on pyroptosis status. Subsequently, the LASSO method was applied for feature selection and to establish the prognostic model. The effectiveness and robustness of the model was validated by an additional dataset GSE39582, where the prognostic power of the model was up to 0.804 for 5-year survival. On the other

hand, to determine the correlation between the risk model and the clinical outcome, we conducted multivariate Cox analysis and found that there were significant differences in N stage, T stage, and grade between the risk groups. Thus, our risk score was significantly associated with prognosis.

Tumor mutational burden has been implicated as a predictive marker for cancer prognosis and drug resistance. By conducting the somatic mutation analysis, we showed that an elevated risk score was linked with a greater mutation rate of oncogenes, including TP53 and KRAS, consistent with the previous reports on both colon cancer and other cancer types [26, 27].

Additionally, to gain functional insights of the pyroptosis-related gene signatures, GSEA analysis was performed and signaling pathways involved in immune responses and colorectal cancer were enriched by multiple categories, such as NK cell mediated cytotoxicity, and T cell mediated immunity. Actually, a significant alteration in immune response has been discovered in patients with colorectal cancer [28]. CRC patients maintained decreased level of total Th1 CD4+ cells, accompanied by reduced cytokine production. In contrast, the Th2 lymphocyte population was not affected, and in some patients the cells numbers even slighted elevated [29, 30]. It is known that this kind of immune suppression starts gradually at both a molecular and cellular level [31, 32]. As the disease progresses, the tumor immune tolerance eventually spreads to the whole organism. Likewise, studies have shown that natural killer (NK) cells provide immune surveillance of cancer [33]. One study has shown that EGFR inhibitors can enhance the susceptibility to NK cell-mediated lysis of colon cancer cells through suppressing of the protein kinase C pathway [34].

Taken together, we have established a risk model for COAD prognosis, based on 13 pyroptosis-related genes. Our model has proven accurate and robust in predicting the overall survival of COAD patients, independently from the TNM staging system. Moreover, functional analysis identified potential molecular targets and plausible drug responses in COAD treatment. Hence, our study provides insights into prognosis and disease management of COAD patients.

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Disclosure of conflict of interest

None.

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