# Original Article Involvement of Notch1/Hes signaling pathway in ankylosing spondylitis

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**Abstract:** We aimed to investigate the role of Notch1/Hes signaling pathway in the pathogenesis of abnormal ossification of hip ligament in patients with ankylosing spondylitis (AS). 22 AS patients scheduled for artificial hip arthroplasty were randomly chosen as AS group. As controls, we used 4 patients diagnosed with transcervical fracture who underwent hip replacement surgery. Notch1 and Hes mRNA expressions were detected by real-time fluorescent quantitative polymerase chain reaction (RFQ-PCR). Immunohistochemistry (IHC) was used to detect Notch1 and Hes protein expression. Correlation analyses of Notch-1 and Hes with AS-related clinical factors were conducted with spearman's correlation analysis and partial correlation analysis. RFQ-PCR results showed significant differences in Notch1 and Hes mRNA expressions between AS group and the control group (all P < 0.05). IHC analysis further indicated positive nuclear signals of Notch1 and Hes protein, indicating functional activation of the Notch1 and Hes pathways. Semi-quantitative IHC showed a higher Notch1 and Hes expression levels in AS group compared to the control group (all P < 0.05). Correlation analysis suggested that Hes protein expression was positively associated with the clinical course of the disease in AS patients. In conclusion, Notch1 and Hes overexpression was clearly detected in hip joint ligaments of AS patients, Hes protein expression was associated with the clinical course of AS. Taken together, we suggest that signaling pathways mediated by Notch1-Hes may contribute to ligament ossification of hip joints in AS patients.

**Keywords:** Notch1, hairy enhancer of split, hip joint ligament, ankylosing spondylitis, mRNA expressions, protein expressions, clinical course, signaling pathway

#### Introduction

Ankylosing spondylitis (AS) is a chronic inflammatory disease mainly with sacroiliac joint and spine involvement [1, 2]. AS mainly affect young adults between 15 and 30 years. The incidence of AS is approximately 0.5% worldwide, while its prevalence is 0.3% in China [1, 3]. Importantly, AS is more frequent in men and the incidence ratio among male to female is (2.5-5):1 [4]. Inflammation and pain in the vertebral column and joints result in decreased physical activity, fatigue, sleep disturbances, depression, anxiety and stress, which affects the quality of life [5, 6]. The precise pathogenesis and etiology of AS is not elucidated, but autoimmunity, genetic factors and infections are contributing risk factors [7, 8]. Management of AS is difficult due to dynamic changes in the underlying AS pathogenesis and shortage of effective interventions for AS [9-11].

The Notch signaling pathway controls cellular differentiation, proliferation, and apoptosis [12]. Increasing evidence suggests that the Notch signaling pathway may be involved in hematological malignancies and solid tumors. as well as in angiogenesis, neurogenesis and homeostasis [13, 14]. Notch1, one of the four Notch receptors, is a ligand-activated transmembrane receptor which governs differentiation stimulated by direct cell-cell contact in many tissues [15-17]. The hairy and enhancer of split-1 (Hes-1), a downstream Notch1 effector, has been demonstrated to directly affect cell fate decisions as a primary target gene of Notch signaling pathway [18]. HES1 is a surrogate for Notch1 activity and therefore, HES1 is used to detect Notch1 pathway activation. Hes-1 expression was observed in 65% of rectal neuroendocrine tumors and 10% of pancreatic neuroendocrine tumors [19]. Notch signaling pathway is associated with AS since it might

 Table 1. Sequence of primers for polymerase chain reactions

Gene	Forward	Reverse	TM (°C)
Notch1	CAACATCCAggACAACATgg	ggACTTgCCCAggTCATCTA	60
Hes	CTAAACTCCCCAACCCACCT	AggCgCAATCCAATATgAAC	58
GNPTG	AAggCTgAAAggTTTgCTCA	CCAgCCAgCTTCTCTgTAgg	58

Hes, hairy and enhancer of split; TM, temperature.

fracture who underwent hip replacement surgery were selected at random as control patient group. Peripheral ligament tissues samples from caput femoris was collected from the AS patients and the controls during the operation.

lead to the formation of articular cartilage and coordinate ossification and extension of the growth plate, as well as osteoblast differentiation [20]. Overexpression of Hes could also accelerate osteogenesis and stimulate the expression of osteogenic marker genes, including osteopontin and type1 collagen [21]. Recent studies show a prominent role of the Notch system in mesenchymal stem cell. Therefore, the Notchl-Hes signaling pathway could play a major role in AS development and could be a viable therapeutic target in AS treatment [22-24]. In this study, we investigate the role of Notch1/Hes signaling pathway in AS pathogenesis and explore the potential of this pathway for design of future prevention strategies.

#### Materials and methods

#### Ethics statement

The study was approved by the Ethical Committee of Tongren Hospital affiliated to Shanghai Jiaotong University. All study participants provided written informed consent and the study was carried out under the Declaration of Helsinki [25].

#### Study subjects

Between September 2013-October 2013, 22 AS patients scheduled for artificial hip arthroplasty were randomly selected from the Department of Orthopedic Surgery, Tongren Hospital affiliated to Shanghai Jiaotong University. The 22 patients (18 male and 4 female; average age, 40.6) had ongoing AS for 3-15 years range. The eligible AS patients were diagnosed strictly according to the diagnostic criteria of American College of Rheumatology (ACR) (1984 revised version) [26]. Participants who had taken anti-osteoporosis medication, corticosteroids, TNF-α antagonist or non-steroidal antiinflammatory drug (NSAID) two months before operation were excluded from the present study. In addition, 4 patients with transcervical Real-time fluorescent quantitative polymerase chain reaction (RFQ-PCR)

Total RNA from ligament tissue was extracted using Trizol (invitrogen) reagent according to manufacturer's protocol. Purity of isolated RNA was tested by ultraviolet spectrophotometer optical density (OD) and concentration was recorded for further investigation. The integrity of the total RNA was assessed by agarose gel electrophoresis. The cDNA template was synthesized by reverse transcription with Rever TraAceg PCR RT Kit (Toyobo, Shanghai, China). Sequence of primers for PCR was summarized in **Table 1**. RT-PCR was performed in a total reaction volume of 20 µl, including 10 µl of 2 × SYB qPCR Mix; 0.4 µl of 50 × ROX reference dye; 0.8 µl of forward primer (10 uM); 0.8 µl of reverse primer (10 µM); 2 µl of cDNA; 20 µl of ddH<sub>o</sub>O. The conditions for RT-PCR reaction were displayed: predenaturing at 95°C for 3 min, 40 cycles of denaturing at 94°C for 30 s, followed by annealing at 65°C for 31 s. The PCR instrument in present study was ABI 7300 system (American). The CT value (average of 3 parallel holes measurements) was collected for each sample after 3 replicates.

# Sample preparation and HE staining

The collected tissue samples were embedded in paraffin, and cut into 4  $\mu$ m sections. The sections were deparaffinized in xylene solution and rehydrated with graded concentrations of ethanol (100%-75%) and stored in the refrigerator at 4°C till further use. The tissue sections were stained for 3-8 min in hematoxylin. Subsequently, sections were treated with 1% hydrochloric acid alcohol for 30 s, and then immersed in 1% ammonia solution for 30 s, followed by washing with a stream of water. Subsequently, the sections were stained with eosin for 1-3 min and sealed with neutral gum.

#### Immunohistochemistry

Hydrogen peroxide ( $H_2O_2$ , 3%) was added to the prepared tissue samples at room temperature



Figure 1. The dissolution curves of (A) Notchl and (B) hairy and enhancer of split (HES) gene in ligament tissues; both Notchl and HES presented with unimodal dissolution curves, showing specific expression of Notchl and HES.

**Table 2.** Independent sample test results of mRNA expressions of *Notchl* and *hairy and enhancer of split* (Hes) genes in ankylosing spondylitis (AS) group and control group

		Leve	ene's	T-test								
		test							95% CI			
		F	Sig	t	Df	Sig (Bilateral)	MD	SE	Lower limit	Upper limit		
Notchl	Equal variances assumed	0.172	0.684	-2.820	16	0.012	-1.39583	0.49497	-2.44513	-0.34654		
	Unequal variance assumed			-2.710	9.128	0.024	-1.39583	0.51508	-2.55854	-0.23313		
Hes	Equal variances assumed	0.20	0.889	-2.740	16	0.015	-1.66000	0.60587	-2.94439	-0.37561		
	Unequal variance assumed			-2.718	9.896	0.022	-1.66000	0.61070	-3.02267	-0.29733		

Sig, significance; Df, degree of freedom; Hes, hairy and enhancer of split; MD, mean difference; SE, standard error; 95% Cl, confidence interval.



Figure 2. Hematoxylin-eosin (HE) staining of ligament tissue in (A) ankylosing spondylitis (AS) and (B) control group. The ligament tissue was mainly composed of longitudinal arrangement of elastic fibers (along the long axis),

B

amongst which collagen fibers existed. The main ligament cells were scattered fibroblasts. The cell numbers in the control group appeared to be greater than those in the AS group by visual analysis.

AS group

Control group

**Figure 3.** A. Immunohistochemistry staining of Notch1 in ankylosing spondylitis (AS) group and control group; B. Immunohistochemistry staining of hairy and enhancer of split (HES) in ankylosing spondylitis (AS) group and control group. The intense positive signals of Notch1 and Hes localized in the nucleus (yellow or brown particles). The expression of Notch1 and Hes in ligament tissue of the AS group increased significantly compared with control group.

in order to quench endogenous peroxidase (POD). Normal rabbit serum was used as blocking agent to avoid non-specific protein adsorption. After 15 min, samples collected from the AS group were washed with phosphate buffered saline (PBS), and incubated with primary antibody diluted with bovine serum albumin (BSA) (1:1200) at 37°C for an hour. Horseradish peroxidase-conjugated secondary antibodies (Genesail Biotech, 1:5000) were added and the sections were incubated at 37°C for 30 min. Substitution of the primary antibody with PBS was used as negative control. PBS washes were performed three times and the samples were developed with diaminobenzidine (DAB), and subsequently, counterstained with hematoxylin for 1-3 min. Samples were dehydrated by an ethanol gradient and vitrified in xylene and sealed with neutral gum. The IHC staining controls were treated with PBS instead of the primary antibody. Stained tissues were observed under Olympus IX70 microscope.



**Figure 4.** Positive rates of Notch1 and Hes expression in ankylosing spondylitis (AS) group and control group; the positive rate of Notch1 and Hes protein was s statistically higher in the AS group than that in the control group.

# Evaluation of immunohistochemical staining results

The results were analyzed by semi-quantitative scoring method: the grading for the percentage of positive cells was carried out:  $\leq 5\%$ , 0 point;  $6\%\sim25\%$ , 1 point;  $26\%\sim50\%$ , 2 point;  $51\%\sim75\%$ , 3 point; more than 75\%, 4 point. For tinctorial strength, scores were distributed as: no staining, 0 point; light yellow, 1 point; brownish yellow, 2 point; dark brownish yellow, 3 point. Eventually, the result was classified into four grades by multiplying the two scores mentioned above: 0 point, negative (-);  $1\sim4$  points, weakly positive (+);  $5\sim8$  points, positive (++);  $9\sim12$  points, strong positive (+++). Scores for more than 5 point was regarded as positive.

#### Statistical analysis

Data were tested by the application of homogeneity of variance and two independent samples

t-test. Continuous variables with normal distribution are expressed as mean  $\pm$  standard deviation (SD) and analyzed by Analysis of Variance (ANOVA); skewed distributed continuous variables are expressed as the median value (interquartile range) and tested by nonparametric statistics; while categorical data were presented with frequency counts and was assessed by  $\chi^2$  tests. Spearman's correlation analysis and partial correlation analysis were conducted to evaluate correlation between variables. *P* < 0.05 was considered as significance.

#### Results

#### Notch1 and Hes mRNA expression

Dissolution curve analysis was used to determine different reaction products, including non-specific and specific products. The dissolution curves (unimodal) showed specific expression of *Notch1* and *Hes* genes in ligament tis-

Parameters	Cases (n)	-	+	++	+++	Positive rate	P value		
Sex									
Female	4	0	1	1	2	75%	0.91		
Male	18	2	2	3	11	77.8%			
Age (year)									
< 45	15	1	2	3	9	80%	0.66		
≥45	7	1	1	1	4	71.4%			
Course of disease (year)									
< 5	12	1	2	2	7	75%	0.78		
≥5	10	1	1	2	6	80%			
Onset age (year)									
< 20	9	0	1	3	5	88.9%	0.28		
≥20	13	2	2	1	8	69.2%			

Table 3. Correlation analysis of Notch1 expressionwith ankylosing spondylitis (AS) related clinicalfactors, including sex, age, course of disease andonset age

Table 4. Correlation analysis of Hes expressionand clinical factors of ankylosing spondylitis (AS)patients, including sex, age, course of diseaseand onset age

Parameters	Cases	-	+	++	+++	Positive rate	P value	
Sex								
Female	4	0	1	1	2	75%	0.91	
Male	18	2	2	3	11	77.8%		
Age (year)								
< 45	15	1	2	3	9	80%	0.66	
≥45	7	1	1	1	4	71.4%		
Course of disease (year)								
< 5	12	0	0	2	10	100%	0.01*	
≥5	10	2	3	2	3	50%		
Onset age (year)								
< 20	9	1	1	2	5	77.8%	0.96	
≥ 20	13	1	2	2	8	92.3%		

Hes, hairy and enhancer of split.

sues (**Figure 1**, SHOW ARROWS TO AREAS OF INTEREST). The independent sample test indicated that mRNA expressions of *Notch1* and *Hes* in AS group was significantly higher than those in the control group (P < 0.05) (**Table 2**).

# HE staining of ligament tissue

HE staining showed the ligament tissue was mainly composed of longitudinal arrangement of elastic fibers (along the long axis), amongst which collagen fibers existed. The main ligament cells were scattered fibroblasts. The cell numbers in the control group appeared to be greater than those in the AS group by visual analysis (**Figure 2**).

### Immunohistochemistry analysis

IHC analysis showed intense positive signals of Notch1 and Hes localized in the nucleus (yellow or brown particles). The expression of Notch1 and Hes in ligament tissue of the AS group increased significantly compared with control group (**Figure 3**). Semi-quantitative IHC showed that the visual score of Notch1 protein expression was 81.2% (13/16) in the AS group compared to 25% (1/4) in the control group, representing statistically significant differences (P < 0.05). The positive rate of Hes protein was 87.5% (14/16) in the AS group, which was statistically higher than that in the control group (25% (1/4)) (P < 0.05) (**Figure 4**).

# Correlation analysis of Notch-I and Hes with AS related clinical factors

As demonstrated in **Table 3**, there was no correlation between Notchl expression and gender, age, course of disease and onset age (all P > 0.05). Hes expression also had no significant correlation with gender, age and onset age (all P > 0.05). However, the positive expression rate of Hes in patients followed the course of disease at < 5 years and > 15 years being 100% and 50%, respectively, demonstrating a statistical significance (P = 0.01) (**Table 4**).

# Discussion

Notch signaling pathway participates in many biological processes through maintaining the balance of cell proliferation, differentiation, survival and apoptosis [27, 28]. Mutations in Notch family members have an inhibitory effect on several tumors such as cutaneous, chronic myelomonocytic leukemia, lung and head and neck squamous cell carcinomas (HNSCC) tumors [29-31]. Our data showed overexpression of Notch1 and Hes in ligament tissue of hip joints of AS patients and that the positive rate of Hes expression was positively associated with the course of AS. Therefore, the Notchl-Hes signaling pathway may have a prominent role in ligament ossification of hip joints in patients with AS. Notchl-Hes signaling pathway

machinery and its cellular consequences are highly conserved [32]. Upon ligand binding, the Notch receptor signaling is activated and the trans-membrane domain cleavage leads to release of the intracellular domain of Notch, which functions as a transcriptional activator for a number of target genes that participate in a variety of cellular activities including cell motility and cell-fate decisions [33, 34]. It has been reported that Notch signaling may play a role in bone development and overexpression of ligand or receptors of Notch could potentially be harmful to osteoclast and osteoblast precursors, effecting cell differentiation [35]. Previous research has also revealed that through Jagged1, the Hes-1, can enhance the expression and activity of NFATc1 (nuclear factor of activated T-cells), a transcription factor of osteoclast, and thus promotes osteoclastogenesis [36, 37].

To our knowledge, our study is the first to find a correlation between Notchl-Hes signaling pathway and AS. Our data suggests overexpression of Notch1 and Hes occurs in ligament tissue of hip joints of AS patients and the resulting enhanced signaling of Notch1-Hes may contribute to ligament ossification of hip joints in AS patients, through activation of yet unknown processes relevant to the disease. Importantly, we obtain the first correlation between positive rate of Hes expression with the disease course of AS which needs to be further studied.

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#### Disclosure of conflict of interest

None.

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